

## On the classification of rational quantum tori and their automorphism groups

Karl-Hermann Neeb

**Abstract.** An  $n$ -dimensional quantum torus is a twisted group algebra of the group  $\mathbb{Z}^n$ . It is called rational if all invertible commutators are roots of unity. In the present note we classify all rational  $n$ -dimensional quantum tori over any field. Moreover, we show that for  $n=2$  the natural exact sequence describing the automorphism group of the quantum torus splits over any field.

Keyword: Quantum torus, normal form, automorphisms of quantum tori

MSC: 16S35

### Introduction

Let  $\mathbb{K}$  be a field and  $\Gamma$  an abelian group. A  $\Gamma$ -*quantum torus* is a  $\Gamma$ -graded  $\mathbb{K}$ -algebra  $A = \bigoplus_{\gamma \in \Gamma} A_\gamma$ , for which all grading spaces are one-dimensional and all non-zero elements in these spaces are invertible. For any basis  $(\delta_\gamma)_{\gamma \in \Gamma}$  of such an algebra with  $\delta_\gamma \in A_\gamma$ , we have  $\delta_\gamma \delta_{\gamma'} = f(\gamma, \gamma') \delta_{\gamma+\gamma'}$ , where  $f: \Gamma \times \Gamma \rightarrow \mathbb{K}^\times$  is a group cocycle. In this sense  $\Gamma$ -quantum tori are the same as *twisted group algebras* in the terminology of [OP95]. Quantum tori arise very naturally in non-commutative geometry as non-commutative algebras which are still very close to commutative ones (cf. [GVF01]).

For  $\Gamma = \mathbb{Z}^n$ , we also speak of  $n$ -dimensional quantum tori. Important special examples arise for  $n = 2$  and  $f(\gamma, \gamma') = q^{\gamma_1 \gamma'_2}$ , which leads to an algebra  $A_q$  with two generators  $u_1 = \delta_{(1,0)}$  and  $u_2 = \delta_{(0,1)}$ , satisfying the commutator relation

$$u_1 u_2 = q u_2 u_1.$$

Finite-dimensional quantum tori and their Jordan analogs also play a key role in the structure theory of infinite-dimensional Lie algebras because they are the natural coordinate structures of extended affine Lie algebras ([BGK96], [AABGP97]).

The first problem we address in this note is the classification of the finite-dimensional *rational quantum tori*, i.e., quantum tori with grading group  $\Gamma = \mathbb{Z}^n$ , for which  $f$  takes values in the torsion group of  $\mathbb{K}^\times$ . This problem is solved completely in Section III, where we give a classification of rational quantum tori over arbitrary fields. We first show that any rational  $n$ -dimensional quantum torus  $A$  can be written as a tensor product

$$(1) \quad A \cong A_{q_1} \otimes \cdots \otimes A_{q_s} \otimes \mathbb{K}[\mathbb{Z}]^{n-2s},$$

where the roots of unity  $q_1, \dots, q_s$  satisfy  $1 < \text{ord}(q_s) \leq \dots \leq \text{ord}(q_1)$ . Two  $n$ -dimensional rational quantum tori given, as above, by the data  $(q_1, \dots, q_s)$  and  $(q'_1, \dots, q'_{s'})$  are isomorphic if and only if  $s = s'$  and  $\text{ord}(q_i) = \text{ord}(q'_i)$  for  $i = 1, \dots, s$ . Under the assumption that the field  $\mathbb{K}$  is algebraically closed of characteristic zero, the tensor product decomposition (1) has also been obtained in [ABFP05].

For any  $\mathbb{Z}^n$ -quantum torus  $A$ , its group of automorphisms is an abelian extension described by a short exact sequence

$$(2) \quad \mathbf{1} \rightarrow \text{Hom}(\mathbb{Z}^n, \mathbb{K}^\times) \rightarrow \text{Aut}(A) \rightarrow \text{Aut}(\mathbb{Z}^n, \lambda) \rightarrow \mathbf{1},$$

where  $\lambda: \mathbb{Z}^n \times \mathbb{Z}^n \rightarrow \mathbb{K}^\times$ ,  $(\gamma, \gamma') \mapsto \delta_\gamma \delta_{\gamma'} \delta_\gamma^{-1} \delta_{\gamma'}^{-1}$  is the alternating biadditive map determined by the commutator map of the unit group  $A^\times$ , and  $\text{Aut}(\mathbb{Z}^n, \lambda) \subseteq \text{GL}_n(\mathbb{Z}) \cong \text{Aut}(\mathbb{Z}^n)$  is the subgroup preserving  $\lambda$ . The second main result of this note is that for  $n = 2$  the sequence (2) always splits. In this case  $A \cong A_q$  for some  $q \in \mathbb{K}^\times$ , and  $\text{Aut}(\mathbb{Z}^2, \lambda) = \text{GL}_2(\mathbb{Z})$  if  $q^2 = 1$  and  $\text{Aut}(\mathbb{Z}^2, \lambda) = \text{SL}_2(\mathbb{Z})$  otherwise. The statement of this result (in case  $q$  is not a root of unity) can also be found in [KPS94, Th. 1.5], but without any argument for the splitting of the exact sequence (2). According to [OP95, p.430], the determination of the automorphism groups of general quantum tori seems to be a hopeless problem, but we think that our splitting result stimulates some hope that more explicit descriptions might be possible if the range of the commutator map is sufficiently well-behaved.

We thank B. Allison and A. Pianzola for stimulating discussions on the subject matter of this paper and A. Pianzola for pointing out the reference [OP95].

### Notation

Throughout this paper  $\mathbb{K}$  denotes an arbitrary field. We write  $A^\times$  for the unit group of a unital  $\mathbb{K}$ -algebra  $A$ .

Let  $\Gamma$  and  $Z$  be abelian groups, both written additively. A function  $f: \Gamma \times \Gamma \rightarrow Z$  is called a *2-cocycle* if

$$f(\gamma, \gamma') + f(\gamma + \gamma', \gamma'') = f(\gamma, \gamma' + \gamma'') + f(\gamma', \gamma'')$$

holds for  $\gamma, \gamma', \gamma'' \in \Gamma$ . The set of all 2-cocycles is an additive group  $Z^2(\Gamma, Z)$  with respect to pointwise addition. The functions of the form  $h(\gamma) - h(\gamma + \gamma') + h(\gamma')$  are called *coboundaries*. They form a subgroup  $B^2(\Gamma, Z) \subseteq Z^2(\Gamma, Z)$ , and the quotient group  $H^2(\Gamma, Z) := Z^2(\Gamma, Z)/B^2(\Gamma, Z)$  is called the second cohomology group of  $\Gamma$  with values in  $Z$ . It classifies central extensions of  $\Gamma$  by  $Z$  up to equivalence. Here we assign to  $f \in Z^2(\Gamma, Z)$  the central extension  $Z \times_f \Gamma$ , which is the set  $Z \times \Gamma$ , endowed with the group multiplication

$$(0.1) \quad (z, \gamma)(z', \gamma') = (z + z' + f(\gamma, \gamma'), \gamma + \gamma') \quad z, z' \in Z, \gamma, \gamma' \in \Gamma.$$

We also write  $\text{Ext}(\Gamma, Z) \cong H^2(\Gamma, Z)$  for the group of all central extensions of  $\Gamma$  by  $Z$ , and  $\text{Ext}_{\text{ab}}(\Gamma, Z)$  for the subgroup corresponding to the abelian extensions of the group  $\Gamma$  by  $Z$ , which correspond to symmetric 2-cocycles.

We call a biadditive map  $\Gamma \times \Gamma \rightarrow Z$  vanishing on the diagonal *alternating* and denote the set of these maps by  $\text{Alt}^2(\Gamma, Z)$ . A function  $q: \Gamma \rightarrow Z$  is called a *quadratic form* if the map

$$\beta_q: \Gamma \times \Gamma \rightarrow Z, \quad (\gamma, \gamma') \mapsto q(\gamma + \gamma') - q(\gamma) - q(\gamma')$$

is biadditive. Note that we do not require here that  $q(n\gamma) = n^2q(\gamma)$  holds for  $n \in \mathbb{Z}$  and  $\gamma \in \Gamma$ .

For  $n \in \mathbb{N}$  we write  $Z[n] := \{z \in Z: nz = 0\}$  for the  $n$ -torsion subgroup of  $Z$ .

## I. The correspondence between quantum tori and central extensions

**Definition I.1.** Let  $\Gamma$  be an abelian group. A unital associative  $\mathbb{K}$ -algebra  $A$  is said to be a  $\Gamma$ -*quantum torus* if it is  $\Gamma$ -graded,  $A = \bigoplus_{\gamma \in \Gamma} A_\gamma$ , with one-dimensional grading spaces  $A_\gamma$ , and each non-zero element of  $A_\gamma$  is invertible.\*

For  $\Gamma \cong \mathbb{Z}^d$  we call a  $\Gamma$ -quantum torus also a *d-dimensional quantum torus*. ■

---

\* In [OP95], these algebras are called *twisted group algebras*.

