# Local space-time regularity criteria for weak solutions of the Navier-Stokes equations beyond Serrin's condition

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#### Abstract

Consider a weak solution u of the Navier-Stokes equations for a general domain  $\Omega \subseteq \mathbb{R}^3$  on the time interval  $[0, \infty)$  and a parabolic cylinder  $Q_r = Q_r(t_0, x_0) \subseteq (0, \infty) \times \Omega$  with r > 0,  $t_0 \in (0, \infty)$ ,  $x_0 \in \Omega$ . Then we show that there exists an absolute constant  $\varepsilon_* > 0$  such that the local condition  $\|u\|_{L^q(Q_r)} \leq \varepsilon_* r^{\frac{2}{q} + \frac{3}{q} - 1}, \frac{2}{q} + \frac{3}{q} \leq 1 + \frac{1}{4}$ , implies the regularity of u in the smaller cylinder  $Q_{r/2}$ . The special case  $\frac{2}{q} + \frac{3}{q} = 1$  yields the well-known local Serrin condition  $\|u\|_{L^q(Q_r)} \leq \varepsilon_*$ . Thus our criterion extends Serrin's condition admitting smaller exponents q and replacing the barrier 1 by  $1 + \frac{1}{4}$ .

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# 1 Main Result

In our main result, see Theorem 1.1 below, we consider a completely general domain  $\Omega \subseteq \mathbb{R}^3$ , i.e. a connected open subset of  $\mathbb{R}^3$  with boundary  $\partial\Omega$ , and the Navier-Stokes system on  $[0, \infty) \times \Omega$  in the usual form

$$u_t - \Delta u + u \cdot \nabla u + \nabla p = f, \quad \text{div } u = 0,$$

$$u_{|_{\partial\Omega}} = 0, \quad u_{|_{t=0}} = u_0$$
(1.1)

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with external force f and initial value  $u_0$ . We are interested in regularity properties of a weak solution u in parabolic cylinders  $Q_r \subseteq (0, \infty) \times \Omega$  defined by

$$Q_r = Q_r(t_0, x_0) := \{(t, x); t_0 - r^2 < t < t_0, |x - x_0| < r\} = (t_0 - r^2, t_0) \times B_r(x_0),$$
(1.2)

where  $B_r(x_0) \subseteq \Omega$  means the open ball with radius r > 0 and center  $x_0 \in \Omega$ , and  $t_0 \in (0, \infty)$ . See Section 2 concerning further definitions.

**Theorem 1.1** Let  $\Omega \subseteq \mathbb{R}^3$  be a general domain, let u be a weak solution of the Navier-Stokes system (1.1) with data  $f = \operatorname{div} F$ ,  $F \in L^2(0, \infty; L^2(\Omega))$ ,  $u_0 \in L^2_{\sigma}(\Omega)$ , and let  $Q_r = Q_r(t_0, x_0) \subseteq (0, \infty) \times \Omega$  be a parabolic cylinder with  $t_0 \in (0, \infty)$ ,  $x_0 \in \Omega$ , r > 0.

Then there is an absolute constant  $\varepsilon_* > 0$  with the following property: If

$$\|u\|_{L^{q}(Q_{r})} \leq \varepsilon_{*} r^{\frac{2}{q} + \frac{3}{q} - 1}, \quad 1 < q < \infty, \ \frac{2}{q} + \frac{3}{q} \leq 1 + \frac{1}{4}, \tag{1.3}$$

and

$$||F||_{L^4(t_0 - r^2, t_0; L^2(B_r(x_0)))} \le \varepsilon_*, \tag{1.4}$$

then u is regular in  $Q_{r/2} = Q_{r/2}(t_0, x_0)$  in the sense that Serrin's condition

$$u \in L^4\left(t_0 - \left(\frac{r}{2}\right)^2, t_0; L^6\left(B_{r/2}(x_0)\right)\right), \quad \frac{2}{4} + \frac{3}{6} = 1,$$
 (1.5)

is satisfied in  $Q_{r/2}$ .

In (1.3) we wrote  $\frac{2}{q} + \frac{3}{q}$  instead of  $\frac{5}{q}$  in order to point out the analogy with the classical Serrin number  $\frac{2}{s} + \frac{3}{q}$  where  $s, q \in (1, \infty)$  denote possibly different exponents of integration with respect to time and space. For technical reasons we have to restrict ourselves to the case s = q in this paper.

A result similar to Theorem 1.1 holds when we replace the cylinder  $Q_r(t_0, x_0)$ by the slightly modified parabolic cylinder of the form

$$Q_r^* = Q_r^*(t_0, x_0) = \left(t_0 - \frac{7}{8}r^2, t_0 + \frac{1}{8}r^2\right) \times B_r(x_0)$$
(1.6)

with  $r > 0, t_0 \in (0, \infty), t_0 - \frac{7}{8}r^2 > 0, x_0 \in \Omega$ . See [2] concerning these cylinders.

If for given  $(t_0, x_0) \in (0, \infty) \times \Omega$  there is at least one  $Q_r^*(t_0, x_0) \subseteq (0, \infty) \times \Omega$ , r > 0, such that u is regular in  $Q_r^*(t_0, x_0)$ , then  $(t_0, x_0)$  is a regular point of u; see Remark 2.2 below. The next corollary yields a criterion for regular points.

**Corollary 1.2** Let  $\Omega \subseteq \mathbb{R}^3$  be a general domain, let u be a weak solution of the Navier-Stokes system (1.1) with data  $f = \operatorname{div} F$ ,  $F \in L^4(0, \infty; L^2(\Omega)) \cap$  $L^2(0, \infty; L^2(\Omega))$ ,  $u_0 \in L^2_{\sigma}(\Omega)$ , let  $t_0 \in (0, \infty)$ ,  $x_0 \in \Omega$ , and consider the cylinders  $Q_r^*(t_0, x_0)$  contained in  $(0, \infty) \times \Omega$  for r > 0. Suppose

$$\liminf_{r \to 0} r^{1-5/q} \|u\|_{L^q(Q_r^*)} < \varepsilon_*, \quad 1 < q < \infty, \ \frac{2}{q} + \frac{3}{q} \le 1 + \frac{1}{4} \tag{1.7}$$

with  $\varepsilon_* > 0$  as in Theorem 1.1. Then  $(t_0, x_0)$  is a regular point of u.

**Remark 1.3** Note that  $\frac{2}{q} + \frac{3}{q} \le 1 + \frac{1}{4}$ ,  $1 < q < \infty$ , is equivalent to  $4 \le q < \infty$ , whereas the case  $5 \le q < \infty$  is well-known by Serrin's condition  $\frac{2}{q} + \frac{3}{q} \le 1$ . Thus within the region

$$4 \le q < 5 \tag{1.8}$$

we obtain a new local regularity condition beyond Serrin's condition  $\frac{2}{q} + \frac{3}{q} \leq 1$ , since  $1 < \frac{2}{q} + \frac{3}{q} \leq 1 + \frac{1}{4}$  is equivalent to (1.8) with Serrin's barrier strictly larger than 1.