**Remark I.2.** In each  $\Gamma$ -quantum torus  $A$  the set  $A_h^\times := \bigcup_{\gamma \in \Gamma} \mathbb{K}^\times \delta_\gamma$  of homogeneous units (called *trivial units* in [OP95]) is a subgroup containing  $\mathbb{K}^\times \mathbf{1} \cong \mathbb{K}^\times$  in its center. We thus obtain a central extension

$$\mathbf{1} \rightarrow \mathbb{K}^\times \rightarrow A_h^\times \rightarrow \Gamma \rightarrow \mathbf{1}$$

of abelian groups.

It is instructive to see how this can be made more explicit in terms of cocycles, which shows in particular that each central extension of  $\Gamma$  by  $\mathbb{K}^\times$  arises as  $A_h^\times$  for some  $\Gamma$ -quantum torus  $A$ .

Let  $A$  be a  $\Gamma$ -quantum torus and pick non-zero elements  $\delta_\gamma \in A_\gamma$ , so that  $(\delta_\gamma)_{\gamma \in \Gamma}$  is a basis of  $A$ . Then each  $\delta_\gamma$  is an invertible element of  $A$ , so that we get

$$(1.1) \quad \delta_\gamma \delta_{\gamma'} = f(\gamma, \gamma') \delta_{\gamma+\gamma'} \quad \text{for } \gamma, \gamma' \in \Gamma,$$

where  $f \in Z^2(\Gamma, \mathbb{K}^\times)$  is a 2-cocycle for which  $A_h^\times \cong \mathbb{K}^\times \times_f \Gamma$  (cf. (0.1)).

Conversely, starting with a cocycle  $f \in Z^2(\Gamma, \mathbb{K}^\times)$ , we define a multiplication on the vector space  $A := \bigoplus_{\gamma \in \Gamma} \mathbb{K} \delta_\gamma$  with basis  $(\delta_\gamma)_{\gamma \in \Gamma}$  by  $\delta_\gamma \delta_{\gamma'} := f(\gamma, \gamma') \delta_{\gamma+\gamma'}$ . Then the cocycle property implies that we get a unital associative algebra, and it is clear from the construction that it is a  $\Gamma$ -quantum torus. ■

**Definition I.3.** There are two natural equivalence relations between quantum tori. The finest one is the notion of *graded equivalence*: Two  $\Gamma$ -quantum tori  $A$  and  $B$  are called *graded equivalent* if there is an algebra isomorphism  $\varphi: A \rightarrow B$  with  $\varphi(A_\gamma) = B_\gamma$  for all  $\gamma \in \Gamma$ .

A slightly weaker notion is *graded isomorphy*: Two  $\Gamma$ -quantum tori  $A$  and  $B$  are called *graded isomorphic* if there is an isomorphism  $\varphi: A \rightarrow B$  and an automorphism  $\varphi_\Gamma \in \text{Aut}(\Gamma)$  with  $\varphi(A_\gamma) = B_{\varphi_\Gamma(\gamma)}$  for all  $\gamma \in \Gamma$ . ■

The following theorem reduces the corresponding classification problems to purely group theoretic ones.

**Theorem I.4.** *The graded equivalence classes of  $\Gamma$ -quantum tori are in one-to-one correspondence with the extensions of the group  $\Gamma$  by the multiplicative group  $\mathbb{K}^\times$ , hence parametrized by the cohomology group  $H^2(\Gamma, \mathbb{K}^\times)$ .*

*The graded isomorphy classes of  $\Gamma$ -quantum tori are parametrized by the set*

$$H^2(\Gamma, \mathbb{K}^\times) / \text{Aut}(\Gamma)$$

*of orbits of the group  $\text{Aut}(\Gamma)$  in the cohomology group  $H^2(\Gamma, \mathbb{K}^\times)$ , where the action is given on the level of cocycles by  $\psi.f := (\psi^{-1})^* f = f \circ (\psi^{-1} \times \psi^{-1})$ .*

**Proof.** If  $\varphi: A \rightarrow B$  is a graded equivalence of  $\Gamma$ -quantum tori, then the restriction to the group  $A_h^\times$  of homogeneous units leads to the commutative diagram

$$\begin{array}{ccccc} \mathbb{K}^\times & \rightarrow & A_h^\times & \rightarrow & \Gamma \\ \downarrow \text{id}_{\mathbb{K}^\times} & & \downarrow \varphi & & \downarrow \text{id}_\Gamma \\ \mathbb{K}^\times & \rightarrow & B_h^\times & \rightarrow & \Gamma. \end{array}$$

This means that the central extensions  $A_h^\times$  and  $B_h^\times$  of  $\Gamma$  by  $\mathbb{K}^\times$  are equivalent. If, conversely, these extensions are equivalent, then any equivalence  $\varphi: A_h^\times \rightarrow B_h^\times$  extends linearly to a graded equivalence  $A \rightarrow B$ . Now the observation from Remark I.2 implies that the graded equivalence classes of  $\Gamma$ -quantum tori are parametrized by the cohomology group  $H^2(\Gamma, \mathbb{K}^\times) \cong \text{Ext}(\Gamma, \mathbb{K}^\times)$ .

If  $\varphi: A \rightarrow B$  is a graded isomorphism of  $\Gamma$ -quantum tori, then the diagram

$$\begin{array}{ccccc} \mathbb{K}^\times & \rightarrow & A_h^\times & \rightarrow & \Gamma \\ \downarrow \text{id}_{\mathbb{K}^\times} & & \downarrow \varphi & & \downarrow \varphi_\Gamma \\ \mathbb{K}^\times & \rightarrow & B_h^\times & \rightarrow & \Gamma \end{array}$$

commutes, which means that the corresponding central extensions  $A_h^\times$  and  $B_h^\times$  are contained in the same orbit of  $\text{Aut}(\Gamma)$  on  $\text{Ext}(\Gamma, \mathbb{K}^\times) \cong H^2(\Gamma, \mathbb{K}^\times)$  (we leave the easy verification to the reader). Conversely, any isomorphism  $\varphi: A_h^\times \rightarrow B_h^\times$  of central extensions extends linearly to an isomorphism of algebras  $A \rightarrow B$ . ■

## II. Central extensions of abelian groups

In this section  $\Gamma$  and  $Z$  are abelian groups, written additively. We shall derive some general facts on the set of equivalence classes  $\text{Ext}(\Gamma, Z) \cong H^2(\Gamma, Z)$  of central extensions of  $\Gamma$  by  $Z$ . In Sections III and IV below we shall apply these to the special case  $Z = \mathbb{K}^\times$  for a field  $\mathbb{K}$ .

**Remark II.1.** Let  $Z \hookrightarrow \widehat{\Gamma} \xrightarrow{q} \Gamma$  be a central extension of the abelian group  $\Gamma$  by the abelian group  $Z$  and

$$\widehat{\lambda}: \widehat{\Gamma} \times \widehat{\Gamma} \rightarrow Z, \quad (x, y) \mapsto [x, y] := xyx^{-1}y^{-1}$$

the commutator map of  $\widehat{\Gamma}$ . Its values lie in  $Z$  because  $\Gamma$  is abelian. We then have

$$-\widehat{\lambda}(x, y) = \widehat{\lambda}(x, y)^{-1} = \widehat{\lambda}(y, x)$$

and

$$\begin{aligned} \widehat{\lambda}(xx', y) &= xx'y(x')^{-1}x^{-1}y^{-1} = x \cdot (x'y(x')^{-1}y^{-1}) \cdot (yx^{-1}y^{-1}) = x \cdot \widehat{\lambda}(x', y) \cdot (yx^{-1}y^{-1}) \\ &= xyx^{-1}y^{-1}\widehat{\lambda}(x', y) = \widehat{\lambda}(x, y) + \widehat{\lambda}(x', y). \end{aligned}$$

We conclude that  $\widehat{\lambda}$  is a skew-symmetric biadditive map (cf. [OP95, p.430]). Moreover, the commutator map is constant on the fibers of the map  $q$ , hence factors through a biadditive map  $\lambda \in \text{Alt}^2(\Gamma, Z)$ .

Next we write  $\widehat{\Gamma}$  as  $Z \times_f \Gamma$  with a 2-cocycle  $f \in Z^2(\Gamma, Z)$ . For the map  $\sigma: \Gamma \rightarrow \widehat{\Gamma}, \gamma \mapsto (0, \gamma)$  we then have  $\sigma(\gamma)\sigma(\gamma') = \sigma(\gamma + \gamma')f(\gamma, \gamma')$ , which leads to

$$\begin{aligned} f(\gamma, \gamma') &= \widehat{\lambda}(\sigma(\gamma), \sigma(\gamma')) = \sigma(\gamma)\sigma(\gamma')(\sigma(\gamma')\sigma(\gamma))^{-1} \\ &= \sigma(\gamma + \gamma')f(\gamma, \gamma')(\sigma(\gamma + \gamma')f(\gamma', \gamma))^{-1} = f(\gamma, \gamma')f(\gamma', \gamma)^{-1} = f(\gamma, \gamma') - f(\gamma', \gamma). \end{aligned}$$

Therefore the map  $\lambda_f \in \text{Alt}^2(\Gamma, Z)$  defined by

$$(2.1) \quad \lambda_f(\gamma, \gamma') := f(\gamma, \gamma') - f(\gamma', \gamma)$$

can be identified with the commutator map of  $\widehat{\Gamma}$ .

Note that the commutator map  $\lambda_f$  only depends on the cohomology class  $[f] \in H^2(\Gamma, Z)$ . We thus obtain a group homomorphism

$$\Phi: H^2(\Gamma, Z) \rightarrow \text{Alt}^2(\Gamma, Z), \quad [f] \mapsto \lambda_f. \quad \blacksquare$$

**Remark II.2.** Each biadditive map  $f: \Gamma \times \Gamma \rightarrow Z$  is a cocycle, but not each cohomology class in  $H^2(\Gamma, Z)$  has a biadditive representative. A typical examples is the class corresponding to the exact sequence  $\mathbf{0} \rightarrow m\mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}/m\mathbb{Z} \rightarrow \mathbf{0}$ .  $\blacksquare$

**Proposition II.3.** For abelian groups  $\Gamma$  and  $Z$  we have an exact sequence

$$\mathbf{0} \rightarrow \text{Ext}_{\text{ab}}(\Gamma, Z) \rightarrow \text{Ext}(\Gamma, Z) \cong H^2(\Gamma, Z) \xrightarrow{\Phi} \text{Alt}^2(\Gamma, Z),$$

describing the kernel of the map  $\Phi$ . The cokernel of  $\Phi$  is an elementary abelian 2-group.

**Proof.** For the exactness of the sequence, we only have to observe that an extension  $\widehat{\Gamma}$  of  $\Gamma$  by  $Z$  is an abelian group if and only if the commutator map of  $\widehat{\Gamma}$  is trivial (cf. Remark II.1).

To see that the cokernel of  $\Phi$  is an elementary abelian 2-group, we note that each element  $f \in \text{Alt}^2(\Gamma, Z)$  is biadditive, hence in particular a cocycle (Remark II.2), and with (2.1) we see that  $\Phi([f]) = \lambda_f = 2f$ . This shows that  $2\text{Alt}^2(\Gamma, Z) \subseteq \text{im}(\Phi)$ , i.e., that  $\text{coker}(\Phi)$  is an elementary abelian 2-group.  $\blacksquare$

For the following proposition we recall that, as a consequence of the Well-Ordering Theorem, each set  $I$  carries a total order.

**Proposition II.4.** *Let  $\Gamma = \bigoplus_{i \in I} \Gamma_i$  be a direct sum of cyclic groups  $\Gamma_i \cong \mathbb{Z}/m_i\mathbb{Z}$ ,  $m_i \in \mathbb{N}_0$ . Further let  $\leq$  be a total order on  $I$ . Then the map*

$$\Phi: H^2(\Gamma, Z) \rightarrow \text{Alt}^2(\Gamma, Z), \quad [f] \mapsto \lambda_f$$

*is surjective and splits, so that*

$$(2.2) \quad H^2(\Gamma, Z) \cong \text{Ext}_{\text{ab}}(\Gamma, Z) \oplus \text{Alt}^2(\Gamma, Z) \cong \prod_{i < j} Z[\text{lcm}(m_i, m_j)] \oplus \prod_{|m_i| < \infty} Z/m_i Z,$$

*where we put  $\text{lcm}(m, 0) := m$  for  $m \in \mathbb{N}_0$ .*

*If, in addition,  $\Gamma$  is free, then  $\Phi$  is an isomorphism,  $H^2(\Gamma, Z) \cong Z^{\{(i,j) \in I^2: i < j\}}$ , and each cohomology class has a biadditive representative.*

**Proof.** To see that  $\Phi$  is surjective, let  $\eta \in \text{Alt}^2(\Gamma, Z)$ . If  $\gamma_i$  is a generator of  $\Gamma_i$ , we have  $\eta(n\gamma_i, m\gamma_i) = nm\eta(\gamma_i, \gamma_i) = 0$  for  $n, m \in \mathbb{Z}$ , so that  $\eta$  vanishes on  $\Gamma_i \times \Gamma_i$ . We define a biadditive map  $f_\eta: \Gamma \times \Gamma \rightarrow Z$  by

$$f_\eta(\gamma_i, \gamma_j) := \begin{cases} \eta(\gamma_i, \gamma_j) & \text{for } i > j, \gamma_i \in \Gamma_i, \gamma_j \in \Gamma_j, \\ 0 & \text{for } i \leq j, \gamma_i \in \Gamma_i, \gamma_j \in \Gamma_j. \end{cases}$$

Then  $f_\eta$  is biadditive, hence a 2-cocycle (Remark II.2), and  $\Phi(f_\eta) = \eta$ .

Clearly, the assignment  $\eta \mapsto f_\eta$  defines an injective homomorphism  $\text{Alt}^2(\Gamma, Z) \rightarrow H^2(\Gamma, Z)$ , splitting  $\Phi$ . We know from Proposition II.3, that  $\ker \Phi = \text{Ext}_{\text{ab}}(\Gamma, Z)$ .

We next observe that

$$\text{Alt}(\Gamma, Z) \cong \prod_{i < j} \text{Hom}(\Gamma_i \otimes \Gamma_j, Z),$$

and  $\Gamma_i \otimes \Gamma_j \cong \mathbb{Z}/\text{lcm}(m_i, m_j)\mathbb{Z}$ , which leads to

$$\text{Hom}(\Gamma_i \otimes \Gamma_j, Z) \cong Z[\text{lcm}(m_i, m_j)].$$

On the other hand,

$$\text{Ext}_{\text{ab}}(\Gamma, Z) \cong \prod_{i \in I} \text{Ext}_{\text{ab}}(\Gamma_i, Z) \cong \prod_{|m_i| < \infty} Z/m_i Z$$

(cf. [Fu70]), which leads to (2.2).

If, in addition,  $\Gamma$  is free, then  $m_i = 0$  for each  $i \in I$ , and the assertion follows from  $\text{Ext}_{\text{ab}}(\Gamma, Z) = \mathbf{0}$ . ■

**Problem II.** Find a pair  $(\Gamma, Z)$  of abelian groups for which the map  $\Phi: H^2(\Gamma, Z) \rightarrow \text{Alt}^2(\Gamma, Z)$  is not surjective. ■

### III. The Normal form of rational quantum tori

In this section we write  $\Gamma := \mathbb{Z}^n$  for the free abelian group of rank  $n$ . For an abelian group  $Z$  we write  $\text{Alt}_n(Z)$  for the set of *alternating*  $(n \times n)$ -matrices with entries in  $Z$ , i.e.,  $a_{ii} = 0$  for each  $i$  and  $a_{ij} = -a_{ji}$  for  $i \neq j$ . This is an abelian group with respect to matrix addition.

Clearly the map  $\text{Alt}^2(\Gamma, Z) \rightarrow \text{Alt}_n(Z), f \mapsto (f(e_i, e_j))_{i,j=1,\dots,n}$  is an isomorphism of abelian groups, so that  $\text{Alt}_n(Z) \cong H^2(\Gamma, Z)$  by Proposition II.4. Writing  $\lambda_A \in \text{Alt}^2(\Gamma, Z)$  for the alternating form  $\lambda_A(\alpha, \beta) := \beta^\top A \alpha$  determined by the alternating matrix  $A$ , we have for  $g \in \text{GL}_n(\mathbb{Z}) \cong \text{Aut}(\Gamma)$  the relation

$$\lambda_A(g.\alpha, g.\beta) = \beta g^\top A g \alpha,$$

so that the orbits of the natural action of  $\text{Aut}(\Gamma) \cong \text{GL}_n(\mathbb{Z})$  on the set of alternating forms correspond to the orbits of the action of  $\text{GL}_n(\mathbb{Z})$  on  $\text{Alt}_n(Z)$  by

$$(3.1) \quad g.A := gAg^\top,$$

where we multiply matrices in  $M_n(\mathbb{Z})$  with matrices in  $M_n(Z)$  in the obvious fashion. We conclude that

$$(3.2) \quad H^2(\Gamma, Z)/\text{Aut}(\Gamma) \cong \text{Alt}_n(Z)/\text{GL}_n(\mathbb{Z})$$

can be identified with the set of  $\text{GL}_n(\mathbb{Z})$ -orbits in  $\text{Alt}_n(Z)$ .

If  $n = n_1 + \dots + n_r$  is a partition of  $n$  and  $A_i \in M_{n_i}(Z)$ , then we write

$$A_1 \oplus A_2 \oplus \dots \oplus A_r := \text{diag}(A_1, \dots, A_r),$$

for the block diagonal matrix with entries  $A_1, \dots, A_r$ .

The following theorem classifies the orbits of  $\text{GL}_n(\mathbb{Z})$  in  $\text{Alt}_n(Z)$  for cyclic groups  $Z$ . Note that each cyclic group  $Z$  has a ring structure, so that we may write  $a|b$  for  $bZ \subseteq aZ$ .

**Theorem III.1.** *Suppose that  $Z$  is a cyclic group and  $A \in \text{Alt}_n(Z)$ . Then the  $\text{GL}_n(\mathbb{Z})$ -orbit of  $A$  contains a unique matrix of the skew normal form*

$$\begin{pmatrix} 0 & h_1 \\ -h_1 & 0 \end{pmatrix} \oplus \begin{pmatrix} 0 & h_2 \\ -h_2 & 0 \end{pmatrix} \oplus \dots \oplus \begin{pmatrix} 0 & h_s \\ -h_s & 0 \end{pmatrix} \oplus \mathbf{0}_{n-2s},$$

where  $h_1|h_2|\dots|h_s$ .