The weakest possible regularity condition in (1.3) is obtained for q = 4 in the form

$$\|u\|_{L^4(Q_r)} \le \varepsilon_* r^{\frac{1}{4}}.$$
(1.9)

Further we note that the condition for the regularity of  $(t_0, x_0)$  in Corollary 1.2 does not depend on the local behavior of the external force f.

It is interesting to compare Theorem 1.1 with a local regularity result in [2], Proposition 1 and Corollary 1; for simplicity we will perform this comparison in a slightly different formulation and with f = 0. The authors of [2] need a special type of weak solutions, the so-called suitable weak solutions, see (2.6), (2.7) below, and their local regularity condition contains the associated pressure p. The existence of such a weak solution is non-trivial and was shown in [2] for  $\mathbb{R}^3$ and for smooth bounded  $\Omega$ ; see [5] for an existence proof for uniform  $C^2$ -domains. On the other hand, the existence of a weak solution u in Theorem 1.1 in the sense of Definition 2.1 below is well-known for general domains.

**Lemma 1.4 ([2])** Let  $\Omega \subseteq \mathbb{R}^3$  be a general domain, let u be a suitable weak solution of the Navier-Stokes system (1.1) with data f = 0,  $u_0 \in L^2_{\sigma}(\Omega)$ , associated pressure term  $\nabla p$ , and let  $Q_r = Q_r(t_0, x_0) \subseteq (0, \infty) \times \Omega$  be a parabolic cylinder.

Then there is an absolute constant  $\varepsilon_* > 0$  with the following property: If

$$||u||_{L^{3}(Q_{r})}^{3} + ||up||_{L^{1}(Q_{r})} + ||p||_{L^{5/4}(Q_{r})} \le \varepsilon_{*} r^{2}, \qquad (1.10)$$

then u is regular in  $Q_{r/2} = Q_{r/2}(t_0, x_0)$  in the sense that  $|u(t, x)| \leq C_1 r^{-1}$  holds for almost all  $(t, x) \in Q_{r/2}$  and some absolute constant  $C_1 > 0$ .

Note that the regularity condition of Theorem 1.1 for f = 0, q = 4 can be written with  $\varepsilon_*$  as in (1.3) in the form

$$\|u\|_{L^4(Q_r)}^4 \le (\varepsilon_*)^4 r \tag{1.11}$$

which is completely independent of (1.10); the same holds for the corresponding proofs.

## 2 Notations and Preliminaries

In the first part of this section, where we look at usual weak solutions,  $\Omega \subseteq \mathbb{R}^3$  means a general domain as in Theorem 1.1. In the second part we consider another type of weak solutions, investigated recently in [1], [4], the so-called very weak solutions with inhomogeneous boundary values, where  $\Omega \subseteq \mathbb{R}^3$  is a smooth bounded domain in the sense that the boundary  $\partial\Omega$  is of class  $C^{2,1}$ .

**Definition 2.1** Let  $\Omega \subseteq \mathbb{R}^3$  be a general domain, and let

$$f = \operatorname{div} F, \quad F = \left(F_{ij}\right)_{i,j=1,\dots,3} \in L^2(0,\infty;L^2(\Omega)), \quad u_0 \in L^2_{\sigma}(\Omega).$$
(2.1)

Then a function

$$u \in L^{\infty}(0,\infty; L^{2}_{\sigma}(\Omega)) \cap L^{2}_{\text{loc}}([0,\infty); W^{1,2}_{0}(\Omega)), \ \nabla u \in L^{2}(0,\infty; L^{2}(\Omega)), \quad (2.2)$$

is called a weak solution of the Navier-Stokes system (1.1) if

$$u: [0, \infty) \to L^2_{\sigma}(\Omega)$$
 is weakly continuous, (2.3)

and the condition

$$-\langle u, w_t \rangle_{\Omega,\infty} + \langle \nabla u, \nabla w \rangle_{\Omega,\infty} - \langle uu, \nabla w \rangle_{\Omega,\infty} = \langle u_0, w(0) \rangle_{\Omega} - \langle F, \nabla w \rangle_{\Omega,\infty}$$
(2.4)  
is satisfied for all  $w \in C_0^2([0,\infty); C_{0,\sigma}^2(\Omega)).$ 

Here we use the following standard notations:  $\nabla = (\partial_1, \partial_2, \partial_3)$  where  $\partial_j = \partial/\partial x_j$ , j = 1, 2, 3, div  $u = \partial_1 u_1 + \partial_2 u_2 + \partial_3 u_3$  for a vector field  $u = (u_1, u_2, u_3)$  and div  $F = (\partial_1 F_{1j} + \partial_2 F_{2j} + \partial_3 F_{3j})_{j=1,2,3}$  for a matrix field  $F = (F_{ij})_{i,j=1,2,3}$ . Moreover,  $uu = (u_i u_j)_{i,j=1,2,3}$  and  $u \cdot \nabla u = (u \cdot \nabla)u = \text{div}(uu)$  provided that div u = 0.

By  $C^{j}(\Omega)$ ,  $C^{j}(\overline{\Omega})$ ,  $C_{0}^{j}(\Omega)$  and  $C_{0}^{j}(\overline{\Omega})$ ,  $j \in \mathbb{N}$  or  $j = \infty$ , we denote the usual spaces of smooth functions. In particular, a function  $u \in C_{0}^{2}(\overline{\Omega})$  vanishes on  $\partial\Omega$ , but  $\nabla u$  may be different from zero on  $\partial\Omega$ . Let

$$C_{0,\sigma}^{j}(\Omega) = \{ w \in C_{0}^{j}(\Omega); \operatorname{div} w = 0 \},\$$

 $C_{0,\sigma}^{j}(\overline{\Omega}) = \{w \in C_{0}^{j}(\overline{\Omega}); \operatorname{div} w = 0\}$  and  $L_{\sigma}^{q} = L_{\sigma}^{q}(\Omega) = \overline{C_{0,\sigma}^{\infty}(\Omega)}^{\|\cdot\|_{q}}$ . Furthermore,  $C_{0}^{j}([0,T);X), j \in \mathbb{N}$ , is the space of continuous functions  $w : [0,T) \to X$  with compact support supp  $w \subset [0,T)$  such that  $w_{t} = dw/dt, \ldots, d^{j}w/dt^{j}$  are continuous. The usual Sobolev spaces are denoted by  $W^{j,q} = W^{j,q}(\Omega), j \in \mathbb{N}$ , and in particular  $W_{0}^{1,2} = W_{0}^{1,2}(\Omega) = \overline{C_{0}^{\infty}(\Omega)}^{\|\cdot\|_{W^{1,2}}}$ .