**Proof.** Let  $q: \mathbb{Z} \rightarrow Z$  be a surjective homomorphism and  $q_n: M_n(\mathbb{Z}) \rightarrow M_n(Z)$  the induced surjective homomorphism of additive matrix groups which is equivariant with respect to the action (3.1) of  $\text{GL}_n(\mathbb{Z})$  on both groups. Since  $A \in M_n(Z)$  is a matrix with vanishing diagonal and  $a_{ij} = -a_{ji}$ , there exists a matrix  $\tilde{A} \in \text{Alt}_n(\mathbb{Z})$  with  $q_n(\tilde{A}) = A$ .

As  $\mathbb{Z}$  is a principal ideal ring, the Theorem on the Skew Normal Form ([New72, Thms. IV.1, IV.2]) implies the existence of  $g \in \text{GL}_n(\mathbb{Z})$  with

$$g^\top \tilde{A} g = \begin{pmatrix} 0 & \tilde{h}_1 \\ -\tilde{h}_1 & 0 \end{pmatrix} \oplus \begin{pmatrix} 0 & \tilde{h}_2 \\ -\tilde{h}_2 & 0 \end{pmatrix} \oplus \dots \oplus \begin{pmatrix} 0 & \tilde{h}_t \\ -\tilde{h}_t & 0 \end{pmatrix} \oplus \mathbf{0}_{n-2t}$$

and  $\tilde{h}_1|\tilde{h}_2|\dots|\tilde{h}_t$ . We then have

$$g.A = q_n(g^\top \tilde{A} g) = \begin{pmatrix} 0 & h_1 \\ -h_1 & 0 \end{pmatrix} \oplus \begin{pmatrix} 0 & h_2 \\ -h_2 & 0 \end{pmatrix} \oplus \dots \oplus \begin{pmatrix} 0 & h_s \\ -h_s & 0 \end{pmatrix} \oplus \mathbf{0}_{n-2s},$$

where  $h_j := q(\tilde{h}_j)$  satisfies  $h_1|h_2|\dots|h_s$  and  $s$  is maximal with  $h_s \neq 0$ . Note that this implies that  $h_j \neq 0$  for all  $j \leq s$ .

For  $B \in M_n(Z)$  and  $g \in \text{GL}_n(\mathbb{Z})$  we have  $q_n(g) \in \text{GL}_n(Z)$  and  $g.B = q_n(g)Bq_n(g)^\top$ , so that all matrices in the same  $\text{GL}_n(\mathbb{Z})$ -orbit are equivalent in the sense that they are contained in the same double cosets of  $\text{GL}_n(Z)$  in  $M_n(Z)$ . For  $1 \leq j \leq n$  the determinantal divisor  $d_j(B)$  is defined as the greatest common divisor of all minors of size  $j$  of  $B$ ; considered as an orbit of the multiplication action of the unit group  $Z^\times$  of  $(Z, \cdot)$  on  $Z$ . According to [New72, Th. II.8], the determinantal divisors  $d_j$  are constant on the  $\text{GL}_n(Z)$ -double cosets in  $M_n(Z)$ , hence invariants of the  $\text{GL}_n(\mathbb{Z})$ -action on  $\text{Alt}^2(Z)$ . Now the assertion follows from

$$h_1 = d_1(B) = d_2(B)/d_1(B), \dots, h_s = d_{2s-1}(B)/d_{2s-2}(B) = d_{2s}(B)/d_{2s-1}(B)$$

and  $d_j(B) = 0$  for  $j > 2s$ . ■

**Definition III.2.** (a) We call a  $\Gamma$ -quantum torus *rational* if the set of all commutators in  $A^\times = A_h^\times$  (cf. Proposition A.1) consists of roots of unity in  $\mathbb{K}$ .

(b) For each  $q \in \mathbb{K}^\times$  we write  $A_q$  for the  $\mathbb{Z}^2$ -quantum torus corresponding to the biadditive cocycle  $f: \mathbb{Z}^2 \times \mathbb{Z}^2 \rightarrow \mathbb{K}^\times$  determined by

$$f(e_1, e_1) = f(e_2, e_2) = f(e_2, e_1) = 1 \quad \text{and} \quad f(e_1, e_2) = q.$$

Then the algebra  $A_q$  has generators  $u_1 = \delta_{e_1}$  and  $u_2 = \delta_{e_2}$  satisfying

$$(3.3) \quad u_1 u_2 = q u_2 u_1.$$

The quantum torus  $A_q$  is rational if and only if  $q$  is a root of unity. ■

**Theorem III.3.** (Classification of rational quantum tori) *Let  $\mathbb{K}$  be any field. For each rational  $\mathbb{Z}^n$ -quantum torus over  $\mathbb{K}$  there exists an  $s \in \mathbb{N}_0$  with  $2s \leq n$  and roots of unity  $q_1, \dots, q_s \in \mathbb{K}^\times$  with  $\text{ord}(q_s) \leq \dots \leq \text{ord}(q_1)$ , such that*

$$A \cong A_{q_1} \otimes A_{q_2} \otimes \dots \otimes A_{q_s} \otimes \mathbb{K}[\mathbb{Z}^{n-2s}].$$

*Two  $n$ -dimensional rational quantum tori given, as above, by the data  $(q_1, \dots, q_s)$  and  $(q'_1, \dots, q'_s)$  are isomorphic if and only if  $s = s'$  and  $\text{ord}(q_i) = \text{ord}(q'_i)$  for  $i = 1, \dots, s$ .*

**Proof.** We know from Theorem I.4 and (3.2) that the  $\Gamma$ -quantum tori over  $\mathbb{K}$  are classified by the orbits of  $\text{Aut}(\Gamma) \cong \text{GL}_n(\mathbb{Z})$  in  $H^2(\Gamma, \mathbb{K}^\times) \cong \text{Alt}^2(\Gamma, \mathbb{K}^\times)$ . In this picture the rational quantum tori correspond to alternating forms  $f \in \text{Alt}^2(\Gamma, \mathbb{K}^\times)$  on  $\Gamma$  whose values are roots of unity. Since the group  $Z$  generated by the image of  $f$  is generated by the finite set  $f(e_i, e_j)$ ,  $i, j = 1, \dots, n$ , it is a finite subgroup of  $\mathbb{K}^\times$ , hence cyclic (cf. [La93, Th. IV.1.9]). Therefore Theorem III.1 applies, and we see  $A$  is isomorphic to a quantum torus defined by a biadditive cocycle  $f: \Gamma \times \Gamma \rightarrow Z \subseteq \mathbb{K}^\times$  satisfying

$$f(e_1, e_2) = q_1, \quad f(e_3, e_4) = q_2 \quad \text{and} \quad f(e_{2s-1}, e_{2s}) = q_s$$

and  $f(e_i, e_j) = 1$  for all other pairs  $(i, j)$ , where  $q_1 | q_2 | \dots | q_s$  holds in the cyclic group  $Z$ , viewed as a ring. This means that  $\langle q_s \rangle \subseteq \dots \subseteq \langle q_1 \rangle$ , or, equivalently,  $\text{ord}(q_s) \leq \dots \leq \text{ord}(q_1)$ . The quantum torus  $A_f \cong A$  defined by  $f$  then satisfies

$$A_f \cong A_{q_1} \otimes A_{q_2} \otimes \dots \otimes A_{q_s} \otimes \mathbb{K}[\mathbb{Z}^{n-2s}].$$

That two such quantum tori are isomorphic if and only if  $s = s'$  and  $\text{ord}(q_i) = \text{ord}(q'_i)$  for  $i = 1, \dots, s$ , follows from Theorem I.4, combined with Theorem III.1, because the order of an element  $q \in Z$  determines the subgroup  $\langle q \rangle$  it generates uniquely, and vice versa. ■

## IV. Graded automorphisms of quantum tori

In this section we briefly discuss the group of automorphisms of a general quantum torus, but our main result only concerns the 2-dimensional case: For  $A = A_q$  and the corresponding alternating form  $\lambda$  on  $\mathbb{Z}^2$ , the group  $\text{Aut}(A)$  is a semi-direct product  $\text{Hom}(\mathbb{Z}^2, \mathbb{K}^\times) \rtimes \text{Aut}(\mathbb{Z}^2, \lambda)$ .

**Definition IV.1.** Let  $A$  be a  $\Gamma$ -quantum torus. We write  $\text{Aut}_{\text{gr}}(A)$  for the group of *graded automorphisms of  $A$* , i.e., all those automorphisms  $\varphi \in \text{Aut}(A)$  for which there exists an automorphism  $\varphi_\Gamma \in \text{Aut}(\Gamma)$  with  $\varphi(A_\gamma) = A_{\varphi_\Gamma(\gamma)}$  for all  $\gamma \in \Gamma$ . ■

Note that Proposition A.1 in the appendix implies that if  $\Gamma$  is torsion free, then all units are homogeneous, which implies that each automorphism of  $A$  is graded.

**Remark IV.2.** We fix a basis  $(\delta_\gamma)_{\gamma \in \Gamma}$  of  $A$  and suppose that  $f \in Z^2(\Gamma, \mathbb{Z})$  is the corresponding cocycle determined by (1.1). Then for each graded automorphism  $\varphi$  of  $A$  there is an automorphism  $\varphi_\Gamma \in \text{Aut}(\Gamma)$  and a function  $\chi: \Gamma \rightarrow \mathbb{K}^\times$  such

$$(4.1) \quad \varphi(\delta_\gamma) = \chi(\gamma)\delta_{\varphi_\Gamma(\gamma)}, \quad \gamma \in \Gamma.$$

Conversely, for a pair  $(\chi, \varphi_\Gamma)$  of a function  $\chi: \Gamma \rightarrow \mathbb{K}^\times$  and an automorphism  $\varphi_\Gamma \in \text{Aut}(\Gamma)$  the prescription  $\varphi(\delta_\gamma) := \chi(\gamma)\delta_{\varphi_\Gamma(\gamma)}$  defines an automorphism of  $A$  if and only if

$$(4.2) \quad \frac{(\varphi_\Gamma^* f)(\gamma, \gamma')}{f(\gamma, \gamma')} = \frac{\chi(\gamma + \gamma')}{\chi(\gamma)\chi(\gamma')} \quad \text{for all } \gamma, \gamma' \in \Gamma.$$

Note that if  $f$  is biadditive, then  $\varphi_\Gamma^* f/f$  is biadditive, so that  $\chi$  is a corresponding  $\mathbb{K}^\times$ -valued quadratic form. If  $f$  and  $\varphi_\Gamma$  are given, then a  $\chi$  satisfying (4.2) exists if and only if  $[\varphi_\Gamma^* f] = [f]$  holds in  $H^2(\Gamma, \mathbb{Z})$ . ■

**Lemma IV.3.** *The image of the map*

$$Q: \text{Aut}_{\text{gr}}(A) \rightarrow \text{Aut}(\Gamma), \quad \varphi \mapsto \varphi_\Gamma$$

*is the group*

$$\text{Aut}(\Gamma)_{[f]} := \{\psi \in \text{Aut}(\Gamma): [\psi^* f] = [f]\},$$

*which is contained in*

$$\text{Aut}(\Gamma, \lambda_f) := \{\psi \in \text{Aut}(\Gamma): \psi^* \lambda_f = \lambda_f\},$$

*where  $\lambda_f(\gamma, \gamma') = \frac{f(\gamma, \gamma')}{f(\gamma', \gamma)}$ . If, in addition,  $\Gamma$  is free, then  $\text{Aut}(\Gamma)_{[f]} = \text{Aut}(\Gamma, \lambda_f)$ .*

**Proof.** Let  $\varphi_\Gamma \in \text{Aut}(\Gamma)$ . In view of Remark IV.2, the existence of  $\varphi \in \text{Aut}_{\text{gr}}(A)$  with  $Q(\varphi) = \varphi_\Gamma$  is equivalent to the existence of  $\chi$  satisfying (4.2), which is equivalent to  $[\varphi_\Gamma^* f] = [f]$  in  $H^2(\Gamma, \mathbb{K}^\times)$ . Since (4.2) implies that  $\varphi_\Gamma^* f/f$  is symmetric, we have  $\varphi_\Gamma^* \lambda_f = \lambda_{\varphi_\Gamma^* f} = \lambda_f$ .

If, in addition,  $\Gamma$  is free, then Proposition II.4 entails that  $\varphi_\Gamma^* \lambda_f = \lambda_f$  is equivalent to  $[\varphi_\Gamma^* f] = [f]$  in  $H^2(\Gamma, \mathbb{K}^\times)$  (cf. [OP95, Lemma 3.3(iii)]). ■

From (4.2) we derive in particular that  $(\chi, \mathbf{1})$  defines an automorphism of  $A$  if and only if  $\chi \in \text{Hom}(\Gamma, \mathbb{K}^\times)$ , so that we obtain the exact sequence

$$(4.3) \quad \mathbf{1} \rightarrow \text{Hom}(\Gamma, \mathbb{K}^\times) \rightarrow \text{Aut}_{\text{gr}}(A) \rightarrow \text{Aut}(\Gamma)_{[f]} \rightarrow \mathbf{1}$$

(cf. [OP95, Lemma 3.3(ii)]). We call the automorphisms of the form  $(\chi, \mathbf{1})$  *scalar*.

**Remark IV.4.** If the map  $\Phi$  from Proposition II.4 is not injective, then the groups  $\text{Aut}(\Gamma, \lambda_f)$  and  $\text{Aut}(\Gamma)_{[f]}$  need not coincide, but with Proposition II.3 we obtain a 1-cocycle

$$I: \text{Aut}(\Gamma, \lambda_f) \rightarrow \text{Ext}_{\text{ab}}(\Gamma, \mathbb{K}^\times), \quad \psi \mapsto [\psi^* f - f]$$

satisfying  $\text{Aut}(\Gamma)_{[f]} = I^{-1}(0)$ . ■

In the remainder of this section we restrict our attention to the case, where  $\Gamma = \mathbb{Z}^n$  is a free abelian group of rank  $n$ , which implies that  $\text{Aut}(\Gamma)_{[f]} = \text{Aut}(\Gamma, \lambda_f)$  and that  $\text{Aut}(A) = \text{Aut}_{\text{gr}}(A)$  (Corollary A.2).

**Remark IV.5.** (a) For  $n = 1$ , each alternating biadditive map  $\lambda$  on  $\Gamma$  vanishes, so that  $\text{Aut}(\Gamma, \lambda) = \text{Aut}(\Gamma) \cong \{\pm \text{id}_\Gamma\}$ .

(b) For each alternating form  $\lambda: \Gamma \times \Gamma \rightarrow \mathbb{K}^\times$  we have  $-\text{id}_\Gamma \in \text{Aut}(\Gamma, \lambda)$ .

(c) In [OP95] it is shown that if the subgroup  $\langle \text{im}(\lambda) \rangle$  of  $\mathbb{K}^\times$  generated by the image of  $\lambda$  is free of rank  $\binom{n}{2}$ , then  $\text{Aut}(\Gamma, \lambda_f) = \{\pm \text{id}_\Gamma\}$ .

Moreover, for  $n = 3$  and  $\langle \text{im}(\lambda) \rangle$  free of rank 2, [OP95, Prop. 3.7] implies the existence of a basis  $\gamma_1, \gamma_2, \gamma_3 \in \Gamma$  with  $\lambda(\gamma_1, \gamma_2) = 1$  and

$$\begin{aligned} \text{Aut}(\Gamma, \lambda) &\cong \{\sigma \in \text{Aut}(\Gamma): (\exists a, b \in \mathbb{Z}, \varepsilon \in \{\pm 1\}) \sigma(\gamma_1) = \gamma_1^\varepsilon, \sigma(\gamma_2) = \gamma_2^\varepsilon, \sigma(\gamma_3) = \gamma_1^a \gamma_2^b \gamma_3^\varepsilon\} \\ &\cong \mathbb{Z}^2 \rtimes \{\pm \text{id}_{\mathbb{Z}^2}\}. \end{aligned}$$

■



We now take a closer look at the case  $n = 2$ . Any alternating form  $\lambda \in \text{Alt}^2(\mathbb{Z}^2, \mathbb{K}^\times)$  is uniquely determined by  $q := \lambda(e_1, e_2)$ , which implies  $\lambda(\gamma, \gamma') = q^{\gamma_1\gamma'_2 - \gamma_2\gamma'_1}$ . We may therefore assume that a corresponding bimultiplicative cocycle  $f$  satisfies  $f(\gamma, \gamma') = q^{\gamma_1\gamma'_2}$ , which leads to the quantum torus  $A_q$  with two generators  $u_i = \delta_{e_i}$  satisfying  $u_1u_2 = qu_2u_1$ , as defined in the introduction.

We start with two simple observations:

**Lemma IV.6.**  $\text{Aut}(\mathbb{Z}^2, \lambda) = \begin{cases} \text{SL}_2(\mathbb{Z}) & \text{for } q^2 \neq 1 \\ \text{GL}_2(\mathbb{Z}) & \text{for } q^2 = 1. \end{cases}$

**Proof.** Clearly  $\text{SL}_2(\mathbb{Z}) \subseteq \text{Aut}(\mathbb{Z}^2, \lambda) \subseteq \text{GL}_2(\mathbb{Z})$ . The map  $g_0(\gamma) = (\gamma_2, \gamma_1)$  satisfies  $\text{GL}_2(\mathbb{Z}) \cong \text{SL}_2(\mathbb{Z}) \rtimes \langle g_0 \rangle$ , and we have

$$\frac{g_0^*\lambda(e_1, e_2)}{\lambda(e_1, e_2)} = \frac{\lambda(e_2, e_1)}{\lambda(e_1, e_2)} = q^{-2}. \quad \blacksquare$$

**Example IV.7.** (a) On  $\mathbb{Z}^2$  the map  $\chi(\gamma) := \gamma_1\gamma_2$  is a quadratic form with

$$\chi(\gamma + \gamma') - \chi(\gamma) - \chi(\gamma') = \gamma_1\gamma'_2 + \gamma_2\gamma'_1.$$

(b) On  $\mathbb{Z}$  the map  $\chi(n) := \binom{n}{2}$  is a quadratic form with

$$\chi(n + n') - \chi(n) - \chi(n') = \frac{(n + n')(n + n' - 1) - n(n - 1) - n'(n' - 1)}{2} = \frac{nn' + n'n}{2} = nn'. \quad \blacksquare$$