For a Banach space X with norm  $\|\cdot\|_X$  we need for  $1 \leq s \leq \infty$  the usual Bochner spaces  $L^s(T_0, T_1; X), 0 \leq T_0 < T_1 \leq \infty$ , with norm

$$\|u\|_{L^{s}(T_{0},T_{1};X)} = \begin{cases} \left(\int_{T_{0}}^{T_{1}} \|u\|_{X}^{s} dt\right)^{1/s}, & 1 \leq s < \infty \\ \underset{T_{0} \leq t \leq T_{1}}{\operatorname{ess sup}} \|u(t)\|_{X}, & s = \infty . \end{cases}$$

If  $X = L^q = L^q(\Omega)$ ,  $1 < q < \infty$ , is the usual Lebesgue space we set  $\|\cdot\|_X = \|\cdot\|_{L^q} = \|\cdot\|_{q,\Omega}$ , and  $\|u\|_{L^s(T_0,T_1;L^q(\Omega))} = \|u\|_{q,s}$  if  $T_0$ ,  $T_1$  are known from the context. For 1 < q,  $s < \infty$  and  $0 < T \le \infty$  let  $\langle u, v \rangle_{\Omega} = \int_{\Omega} u \cdot v \, dx$  denote the usual duality pairing of functions or vector fields  $u \in L^q$ ,  $v \in L^{q'}$ , where  $q' = \frac{q}{q-1}$ . Further, for  $u \in L^s(0,T;L^q)$ ,  $v \in L^{s'}(0,T;L^{q'})$ ,  $s' = \frac{s}{s-1}$ ,

$$\langle u, v \rangle_{\Omega,T} = \langle u, v \rangle_T = \int_0^T \langle u, v \rangle_\Omega \, d\tau$$

means the duality pairing in  $[0, T) \times \Omega$ . In (2.4) we used q = q' = 2, s = s' = 2and  $T = \infty$ . Moreover,  $L^2_{loc}([0, T); W^{1,2}_0(\Omega))$ ,  $0 < T \le \infty$ , is the space of all  $W^{1,2}_0(\Omega)$ -valued functions  $w : t \mapsto w(t)$  such that  $w \in L^2(0, T'; W^{1,2}_0(\Omega))$  for all  $T' \in (0, T)$ .

Note that the existence of a weak solution u as in Definition 2.1 is well-known for general domains, see, e.g., [10], V.3. Using (2.3) we see that

$$u(0) = u_{|_{t=0}} = u_0$$

in (1.1) is well-defined. Because of (2.2) the condition  $u|_{\partial\Omega} = 0$  in (1.1) is welldefined in the sense that the trace  $u(t)|_{\partial\Omega} = 0$  for almost all  $t \in [0, \infty)$ . Further we get from (2.2) that the condition div u = 0 is well-defined in the sense of distributions. Finally, we find a unique distribution of the form  $\nabla p$ , the pressure term associated with u, such that

$$u_t - \Delta u + u \cdot \nabla u + \nabla p = f$$

holds in  $(0, \infty) \times \Omega$  in the sense of distributions. Thus the system (1.1) is well-defined in a certain weak sense for each weak solution u.

Usually the notion of a weak solution u includes the energy inequality

$$\frac{1}{2} \|u(t)\|_{2}^{2} + \int_{0}^{t} \|\nabla u\|_{2}^{2} d\tau \leq \frac{1}{2} \|u_{0}\|_{2}^{2} - \int_{0}^{t} \langle F, \nabla u \rangle_{\Omega} d\tau, \quad 0 \leq t < \infty.$$
(2.5)

However, we do not need (2.5) in our method.

The more special notion of a suitable weak solution u plays an important role in the local regularity theory of weak solutions, see [2]. In this case the existence of u is non-trivial and has been shown for  $\Omega = \mathbb{R}^3$ , for bounded domains  $\Omega \subseteq \mathbb{R}^3$ with smooth boundary  $\partial\Omega$ , see [2], p. 822, and for exterior domains  $\Omega \subseteq \mathbb{R}^3$ , see [7], [11]; recently, the existence has been proved for general domains  $\Omega \subseteq \mathbb{R}^3$  with uniform  $C^2$ -boundary  $\partial\Omega$ , see [5].

Let  $\Omega$ ,  $f = \operatorname{div} F$ , and  $u_0$  be as in Definition 2.1, and assume additionally that  $f \in L^2(0, \infty; L^2(\Omega))$ . Then a weak solution u satisfying (2.2) – (2.4) is called a *suitable weak solution* of the system (1.1) with data f,  $u_0$ , if the associated pressure term satisfies

$$\nabla p \in L^q_{\text{loc}}((0,\infty); L^q_{\text{loc}}(\overline{\Omega})) \text{ with } q = \frac{5}{4},$$
 (2.6)

and if the *local energy inequality* 

$$\frac{1}{2} \|\varphi u(t)\|_{2}^{2} + \int_{t_{0}}^{t} \|\varphi \nabla u\|_{2}^{2} d\tau \leq \frac{1}{2} \|\varphi u(t_{0})\|_{2}^{2} + \int_{t_{0}}^{t} \langle\varphi f, \varphi u\rangle_{\Omega} d\tau \qquad (2.7)$$

$$- \frac{1}{2} \int_{t_{0}}^{t} \langle\nabla |u|^{2}, \nabla \varphi^{2}\rangle_{\Omega} d\tau + \int_{t_{0}}^{t} \langle\frac{1}{2} |u|^{2} + p, \, u \cdot \nabla \varphi^{2}\rangle_{\Omega} d\tau$$

is satisfied for almost all  $t_0 \in [0, \infty)$ , all  $t \in [t_0, \infty)$ , and all  $\varphi \in C_0^{\infty}(\mathbb{R}^3)$ .

Using a standard mollification, see e.g., [10], II, 1.7, we obtain from (2.7) in particular the inequality

$$\int_{(0,T)\times\Omega} |\nabla u|^2 \phi \, dt \, dx \le \frac{1}{2} \int_{(0,T)\times\Omega} \left( |u|^2 (\phi_t + \Delta \phi) + (|u|^2 + 2p) u \cdot \nabla \phi + 2(u \cdot f) \phi \right) dt \, dx \tag{2.8}$$

for all  $\phi \in C_0^{\infty}((0,T) \times \Omega)$  with  $\phi \ge 0$ . This special formulation has been used in [2], (2.5), in the definition of suitable weak solutions.

**Remark 2.2** (i) If a weak solution u satisfies a local Serrin condition as in (1.5) then we know higher regularity properties in space direction in each subdomain  $D \subset Q_{r/2}$  with  $\overline{D} \subseteq Q_{r/2}$ , if f and  $\Omega$  as in Theorem 1.1 are smooth in the sense that  $f \in C_0^{\infty}((0, \infty) \times \Omega)$  and that  $\Omega$  has a uniform  $C^2$ -boundary  $\partial\Omega$ ; see [2], p. 780, and [13], p. 453, concerning such properties. Indeed, first we obtain integrability properties of  $\nabla p$  and  $u_t$  in some  $L^q$ -spaces, see [5]; then we use a standard localization procedure with a cut-off function to prove that each space derivative of u is essentially bounded in D. This justifies to say that u is regular in  $Q_{r/2}$  if (1.5) is satisfied.

(ii) If instead of (1.5) the condition

$$u \in L^4\left(t_0 - \frac{7}{8}\left(\frac{r}{2}\right)^2, t_0 + \frac{1}{8}\left(\frac{r}{2}\right)^2; L^6\left(B_{r/2}(x_0)\right)\right)$$
(2.9)

is satisfied, where now  $Q_{r/2}$  is replaced by  $Q_{r/2}^*$ , then the regularity properties above hold with  $(t_0, x_0) \in D \subset Q_{r/2}^*$ . Therefore,  $(t_0, x_0)$  is called a *regular point* in this case, cf. Corollary 1.2.

To prove Theorem 1.1 we use the theory of very weak solutions for smooth bounded domains, which has been introduced in [1] and generalized in [4], see Definition 2.3 below. For this purpose, we assume in the next part of this section that  $\Omega \subseteq \mathbb{R}^3$  is a bounded domain with boundary of class  $C^{2,1}$ .

Let  $P_q: L^q \to L^q_{\sigma}$ ,  $1 < q < \infty$ , be the Helmholtz projection, and let  $A_q: \mathcal{D}(A_q) \to L^q_{\sigma}(\Omega)$  with domain  $\mathcal{D}(A_q) = W^{2,q}(\Omega) \cap W^{1,q}_0(\Omega) \cap L^q_{\sigma}(\Omega)$  be the Stokes operator. It is well-known that  $-A_q$  generates a bounded analytic semigroup  $e^{-tA_q}$ ,  $t \geq 0$ , on  $L^q_{\sigma}$ , and that the fractional powers  $A^{\alpha}_q$ ,  $-1 \leq \alpha \leq 1$ , of  $A_q$  are

well-defined, see, e.g., [3], [4], [5], [6], [12]. In particular, we need the following embedding properties, see [4]:

$$||u||_q \le c ||A^{\alpha}_{\gamma}u||_{\gamma} \quad \text{for } u \in \mathcal{D}(A^{\alpha}_{\gamma}), \ 1 < \gamma \le q, \ 2\alpha + \frac{3}{q} = \frac{3}{\gamma}, \ (2.10)$$

$$\|A_{q}^{\alpha}e^{-tA_{q}}u\|_{q} \le ce^{-\delta t}t^{-\alpha}\|u\|_{q} \quad \text{for } u \in L_{\sigma}^{q}(\Omega), \ t > 0, \ 0 \le \alpha \le 1$$
(2.11)

for some  $\delta = \delta(q, \Omega) > 0$  and constants  $c = c(\alpha, q, \Omega) > 0$  and  $c = c(\alpha, \delta, q, \Omega) > 0$ , respectively.

Let  $0 < T \leq \infty$ ,  $1 < q, s < \infty$ . Then the maximal regularity estimate

$$\|(v_t, A_q v)\|_{L^s(0,T;L^q)} \le c \big(\|A_q e^{-\cdot A_q} v_0\|_{L^s(0,T;L^q)} + \|f\|_{L^s(0,T;L^q)}\big),$$
(2.12)

 $c = c(q, \Omega) > 0$ , holds for the unique solution v of the evolution system

$$v_t + A_q v = f, \quad v(0) = v_0$$

with data  $f \in L^s(0,T;L^q_\sigma)$  and  $v_0 \in L^q_\sigma$  such that  $A_q e^{-A_q} v_0 \in L^s(0,T;L^q_\sigma)$ .

To deal with traces on the boundary  $\partial\Omega$  let N = N(x) denote the exterior normal unit vector at  $x \in \partial\Omega$ . Let  $L^q(\partial\Omega)$  be the usual  $L^q$ -space on  $\partial\Omega$  with norm  $\|\cdot\|_{L^q(\partial\Omega)} = \|\cdot\|_{q,\partial\Omega}$ . Then

$$\langle g,h\rangle_{\partial\Omega} = \int_{\partial\Omega} g \cdot h \, dS, \quad g \in L^q(\partial\Omega), \ h \in L^{q'}(\partial\Omega),$$

means the duality pairing on  $\partial\Omega$  where dS is the surface element. Analogously, we define the duality pairing  $\langle g, h \rangle_{\partial\Omega,T} = \int_0^T \langle g, h \rangle_{\partial\Omega} d\tau$  for  $g \in L^s(0,T; L^q(\partial\Omega))$ ,  $h \in L^{s'}(0,T; L^{q'}(\partial\Omega))$ ,  $s' = \frac{s}{s-1}$ . Further we need the Sobolev spaces  $W^{\alpha,q}(\partial\Omega)$ ,  $-2 \leq \alpha \leq 2$ , of fractional order  $\alpha$  with norm  $\|\cdot\|_{W^{\alpha,q}(\partial\Omega)} = \|\cdot\|_{\alpha;q,\partial\Omega}$ . Here, the space of negative order is defined as the dual space

$$W^{-\alpha,q}(\partial\Omega) = \left(W^{\alpha,q'}(\partial\Omega)\right)', \quad 0 < \alpha \le 2, \tag{2.13}$$

of the space  $W^{\alpha,q'}(\partial\Omega)$  of positive order. The corresponding duality pairing is again denoted by  $\langle \cdot, \cdot \rangle_{\partial\Omega}$ .

Next we mention the embedding estimate

$$\|g\|_{q,\partial\Omega} \le c \|g\|_{\alpha;\gamma,\partial\Omega}, \quad 1 < \gamma \le q, \ \alpha + \frac{2}{q} = \frac{2}{\gamma}, \ 0 \le \alpha \le 2,$$
(2.14)

for all  $g \in W^{\alpha,\gamma}(\partial\Omega)$  where  $c = c(\alpha, q, \partial\Omega) > 0$ . By a standard duality argument we get form (2.14) the embedding estimate

$$\|g\|_{-\alpha;q,\partial\Omega} \le c \|g\|_{\gamma,\partial\Omega}, \quad 1 < \gamma \le q, \ \alpha + \frac{2}{q} = \frac{2}{\gamma}, \ 0 \le \alpha \le 2, \tag{2.15}$$

for all  $g \in L^{\gamma}(\partial \Omega)$  where  $c = c(\alpha, q, \partial \Omega) > 0$ .

The following definition of very weak solutions, see Definition 2.3 below, is for simplicity a special version of a more general notion introduced in [4]. Here we are mainly interested in boundary values as weak as possible. Note that a very weak solution v need not have any differentiability property in space, besides of div v = 0. However, v satisfies a Serrin condition and is therefore uniquely determined and regular if the data and  $\partial\Omega$  are smooth. On the other hand, the usual weak solution u of Definition 2.1 has a finite gradient in  $L^2$ , but we do not know uniqueness and global regularity properties.