From  $\text{SL}_2(\mathbb{Z}) \subseteq \text{Aut}(\mathbb{Z}^2, \lambda)$ , it follows in particular that each matrix

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$$

can be lifted to an automorphism of  $A_q$ . To determine a corresponding quadratic form  $\chi: \mathbb{Z}^2 \rightarrow \mathbb{K}^\times$ , we have to solve the equation (4.2):

$$\frac{(g^*f)(\gamma, \gamma')}{f(\gamma, \gamma')} = \frac{\chi(\gamma + \gamma')}{\chi(\gamma)\chi(\gamma')}.$$

The form  $g^*f/f$  is determined by its values on the pairs  $(e_1, e_1)$ ,  $(e_1, e_2)$  and  $(e_2, e_2)$ :

$$(g^*f/f)(e_1, e_1) = f(g.e_1, g.e_1) = q^{ac}, \quad (g^*f/f)(e_1, e_2) = f(g.e_1, g.e_2)q^{-1} = q^{ad-1}$$

and

$$(g^*f/f)(e_2, e_2) = f(g.e_2, g.e_2) = q^{bd}.$$

This means that

$$(g^*f/f)(\gamma, \gamma') = q^{ac\gamma_1\gamma'_1 + (ad-1)(\gamma_1\gamma'_2 + \gamma'_1\gamma_2) + bd\gamma_2\gamma'_2}.$$

Before we turn to lifting the full groups  $\text{Aut}(\mathbb{Z}^2, \lambda)$  to an automorphism group of  $A$ , we discuss certain specific elements of finite order separately.

**Remark IV.8.** (a) For the central element  $z = -\mathbf{1} \in \text{SL}_2(\mathbb{Z})$ , any lift  $\widehat{z} \in \text{Aut}(A_q)$  is of the form

$$\widehat{z}.\delta_\gamma = r^{\gamma_1}s^{\gamma_2} \cdot \delta_{-\gamma} \quad \text{for some } r, s \in \mathbb{K}^\times,$$

and any such element satisfies  $\widehat{z}^2.\delta_\gamma = r^{\gamma_1}s^{\gamma_2} \cdot \widehat{z}.\delta_{-\gamma} = r^{\gamma_1-\gamma_1}s^{\gamma_2-\gamma_2} \cdot \delta_\gamma = \delta_\gamma$ . Hence each lift  $\widehat{z}$  of  $z$  is an element of order 2.

(b) The matrices

$$g_1 := \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \text{and} \quad g_2 := \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}$$

satisfy  $g_1^2 = z = g_2^3$ , which leads to  $\text{ord}(g_1) = 4$  and  $\text{ord}(g_2) = 6$ . From the preceding paragraph we conclude that for any lift  $\widehat{g}_j$  of  $g_j$ ,  $j = 1, 2$ , we have  $\widehat{g}_1^4 = \mathbf{1} = \widehat{g}_2^6$ .

In view of

$$(g_1^* f / f)(\gamma, \gamma') = q^{-(\gamma_1 \gamma'_2 + \gamma'_1 \gamma_2)},$$

a lift  $\widetilde{g}_1$  of  $g_1$  is given by  $\widetilde{g}_1 \cdot \delta_\gamma = q^{-\gamma_1 \gamma_2} \delta_{g_1 \cdot \gamma}$  (Example IV.7(a)). We then have

$$\widetilde{g}_1^2 \cdot \delta_\gamma = q^{-\gamma_1 \gamma_2} \widetilde{g}_1 \cdot \delta_{(\gamma_2, -\gamma_1)} = q^{-\gamma_1 \gamma_2} q^{\gamma_2 \gamma_1} \delta_{-\gamma} = \delta_{-\gamma}.$$

Any other lift  $\widehat{g}_1$  of  $g_1$  is of the form

$$\widehat{g}_1 \cdot \delta_g = r_1^{\gamma_1} s_1^{\gamma_2} q^{-\gamma_1 \gamma_2} \delta_{g_1 \cdot \gamma}$$

for two elements  $r_1, s_1 \in \mathbb{K}^\times$ . The square of this element is given by

$$(4.4) \quad \widehat{g}_1^2 \cdot \delta_g = r_1^{\gamma_1} s_1^{\gamma_2} \widehat{g}_1 \widetilde{g}_1 \cdot \delta_\gamma = r_1^{\gamma_1 + \gamma_2} s_1^{\gamma_2 - \gamma_1} \widetilde{g}_1^2 \cdot \delta_\gamma = \left( \frac{r_1}{s_1} \right)^{\gamma_1} (r_1 s_1)^{\gamma_2} \cdot \delta_{-\gamma}.$$

For the matrix  $g_2$  we have

$$(g_2^* f / f)(\gamma, \gamma') = q^{-\gamma_1 \gamma'_1 - (\gamma_1 \gamma'_2 + \gamma'_1 \gamma_2)},$$

so that we obtain a lift  $\widetilde{g}_2$  of  $g_2$  by  $\widetilde{g}_2 \cdot \delta_\gamma = q^{-\binom{\gamma_1}{2} - \gamma_1 \gamma_2} \delta_{(\gamma_1 + \gamma_2, -\gamma_1)}$  (Example IV.7(b)). Hence each lift  $\widehat{g}_2$  of  $g_2$  is of the form

$$\widehat{g}_2 \cdot \delta_g = r_2^{\gamma_1} s_2^{\gamma_2} q^{-\binom{\gamma_1}{2} - \gamma_1 \gamma_2} \delta_{(\gamma_1 + \gamma_2, -\gamma_1)},$$

for some  $r_2, s_2 \in \mathbb{K}^\times$ . In view of  $g_2^2 = \begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix}$ , we get with Example IV.7(b):

$$\begin{aligned} \widehat{g}_2^3 \cdot \delta_\gamma &= q^{-\binom{\gamma_1}{2} - \gamma_1 \gamma_2} \widehat{g}_2^2 \cdot \delta_{\gamma_1 + \gamma_2, -\gamma_1} = q^{-\binom{\gamma_1}{2} - \gamma_1 \gamma_2} q^{-(\gamma_1 + \gamma_2) + (\gamma_1 + \gamma_2) \gamma_1} \widetilde{g}_2 \cdot \delta_{\gamma_2, -\gamma_1 - \gamma_2} \\ &= q^{-2\binom{\gamma_1}{2} - \binom{\gamma_2}{2} - \gamma_1 \gamma_2 + \gamma_1^2} q^{-(\gamma_2) + (\gamma_1 + \gamma_2) \gamma_2} \delta_{-\gamma} = q^{-\gamma_1(\gamma_1 - 1) - \gamma_2(\gamma_2 - 1) + \gamma_1^2 + \gamma_2^2} \delta_{-\gamma} = q^{\gamma_1 + \gamma_2} \delta_{-\gamma}. \end{aligned}$$

This further leads to

$$(4.5) \quad \begin{aligned} \widehat{g}_2^3 \cdot \delta_\gamma &= r_2^{\gamma_1} s_2^{\gamma_2} \widehat{g}_2^2 \widetilde{g}_2 \cdot \delta_\gamma = r_2^{2\gamma_1 + \gamma_2} s_2^{-\gamma_1 + \gamma_2} \widehat{g}_2 \widetilde{g}_2^2 \cdot \delta_\gamma = r_2^{2\gamma_1 + 2\gamma_2} s_2^{-2\gamma_1} \widetilde{g}_2^3 \cdot \delta_\gamma \\ &= r_2^{2(\gamma_1 + \gamma_2)} s_2^{-2\gamma_1} q^{\gamma_1 + \gamma_2} \delta_{-\gamma} = \left( \frac{r_2^2}{s_2^2} q \right)^{\gamma_1} (r_2^2 q)^{\gamma_2} \delta_{-\gamma}. \end{aligned}$$

(c) If, in addition,  $q^2 = 1$ , then  $\text{Aut}(\Gamma, \lambda_f) = \text{Aut}(\Gamma) \cong \text{GL}_2(\mathbb{Z})$  (Remark IV.8). For the involution

$$g_0 := \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

we have  $\text{GL}_2(\mathbb{Z}) = \text{SL}_2(\mathbb{Z}) \rtimes \langle g_0 \rangle$ , and the elements  $g_0, g_1, g_2$  satisfy

$$(4.6) \quad g_0 g_1 g_0 = g_1^{-1} = g^3 \quad \text{and} \quad g_0 g_2 g_0 = g_2^5 = g_2^{-1}.$$

To lift  $g_0$  to an automorphism of  $A_q$ , we first note that  $q^2 = 1$  implies that

$$(g_0^* f / f)(\gamma, \gamma') = q^{\gamma_2 \gamma'_1 - \gamma_1 \gamma'_2} = q^{\gamma_2 \gamma'_1 + \gamma_1 \gamma'_2},$$

which shows that each lift  $\widehat{g}_0$  of  $g_0$  is of the form  $\widehat{g}_0 \cdot \delta_\gamma = r_0^{\gamma_1} s_0^{\gamma_2} q^{\gamma_1 \gamma_2} \delta_{(\gamma_2, \gamma_1)}$  for some  $r_0, s_0 \in \mathbb{K}^\times$ . In view of

$$\widehat{g}_0^2 \cdot \delta_\gamma = r_0^{\gamma_1} s_0^{\gamma_2} q^{\gamma_1 \gamma_2} \widehat{g}_0 \cdot \delta_{(\gamma_2, \gamma_1)} = r_0^{\gamma_1 + \gamma_2} s_0^{\gamma_2 + \gamma_1} q^{2\gamma_1 \gamma_2} \delta_\gamma = (r_0 s_0)^{\gamma_1 + \gamma_2} \delta_\gamma,$$

$\widehat{g}_0^2 = \mathbf{1}$  is equivalent to  $r_0 s_0 = 1$ . If this condition is satisfied, then  $\widehat{g}_0 \cdot \delta_\gamma = r_0^{\gamma_1 - \gamma_2} q^{\gamma_1 \gamma_2} \delta_{(\gamma_2, \gamma_1)}$ . ■

Before we state the following theorem, we recall that for any split abelian extension

$$\mathbf{1} \rightarrow A \rightarrow \widehat{G} \xrightarrow{q} G \rightarrow \mathbf{1}$$

of a group  $G$  by some (abelian)  $G$ -module  $A$ , the set of all splittings is parametrized by the group

$$Z^1(G, A) = \{f: G \rightarrow A: (\forall x, y \in G) f(xy) = f(x) + x \cdot f(y)\}$$

of  $A$ -valued 1-cocycles. This parametrization is obtained by choosing a homomorphic section  $\sigma_0: G \rightarrow \widehat{G}$  and then observing that any other section  $\sigma: G \rightarrow \widehat{G}$  is of the form  $\sigma = f \cdot \sigma_0$ , where  $f \in Z^1(G, A)$ .

**Theorem IV.9.** For each element  $q \in \mathbb{K}^\times$  and  $\lambda(\gamma, \gamma') = q^{\gamma_1\gamma_2 - \gamma_2\gamma_1}$  the exact sequence

$$\mathbf{1} \rightarrow \text{Hom}(\mathbb{Z}^2, \mathbb{K}^\times) \rightarrow \text{Aut}(A_q) \rightarrow \text{Aut}(\mathbb{Z}^2, \lambda) \rightarrow \mathbf{1}$$

splits. For  $q^2 = 1$ , the homomorphisms  $\sigma: \text{GL}_2(\mathbb{Z}) \rightarrow \text{Aut}(A_q)$  splitting the sequence are parametrized by the abelian group

$$\mathbb{Z}^1(\text{GL}_2(\mathbb{Z}), \text{Hom}(\mathbb{Z}^2, \mathbb{K}^\times)) \cong \{(r_0, r_1, r_2) \in (\mathbb{K}^\times)^3: r_4^2 r_0^2 = r_1^2\},$$

and for  $q^2 \neq 1$ , the homomorphisms  $\sigma: \text{SL}_2(\mathbb{Z}) \rightarrow \text{Aut}(A_q)$  splitting the sequence are parametrized by

$$\mathbb{Z}^1(\text{SL}_2(\mathbb{Z}), \text{Hom}(\mathbb{Z}^2, \mathbb{K}^\times)) \cong (\mathbb{K}^\times)^2 \times \{z \in \mathbb{K}^\times: z^2 = 1\}.$$

**Proof.** First we consider the case  $q^2 \neq 1$ , where  $\text{Aut}(\mathbb{Z}^2, \lambda) = \text{SL}_2(\mathbb{Z})$  (Remark IV.8). We shall use the description of the lifts of  $g_1, g_2$  given in Remark IV.8. Since  $\text{SL}_2(\mathbb{Z})$  is presented by the relations

$$g_1^4 = g_2^6 = \mathbf{1}, \quad g_1^2 = g_2^3$$

([Ha00, p.51]), Remark IV.8 implies that a pair of elements  $(\widehat{g}_1, \widehat{g}_2)$  lifting  $(g_1, g_2)$  leads to a lift  $\text{SL}_2(\mathbb{Z}) \rightarrow \text{Aut}(A_q)$  if and only if  $\widehat{g}_1^2 = \widehat{g}_2^3$ . Comparing (4.4) and (4.5), we see that  $\widehat{g}_1^2 = \widehat{g}_2^3$  is equivalent to

$$\frac{r_1}{s_1} = \frac{r_2^2}{s_2^2} q \quad \text{and} \quad r_1 s_1 = r_2^2 q,$$

which is equivalent to

$$(4.8) \quad s_1^2 = s_2^2 \quad \text{and} \quad s_1 = \frac{r_2^2 q}{r_1},$$

These equations have the simple solution  $r_1 = q, r_2 = s_1 = s_2 = 1$ , showing that the action of the group  $\text{SL}_2(\mathbb{Z})$  on  $\Gamma$  lifts to an action on  $A_q$ . Moreover, for each pair  $(r_1, r_2)$ , the set of all solutions is determined by the choice of sign in  $s_2 := \pm s_1$ , which is vacuous if  $\text{char}(\mathbb{K}) = 2$ .

Next we consider the case  $q^2 = 1$ . We assume that the lift  $\widehat{g}_0$  of  $g_0$  satisfies  $\widehat{g}_0^2 = \mathbf{1}$  (cf. Remark IV.8(c)). Now the relation  $\widehat{g}_0 \widehat{g}_1 \widehat{g}_0 = \widehat{g}_1^{-1}$  is equivalent to  $(\widehat{g}_0 \widehat{g}_1)^2 = \mathbf{1}$ . We calculate

$$\widehat{g}_0 \widehat{g}_1 \cdot \delta_\gamma = r_1^{\gamma_1} s_1^{\gamma_2} q^{-\gamma_1 \gamma_2} \widehat{g}_0 \cdot \delta_{(\gamma_2, -\gamma_1)} = (r_0 r_1)^{\gamma_1} (r_0 s_1)^{\gamma_2} \delta_{(-\gamma_1, \gamma_2)}$$

to get

$$(\widehat{g}_0 \widehat{g}_1)^2 \cdot \delta_\gamma = (r_0 r_1)^{\gamma_1} (r_0 s_1)^{\gamma_2} \widehat{g}_0 \widehat{g}_1 \cdot \delta_{(-\gamma_1, \gamma_2)} = (r_0 s_1)^{2\gamma_2} \delta_\gamma.$$

Hence  $\widehat{g}_0 \widehat{g}_1 \widehat{g}_0 = \widehat{g}_1^{-1}$  is equivalent to

$$(4.9) \quad r_0^2 s_1^2 = 1.$$

To see when  $\widehat{g}_0 \widehat{g}_2 \widehat{g}_0 = \widehat{g}_2^{-1}$  holds, we first observe that

$$\widehat{g}_2^{-1} \cdot \delta_\gamma = r_2^{\gamma_2} s_2^{-\gamma_1 - \gamma_2} q^{\binom{-\gamma_2}{2}} \delta_{(-\gamma_2, \gamma_1 + \gamma_2)}.$$

Further

$$\begin{aligned} \widehat{g}_0 \widehat{g}_2 \cdot \delta_\gamma &= r_2^{\gamma_1} s_2^{\gamma_2} q^{-\binom{\gamma_1}{2} - \gamma_1 \gamma_2} \widehat{g}_0 \cdot \delta_{(\gamma_1 + \gamma_2, -\gamma_1)} = (r_0^2 r_2)^{\gamma_1} (r_0 s_2)^{\gamma_2} q^{\binom{\gamma_1}{2} + \gamma_1 \gamma_2 + (\gamma_1 + \gamma_2) \gamma_1} \cdot \delta_{(-\gamma_1, \gamma_1 + \gamma_2)} \\ &= (r_0^2 r_2)^{\gamma_1} (r_0 s_2)^{\gamma_2} q^{\binom{\gamma_1}{2} + \gamma_1^2} \cdot \delta_{(-\gamma_1, \gamma_1 + \gamma_2)} = (r_0^2 r_2 q)^{\gamma_1} (r_0 s_2)^{\gamma_2} q^{\binom{\gamma_1}{2}} \cdot \delta_{(-\gamma_1, \gamma_1 + \gamma_2)} \end{aligned}$$

because  $q^2 = 1$  implies  $q^{n^2} = q^n = q^{-n}$  for each  $n \in \mathbb{Z}$ .

On the other hand, we have

$$\begin{aligned} \widehat{g}_2^{-1} \widehat{g}_0 \cdot \delta_\gamma &= r_0^{\gamma_1} r_0^{-\gamma_2} q^{\gamma_1 \gamma_2} \widehat{g}_2^{-1} \cdot \delta_{(\gamma_2, \gamma_1)} = r_0^{\gamma_1} r_0^{-\gamma_2} q^{\gamma_1 \gamma_2} r_2^{\gamma_1} s_2^{-\gamma_2 - \gamma_1} q^{\binom{-\gamma_1}{2} - \gamma_1(\gamma_1 + \gamma_2)} \delta_{(-\gamma_1, \gamma_1 + \gamma_2)} \\ &= (r_0 r_2 s_2^{-1})^{\gamma_1} (r_0 s_2)^{-\gamma_2} q^{\binom{-\gamma_1}{2} - \gamma_1^2} \delta_{(-\gamma_1, \gamma_1 + \gamma_2)} = (r_0 r_2 s_2^{-1})^{\gamma_1} (r_0 s_2)^{-\gamma_2} q^{-\binom{\gamma_1}{2}} \delta_{(-\gamma_1, \gamma_1 + \gamma_2)} \\ &= (r_0 r_2 s_2^{-1})^{\gamma_1} (r_0 s_2)^{-\gamma_2} q^{\binom{\gamma_1}{2}} \delta_{(-\gamma_1, \gamma_1 + \gamma_2)}. \end{aligned}$$

Therefore  $\widehat{g}_0\widehat{g}_2\widehat{g}_0 = \widehat{g}_2^{-1}$  is equivalent to

$$r_0r_2s_2^{-1} = r_0^2r_2q \quad \text{and} \quad (r_0s_2)^2 = 1,$$

which is equivalent to

$$(4.10) \quad r_0s_2 = q,$$

because this relation implies  $(r_0s_2)^2 = q^2 = 1$ .