In the following the set

$$\mathcal{J}^{q,s} = \mathcal{J}^{q,s}(\Omega) := \left\{ v_0 \in L^2(\Omega); \, \|A_2^{-1}P_2v_0\|_q + \left(\int_0^\infty \|e^{-tA_2}P_2v_0\|_q^s \, dt\right)^{\frac{1}{s}} < \infty \right\}$$

where  $||v_0||_{\mathcal{J}^{q,s}} := ||A_2^{-1}P_2v_0||_{q,\Omega} + \left(\int_0^\infty ||e^{-tA_2}P_2v_0||_q^s dt\right)^{\frac{1}{s}}, 1 < q, s < \infty$ , plays the role as the space of initial values; for simplicity this space is not defined in the most general form as in [4], (2.18). Note that  $||v_0||_{\mathcal{J}^{q,s}} = 0$  only means that  $P_2u_0 = 0$ , see [4]. Therefore,  $||\cdot||_{\mathcal{J}^{q,s}}$  is the norm of the quotient space of  $\mathcal{J}^{q,s}$ modulo  $v_0$  with  $P_2v_0 = 0$ , i.e., modulo such gradients.

**Definition 2.3 ([4])** Let  $\Omega \subseteq \mathbb{R}^3$  be a bounded domain with boundary of class  $C^{2,1}$ , and let  $0 < T \leq \infty$ ,  $3 < q < \infty$ ,  $2 < s < \infty$ ,  $1 < \gamma < q$ , such that  $\frac{1}{3} + \frac{1}{q} = \frac{1}{\gamma}, \frac{2}{s} + \frac{3}{q} = 1.$ 

Then a function  $v \in L^s(0,T;L^q(\Omega))$  is called a very weak solution of the Navier-Stokes system

$$v_t - \Delta v + v \cdot \nabla v + \nabla h = f, \text{ div } v = 0, \qquad (2.16)$$
$$v_{\mid_{\partial\Omega}} = g, v_{\mid_{t=0}} = v_0$$

on  $[0,T) \times \Omega$  with data  $f, g, v_0$  satisfying

$$f = \operatorname{div} F, \ F = (F_{ij})_{i,j=1,2,3} \in L^{s}(0,T; L^{\gamma}(\Omega)),$$

$$g \in L^{s}(0,T; W^{-\frac{1}{q},q}(\partial\Omega)),$$

$$\int_{\partial\Omega} N \cdot g \, dS = \langle N, g \rangle_{\partial\Omega} = 0,$$

$$v_{0} \in \mathcal{J}^{q,s}(\Omega),$$

$$(2.17)$$

if the relation

$$-\langle v, w_t \rangle_{\Omega,T} - \langle v, \Delta w \rangle_{\Omega,T} + \langle g, N \cdot \nabla w \rangle_{\partial\Omega,T} - \langle vv, \nabla w \rangle_{\Omega,T} = \langle v_0, w(0) \rangle_{\Omega} - \langle F, \nabla w \rangle_{\Omega,T}$$
(2.18)

is satisfied for all  $w \in C_0^2([0,T); C_{0,\sigma}^2(\overline{\Omega}))$ , and if the conditions

$$\operatorname{div} v = 0, \quad N \cdot v|_{\partial \Omega} = N \cdot g \tag{2.19}$$

are satisfied in  $(0,T) \times \Omega$  and  $(0,T) \times \partial \Omega$ , respectively.

Note that the system (2.16) is well-defined in a weak sense. Using (2.18) with  $w \in C_0^2((0,T); C_{0,\sigma}^2(\Omega))$  we conclude that there is a unique (associated) pressure term  $\nabla h$  such that (2.16)<sub>1</sub> holds in the sense of distributions in  $(0,T) \times \Omega$ . Further we conclude from (2.18), (2.19) that the boundary condition  $v|_{\partial\Omega} = g$  is well-defined, and that the initial condition  $v|_{t=0} = v_0$  is well-defined up to a gradient, see [4]. Moreover, v is uniquely determined and arbitrarily smooth in  $(0,T) \times \overline{\Omega}$  if  $\partial\Omega$  and the data are sufficiently smooth.

The following lemma yields the existence of v under a smallness condition on the data, see [4], Theorem 1.

**Lemma 2.4** ([4]) Let  $\Omega \subseteq \mathbb{R}^3$  be a bounded domain of class  $C^{2,1}$ , let  $0 < T \leq \infty$ , and let  $f, g, v_0$  be as in (2.17) with  $3 < q < \infty$ ,  $2 < s < \infty$ ,  $1 < \gamma < q$ ,  $\frac{1}{3} + \frac{1}{q} = \frac{1}{\gamma}$ ,  $\frac{2}{s} + \frac{3}{q} = 1$ . Then there is a constant  $\varepsilon = \varepsilon(\Omega, q) > 0$  with the following property: If

$$\left(\int_{0}^{T} \|e^{-tA_{2}}P_{2}v_{0}\|_{q,\Omega}^{s} dt\right)^{1/s} + \left(\int_{0}^{T} \|F\|_{\gamma,\Omega}^{s} dt\right)^{1/s} + \left(\int_{0}^{T} \|g\|_{-\frac{1}{q};q,\partial\Omega}^{s} dt\right)^{1/s} \le \varepsilon,$$
(2.20)

then there exists a unique very weak solution  $v \in L^s(0,T; L^q(\Omega))$  of the system (2.16).

Our method to prove Theorem 1.1 rests on the local identification of the given weak solution u with a certain very weak solution v, see Section 3.

Omitting the nonlinear term  $v \cdot \nabla v$  in (2.16), we obtain the linear nonstationary Stokes system. The corresponding notion of a very weak solution is obtained by omitting the term  $\langle vv, \nabla w \rangle_{\Omega,T}$  in (2.18), and the existence of a unique solution is obtained in this case without any smallness condition, see [4], Theorem 4.

**Lemma 2.5** ([4]) Let  $\Omega, T$  be as in Lemma 2.4, assume  $1 < \gamma < q < \infty$ ,  $\frac{1}{3} + \frac{1}{q} = \frac{1}{\gamma}$  and  $1 < s < \infty$ , and let  $f, g, v_0$  be as in this lemma, but omit the condition  $\frac{2}{s} + \frac{3}{q} = 1$ . Then the linearized system (2.16) has a unique very weak solution  $E \in L^s(0, T; L^q(\Omega))$ , i.e., by definition,

$$-\langle E, w_t \rangle_{\Omega,T} - \langle E, \Delta w \rangle_{\Omega,T} + \langle g, N \cdot \nabla w \rangle_{\partial\Omega,T} = \langle v_0, w(0) \rangle_{\Omega} - \langle F, \nabla w \rangle_{\Omega,T} \quad (2.21)$$

for all  $w \in C_0^2([0,T); C_{0,\sigma}^2(\overline{\Omega}))$ , and the conditions

div 
$$E = 0$$
,  $N \cdot E_{\mid_{\partial\Omega}} = N \cdot g$ , (2.22)

hold. Moreover, E satisfies the estimate

$$\|A_q^{-1}P_qE_t\|_{L^s(0,T;L^q(\Omega))} + \|E\|_{L^s(0,T;L^q(\Omega))}$$
  
$$\leq C(\|v_0\|_{\mathcal{J}^{q,s}} + \|F\|_{L^s(0,T;L^\gamma(\Omega))} + \|g\|_{L^s(0,T;W^{-\frac{1}{q},q}(\partial\Omega))})$$

with some constant  $C = C(\Omega, q, \gamma, s) > 0$  independent of T.

Further we note, see [4], (4.19), that v from Lemma 2.4 and E from Lemma 2.5 satisfy the (well-defined) semigroup relation

$$v(t) - E(t) = -\int_0^t A_q e^{-(t-\tau)A_q} A_q^{-1} P_q \operatorname{div}(vv) d\tau, \ 0 \le t < T.$$
(2.23)

In the following we will also apply Lemma 2.4, Lemma 2.5, and formula (2.23) with [0,T) replaced by any other interval  $[T_0,T_1), 0 \leq T_0 < T_1 \leq \infty$ . Then  $[0,T) \times \Omega$  is replaced by  $[T_0,T_1) \times \Omega$ , the initial condition  $v|_{t=0} = v_0$  is replaced by  $v_{|_{t=T_0}} = v_0$ , and, instead of (2.23), we get the relation

$$v(t) - E(t) = -\int_{T_0}^t A_q e^{-(t-\tau)A_q} A_q^{-1} P_q \operatorname{div}(vv) d\tau, \quad T_0 \le t < T_1.$$
(2.24)

Next assume that  $vv \in L^2(T_0, T_1; L^2(\Omega))$  is satisfied in (2.24). Then (2.24) is the well-known representation formula, see, e.g., [10], IV, (2.4.4), yielding the usual weak solution v - E of the linear Stokes system

$$\begin{aligned} (v - E)_t - \Delta(v - E) + \nabla h &= -\operatorname{div}(vv), \quad \operatorname{div}(v - E) = 0, \\ v - E_{|_{\partial\Omega}} &= 0, \ v - E_{|_{t=T_0}} &= 0 \end{aligned}$$
 (2.25)

in  $[T_0, T_1) \times \Omega$ , and satisfying the usual energy relation

$$\frac{1}{2} \|v(t) - E(t)\|_2^2 + \int_{T_0}^t \|\nabla(v - E)\|_2^2 d\tau = \int_{T_0}^t \langle vv, \nabla(v - E) \rangle_\Omega d\tau, \qquad (2.26)$$

 $T_0 \leq t \leq T_1$ . An easy consequence is the energy estimate

$$\|v - E\|_{L^{\infty}(T_0, T_1; L^2(\Omega))}^2 + \|\nabla(v - E)\|_{L^2(T_0, T_1; L^2(\Omega))}^2 \le \|vv\|_{L^2(T_0, T_1; L^2(\Omega))}^2 < \infty, \quad (2.27)$$

and it follows that

$$v - E \in L^{\infty}(T_0, T_1; L^2(\Omega)), \quad \nabla(v - E) \in L^2(T_0, T_1; L^2(\Omega)),$$
 (2.28)

see [10], Theorem IV, 2.3.1.

#### Proof of Theorem 1.1 3

In the following let  $Q_r(t_0, x_0) \subseteq (0, \infty) \times \Omega$ ,  $r > 0, t_0 \in (0, \infty), x_0 \in \Omega$ , be a parabolic cylinder and let u be a weak solution of (1.1) with data  $f = \operatorname{div} F$ ,  $u_0$ as in Theorem 1.1. Our aim is to prove the following result:

There exists an absolute constant  $\varepsilon_* > 0$  such that if (3.1)

$$\|u\|_{L^{q}(Q_{r})} \leq \varepsilon_{*} r^{\frac{2}{q} + \frac{3}{q} - 1}, \ \frac{2}{q} + \frac{3}{q} \leq 1 + \frac{1}{4}, \ \|F\|_{L^{4}(t_{0} - r^{2}, t_{0}; L^{2}(B_{r}(x_{0})))} \leq \varepsilon_{*},$$
  
then  $u \in L^{4}\left(t_{0} - (\frac{r}{2})^{2}, t_{0}; L^{6}\left(B_{r/2}(x_{0})\right)\right).$ 

To this end we need several steps.

a) Reduction to the special case  $q = 4, r = 1, x_0 = 0$ 

Hölder's inequality leads to the estimate

$$\|u\|_{L^4(Q_r)} \le \left(\frac{4}{3}\pi r^5\right)^{\frac{1}{4}-\frac{1}{q}} \|u\|_{L^q(Q_r)} \le 2\pi r^{\frac{5}{4}-\frac{5}{q}} \|u\|_{L^q(Q_r)}$$

Therefore, if we know the result (3.1) with some  $\varepsilon_*$  for q = 4, then this result holds for the general case with  $\varepsilon_*$  replaced by  $\frac{\varepsilon_*}{2\pi}$ . Thus we may set q = 4 in the following.

Next we use a well-known scaling procedure, introduced in principle in [2], in order to reduce the problem (3.1) with q = 4 to the case r = 1. For  $\lambda > 0$ let  $\tilde{\Omega} = \{y \in \mathbb{R}^3; \lambda y + x_0 \in \Omega\}$ , and let  $\tilde{u}, \tilde{p}, \tilde{f}, \tilde{F}, \tilde{u}_0$  be defined in the variables  $\tau = \lambda^{-2}t \in [0, \infty), y = \lambda^{-1}(x - x_0) \in \tilde{\Omega}$  where  $t \in (0, \infty), x \in \Omega$ , by setting

$$\tilde{u}(\tau, y) = \lambda u(t, x), \quad \tilde{p}(\tau, y) = \lambda^2 p(t, x), \quad f(\tau, y) = \lambda^3 f(t, x), \quad (3.2)$$
$$\tilde{F}(\tau, y) = \lambda^2 F(t, x), \quad \tilde{u}_0(y) = \lambda u_0(x).$$