We conclude that the numbers  $r_0, r_1, r_2, s_1, s_2$  which determine  $\widehat{g}_0, \widehat{g}_1, \widehat{g}_2$  define a lift of  $\text{GL}_2(\mathbb{Z})$  to  $\text{Aut}(A_q)$  if and only if the equations (4.8), (4.9) and (4.10) are satisfied:

$$s_1^2 = s_2^2, \quad s_1 = \frac{r_2^2q}{r_1}, \quad r_0^2s_1^2 = 1, \quad \text{and} \quad r_0s_2 = q.$$

If  $r_0, r_1$  and  $r_2$  are given, we determine  $s_1$  and  $s_2$  by  $s_1 := \frac{r_2^2q}{r_1}$  and  $s_2 := \frac{q}{r_0}$ . Then

$$\frac{s_1^2}{s_2^2} = \frac{r_2^4r_0^2}{r_1^2} = r_0^2s_1^2,$$

so that we obtain only the relation  $r_2^4r_0^2 = r_1^2$  for  $r_0, r_1, r_2$ . This completes the proof. ■

**Remark IV.10.** (a) From the proof of the preceding theorem, we see that we obtain the particularly simple solution

$$r_0 = r_1 = r_2 = 1, \quad s_1 = s_2 = q.$$

(b) For  $\text{char } \mathbb{K} = 2$  the equation  $q^2 = 1$  has the unique solution  $q = 1$ , so that  $A_q \cong \mathbb{K}[\mathbb{Z}^2]$ , and the action of  $\text{GL}_2(\mathbb{Z})$  has a canonical lift to an action on  $A_q$ . ■

**Problem IV.1.** Does the sequence (4.3) always split? We have seen above, that this is true for  $\Gamma = \mathbb{Z}^2$ . If the answer is no, it would be of some interest to understand the cohomology groups

$$H^2(\text{Aut}(\Gamma)_{[f]}, \text{Hom}(\Gamma, \mathbb{K}^\times))$$

parametrizing the possible abelian extensions of  $\text{Aut}(\Gamma)_{[f]}$  by the module  $\text{Hom}(\Gamma, \mathbb{K}^\times)$ . ■

**Problem IV.2.** Let  $\lambda \in \text{Alt}^2(\mathbb{Z}^n, Z)$ , where  $Z$  is a cyclic group. Determine the structure of the group  $\text{Aut}(\mathbb{Z}^n, \lambda)$ . It should have a semidirect product structure, where the normal subgroup is something like a Heisenberg group and the quotient is the automorphism group of  $\mathbb{Z}^n / \text{rad}(\lambda)$ , endowed with the induced non-degenerate form. Can this group be described in a convenient way by generators and relations? Maybe the results in [Is03] can be used to deal with degenerate cocycles. ■

## A. The group of units if $\Gamma$ is torsion free

The following result is used in [OP95, Lemma 3.1] without reference. Here we provide a detailed proof.

**Proposition A.1.** *If the group  $\Gamma$  is torsion free and  $A$  a  $\Gamma$ -quantum torus, then  $A^\times = A_h^\times$ , i.e., each unit of  $A$  is graded.*

**Proof.** Let  $a \in A^\times$  be a unit and write  $a = \sum_\gamma a_\gamma \delta_\gamma$  in terms of some graded basis. We do the same with its inverse  $a^{-1} = \sum_\gamma (a^{-1})_\gamma \delta_\gamma$ , and observe that the set

$$\text{supp}(a) := \{\gamma \in \Gamma : a_\gamma \neq 0\}$$

is finite. The same holds for  $\text{supp}(a^{-1})$ , so that both sets generate a free subgroup  $F$  of  $\Gamma$ . Then  $A_F := \text{span}\{\delta_\gamma : \gamma \in F\}$  is an  $F$ -quantum torus with  $a \in A_F^\times$ . We may therefore assume that  $\Gamma = \mathbb{Z}^d$  for some  $d \in \mathbb{N}_0$ .

We prove by induction on  $k \in \{0, \dots, d\}$  that the subalgebra

$$A_k := \text{span}\{\delta_\gamma : \gamma \in \mathbb{Z}^k \times \{0\}\}$$

has no zero-divisors and that all its units are homogeneous. This holds trivially for  $k = 0$ .

Let  $u_i := \delta_{e_i}$ , where  $e_1, \dots, e_d$  is the canonical basis of  $\mathbb{Z}^d$ . We write  $0 \neq x \in A$  as a finite sum  $\sum_{k=k_0}^{k_1} x_k u_d^k$  with  $x_k \in A_{d-1}$  and  $x_{k_0}$  and  $x_{k_1}$  non-zero. Likewise we write  $0 \neq y \in A$  as  $\sum_{m=m_0}^{m_1} y_m u_d^m$  with  $y_m \in A_{d-1}$  and  $y_{m_0}$  and  $y_{m_1}$  non-zero. Then the lowest degree term with respect to  $u_d$  in  $xy$  is

$$x_{k_0} u_d^{k_0} y_{m_0} u_d^{m_0} = x_{k_0} (u_d^{k_0} y_{m_0} u_d^{-k_0}) u_d^{k_0+m_0},$$

and the induction hypothesis implies  $x_{k_0} u_d^{k_0} y_{m_0} u_d^{-k_0} \neq 0$  because conjugation with  $u_d$  preserves the subalgebra  $A_{d-1}$ . This implies that  $xy \neq 0$ .

Now assume that  $x \in A$  is a unit and  $y = x^{-1}$ . Since  $A_{d-1}$  has no zero-divisors,

$$x_{k_0} u_d^{k_0} y_{m_0} u_d^{-k_0} \in A_{d-1} \setminus \{0\}$$

leads to  $k_0 + m_0 = 0$ . A similar consideration for the highest order term implies  $k_1 + m_1 = 0$ , which leads to  $k_0 = k_1$  and  $m_0 = m_1$ . Now we can argue by induction. ■

**Corollary A.2.** ([OP95, Lemma 3.1]) *If the group  $\Gamma$  is torsion free, then each automorphism of  $A$  is graded, i.e.,  $\text{Aut}(A) = \text{Aut}_{\text{gr}}(A)$ . (cf. Def. IV.1)* ■

## References

- [ABFP05] Allison, B. N., Berman, S., Faulkner, J. R., and A. Pianzola, *Realization of graded-simple algebras as loop algebras*, submitted.
- [AABGP97] Allison, B. N., Azam, S., Berman, S., Gao, Y., and A. Pianzola, "Extended Affine Lie Algebras and Their Root Systems," *Memoirs of the Amer. Math. Soc.* **603**, Providence R.I., 1997.
- [BGK96] Berman, S., Gao, Y., and Y. S. Krylyuk, *Quantum tori and the structure of elliptic quasi-simple Lie algebras*, *J. Funct. Anal.* **135** (1996), 339–389.
- [Fu70] Fuchs, L., "Infinite Abelian Groups, Vol. I," *Pure and Applied Math.* **36**, Acad. Press, 1970.
- [GVF01] Gracia-Bondia, J. M., J. C. Vasilis, and H. Figueroa, "Elements of Non-commutative Geometry," *Birkhäuser Advanced Texts*, Birkhäuser Verlag, Basel, 2001.
- [Ha00] de la Harpe, P., "Topics in Geometric Group Theory," *Chicago Lectures in Math.*, The Univ. of Chicago Press, 2000.
- [Is03] Ismagilov, R. S., *The integral Heisenberg group as an infinite amalgam of commutative groups*, *Math. Notes* **74:5** (2003), 630–636.
- [Jac56] Jacobson, N., "Structure of Rings," *Amer. Math. Soc. Coll. Publications* **37**, 1956.
- [KPS94] Kirkman, E., C. Procesi and L. Small, *A q-analog of the Virasoro algebra*, *Comm. Alg.* **22:10** (1994), 3755–3774.
- [La93] Lang, S., "Algebra," 3rd edn., Addison Wesley Publ. Comp., London, 1993.
- [New72] Newman, M., "Integral Matrices," *Pure and Applied Math.* **45**, Acad. Press, New York, 1972.
- [OP95] Osborn, J. M., and D. S. Passman, *Derivations of skew polynomial rings*, *J. Algebra* **176** (1995), 417–448.

Karl-Hermann Neeb  
Technische Universität Darmstadt  
Schlossgartenstrasse 7  
D-64289 Darmstadt  
Deutschland  
neeb@mathematik.tu-darmstadt.de