Then an elementary calculation shows that  $\tilde{u}$  is a weak solution in  $[0, \infty) \times \hat{\Omega}$ of the system

$$\begin{aligned} \tilde{u}_{\tau} - \Delta \tilde{u} + \tilde{u} \cdot \nabla \tilde{u} + \nabla \tilde{p} &= \tilde{f}, & \operatorname{div} \tilde{u} &= 0, \\ \tilde{u}_{|\partial \tilde{\Omega}} &= 0, & \tilde{u}_{|\tau=0} &= \tilde{u}_{0} \end{aligned}$$
(3.3)

with data  $\tilde{f} = \operatorname{div} \tilde{F}$ ,  $\tilde{u}_0$ , if and only if u is a weak solution in  $[0, \infty) \times \Omega$  of (1.1) with data  $f = \operatorname{div} F, u_0$ . It holds  $(t, x) \in Q_r(t_0, x_0)$  if and only if  $(\tau, y) \in Q_{r/\lambda}(\tau_0, y_0)$  where  $\tau_0 = \lambda^{-2} t_0$ ,  $y_0 = 0$ . Moreover,

$$\begin{aligned} \|\tilde{u}\|_{L^{4}(Q_{r/\lambda})} &= \left(\int_{\tau_{0}-(\frac{r}{\lambda})^{2}}^{\tau_{0}} \int_{|y|<\frac{r}{\lambda}} |\tilde{u}(\tau,y)|^{4} \, dy \, d\tau\right)^{\frac{1}{4}} \\ &= \lambda^{-\frac{1}{4}} \left(\int_{t_{0}-r^{2}}^{t_{0}} \int_{|x-x_{0}|< r} |u(t,x)|^{4} \, dx \, dt\right)^{\frac{1}{4}} = \lambda^{-\frac{1}{4}} \|u\|_{L^{4}(Q_{r})} \end{aligned} \tag{3.4}$$

and, using the notation  $B_r = B_r(0)$ ,

$$\|F\|_{L^{4}(\tau_{0}-(\frac{r}{\lambda})^{2},\tau_{0};L^{2}(B_{r/\lambda}))} = \|F\|_{L^{4}(t_{0}-r^{2},t_{0};L^{2}(B_{r}(x_{0})))},$$

$$\|\tilde{u}\|_{L^{4}(\tau_{0}-(\frac{r}{2\lambda})^{2},\tau_{0};L^{6}(B_{r/2\lambda}))} = \|u\|_{L^{4}(t_{0}-(\frac{r}{2})^{2},t_{0};L^{6}(B_{r/2}(x_{0})))}.$$

$$(3.5)$$

Therefore, setting  $\lambda = r$ , we see that  $Q_{r/\lambda}(\tau_0, 0) = Q_1(\tau_0, 0)$ , and that the condition (1.3) with q = 4 now has the form  $\|\tilde{u}\|_{L^4(Q_1)} \leq \varepsilon_*$ . Hence it suffices to assume that  $x_0 = 0 \in \Omega$ , and to solve the problem (3.1) for given  $u, f = \operatorname{div} F$  and  $u_0$  on  $Q_1 = Q_1(t_0, 0) \subseteq (0, \infty) \times \Omega$  in the following reduced form.

There exists an absolute constant  $\varepsilon_* > 0$  such that if (3.6)

$$\|u\|_{L^4(Q_1(t_0,0))} \le \varepsilon_*, \quad \|F\|_{L^4(t_0-1,t_0;L^2(B_1))} \le \varepsilon_*,$$
  
then  $u \in L^4(t_0 - \frac{1}{2}, t_0; L^6(B_{1/2})).$ 

b) Construction of a local very weak solution v

In order to solve the problem (3.6) we first construct a very weak solution v on a cylinder  $Q' = [t'_0, t_0) \times B_{r'}$  with appropriate values  $t'_0 \in (t_0 - 1, t_0 - \frac{1}{2})$ ,  $r' \in (\frac{1}{2}, 1)$ , and appropriate boundary and initial conditions. Then in part c) below we will prove that u = v on Q' leading to the desired regularity of u in  $B_{1/2}$ .

For this purpose we choose  $t'_0$  and r' in such a way that

$$u(t'_0) \in L^4(B_{r'}), \quad u|_{(t_0 - 1, t_0) \times \partial B_{r'}} \in L^4(t_0 - 1, t_0; L^4(\partial B_{r'}))$$
(3.7)

are well-defined and satisfy the estimates

$$\|u(t'_0)\|_{\mathcal{J}^{6,4}(B_{r'})} \le C_1 \|u(t'_0)\|_{L^4(B_{r'})} \le C_2 \|u\|_{L^4(Q_1)}$$
(3.8)

and

$$\|u\|_{L^{4}(t'_{0},t_{0};W^{-\frac{1}{6},6}(\partial B_{r'}))} \leq C_{3}\|u\|_{L^{4}(t'_{0},t_{0};L^{4}(\partial B_{r'}))} \leq C_{4}\|u\|_{L^{4}(Q_{1})}, \qquad (3.9)$$

where  $C_j = C_j(r') > 0, \ j = 1, \dots, 4.$ 

To find such values  $t'_0, r'$  we argue as follows. Since we have to find a (sufficiently small) constant  $\varepsilon_*$  in (3.6) with the desired property, we assume that

$$||u||_{L^4(Q_1)} < \infty, \quad ||F||_{L^4(t_0 - 1, t_0; L^2(B_1))} < \infty.$$

Then  $u(t'_0)|_{B_1} \in L^4(B_1)$  is well-defined for almost all  $t'_0 \in (t_0 - 1, t_0 - \frac{1}{2})$ . If there is no such value  $t'_0$  satisfying additionally

$$\|u(t'_0)\|^4_{L^4(B_1)} \le 2\|u\|^4_{L^4(t_0-1,t_0-\frac{1}{2};L^4(B_1))}$$
(3.10)

we conclude that

$$\|u\|_{L^{4}(t_{0}-1,t_{0}-\frac{1}{2};L^{4}(B_{1}))}^{4} = \int_{t_{0}-1}^{t_{0}-\frac{1}{2}} \|u\|_{B_{1}}^{4} dt > \frac{1}{2} \cdot 2\|u\|_{L^{4}(t_{0}-1,t_{0}-\frac{1}{2};L^{4}(B_{1}))}^{4}$$
(3.11)

which is a contradiction. Using (2.10), (2.11) with  $\alpha = \frac{1}{8}$ , q = 6,  $\delta = \delta(q, B_{r'}) > 0$ , we thus obtain for some  $t'_0 \in (t_0 - 1, t_0 - \frac{1}{2})$  and - first of all - for each  $r' \in (\frac{1}{2}, 1)$  the estimate

$$\begin{aligned} \|u(t_{0}')\|_{\mathcal{J}^{6,4}(B_{r'})} & (3.12) \\ &= \|A_{2}^{-1}P_{2}u(t_{0}')\|_{6,B_{r'}} + \left(\int_{0}^{\infty} \|A_{2}^{1/8}e^{-tA_{2}}A_{2}^{-1/8}P_{2}u(t_{0}')\|_{6,B_{r'}}^{4} dt\right)^{1/4} \\ &\leq C_{1}\left(\|A_{2}^{-1/8}P_{2}u(t_{0}')\|_{6,B_{r'}} + \left(\int_{0}^{\infty} e^{-4\delta t}t^{-4/8}\|A_{2}^{-1/8}P_{2}u(t_{0}')\|_{6,B_{r'}}^{4} dt\right)^{1/4}\right) \\ &\leq C_{2}\|u(t_{0}')\|_{4,B_{r'}} \leq C_{2}\|u(t_{0}')\|_{4,B_{1}} \leq C_{2}2^{1/4}\|u\|_{L^{4}(Q_{1})}, \end{aligned}$$

 $C_j = C_j(r') > 0, j = 1, 2$ , which yields (3.8). Concerning r' we argue in the same way as for (3.10), and find at least one  $r' \in (\frac{1}{2}, 1)$  such that

$$\|u\|_{L^{4}(t_{0}-1,t_{0};L^{4}(\partial B_{r'}))}^{4} \leq 2\|u\|_{L^{4}(t_{0}-1,t_{0};L^{4}(B_{1}\setminus B_{1/2}))}^{4}.$$
(3.13)

Using (2.15) with  $\alpha = \frac{1}{6}$ , q = 6,  $\gamma = 4$ , we thus obtain the estimate

$$\begin{aligned} \|u\|_{L^{4}(t_{0}-1,t_{0};W^{-\frac{1}{6},6}(\partial B_{r'}))} &\leq C_{3}\|u\|_{L^{4}(t_{0}-1,t_{0};L^{4}(\partial B_{r'}))} \\ &\leq C_{3}2^{1/4}\|u\|_{L^{4}(t_{0}-1,t_{0};L^{4}(B_{1}\setminus B_{1/2}))} \leq C_{3}2^{1/4}\|u\|_{L^{4}(Q_{1})}, \end{aligned}$$

$$(3.14)$$

 $C_3 = C_3(r') > 0$ , which yields (3.9).

Let  $Q' = [t'_0, t_0) \times B_{r'}$ . Then we are able to apply Lemma 2.4 with  $\Omega = B_{r'}$ ,  $q = 6, s = 4, \gamma = 2$  and with [0, T) replaced by  $[t'_0, t_0)$ . Thus we obtain a constant  $\varepsilon(r') > 0$  and a unique very weak solution  $v \in L^4(t'_0, t_0; L^6(B_{r'}))$  in Q' of the system

$$v_t - \Delta v + v \cdot \nabla v + \nabla h = f, \quad \operatorname{div} v = 0,$$
  
$$v_{\partial B_{r'}} = g, \quad v_{|_{t=t'_0}} = v_0$$
(3.15)

with data

$$f = \operatorname{div} F, \ F \in L^4(t'_0, t_0; L^2(B_{r'})), \quad g = u|_{(t'_0, t_0) \times \partial B_{r'}}, \quad v_0 = u(t'_0)|_{B_{r'}}, \quad (3.16)$$

if

$$||u||_{L^4(Q_1)} + ||F||_{L^4(t_0 - 1, t_0; L^2(B_1))} \le \varepsilon(r').$$
(3.17)

Identifying u = v on  $Q' \supset Q_{1/2}$ , see Part c) below, we are led to the desired property  $u \in L^4(t_0 - \frac{1}{2}, t_0; L^6(B_{1/2}(x_0)))$ . However, in order to prove (3.6) we need that the constant in (3.17) does *not* depend on r'. To obtain an absolute constant in (3.17) we modify the system (3.15), using again the scaling procedure, as follows.

With  $\lambda = r'$  let  $\tau = \lambda^{-2}t$ ,  $\tau'_0 = \lambda^{-2}t'_0$ ,  $\tau_0 = \lambda^{-2}t_0$  for  $t \in [t'_0, t_0)$ ,  $\tau \in [\tau'_0, \tau_0)$ , and let  $y = \lambda^{-1}x \in B_1$ ,  $x \in B_{r'}$ ,  $y_0 = 0$ . Then  $\tilde{v}, \tilde{F}, \tilde{g}, \tilde{v}_0$  are defined by  $\tilde{F}(\tau, y) = \lambda^2 F(t, x)$ ,  $\tilde{g}(\tau, y) = \lambda g(t, x)$  and  $\tilde{v}_0(y) = \lambda v_0(x)$ . Obviously the scaling argument as in (3.3) shows that  $\tilde{v} \in L^4(\tau'_0, \tau_0; L^6(B_1))$  is a very weak solution in  $\tilde{Q}' = [\tau'_0, \tau_0] \times B_1$  of the system

$$\begin{aligned} & -\Delta \tilde{v} + \tilde{v} \cdot \nabla \tilde{v} + \nabla \tilde{h} &= \tilde{f}, \quad \operatorname{div} \tilde{v} &= 0, \\ & \tilde{v}_{|_{\partial B_1}} &= \tilde{g}, \quad \tilde{v}_{|_{\tau = \tau'_0}} &= \tilde{v}_0 \end{aligned}$$
(3.18)

with data

 $\tilde{v}_{\tau}$ 

$$\tilde{f} = \operatorname{div} \tilde{F}, \quad \tilde{g} = \tilde{u}|_{(\tau'_0, \tau_0) \times \partial B_1}, \quad \tilde{v}_0 = \tilde{u}(\tau'_0)|_{B_1}, \quad (3.19)$$

if and only if  $v \in L^4(t'_0, t_0; L^6(B_{r'}))$  is a very weak solution in Q' of the system (3.15) with data (3.16). The same calculation as for (3.8), (3.9), see (3.12) - (3.14), now yields the estimates

$$\|\tilde{u}(\tau_0')\|_{\mathcal{J}^{6,4}(B_1)} \le C_1 \|\tilde{u}(\tau_0')\|_{L^4(B_1)} \le C_2 \|u\|_{L^4(Q_1)}$$
(3.20)

and

$$\|\tilde{u}\|_{L^{4}(\tau_{0}',\tau_{0};W^{-\frac{1}{6},6}(\partial B_{1}))} \leq C_{3}\|\tilde{u}\|_{L^{4}(\tau_{0}',\tau_{0};L^{4}(\partial B_{1}))} \leq C_{4}\|u\|_{L^{4}(Q_{1})};$$
(3.21)

here, replacing  $B_r$  by  $B_1$ , the constants  $C_1, \ldots, C_4$  depend on  $B_1$  and therefore are absolute constants. Hence the smallness condition (3.17) corresponding to the system (3.18) with data (3.19) is satisfied with some absolute constant  $\varepsilon_* > 0$ . Thus we can return to (3.15) and obtain by virtue of Lemma 2.4 the following result:

There exists an absolute constant  $\varepsilon_* > 0$  such that if (3.22)

$$||u||_{L^4(Q_1)} + ||F||_{L^4(t_0 - 1, t_0; L^2(B_1))} \le \varepsilon_{*}$$

then the system (3.15) with data (3.16) has a unique very weak solution  $v \in L^4(t'_0, t_0; L^6(B_{r'}))$ .

#### c) Identification u = v on Q'

It remains to prove this identification. Assuming u = v on Q' we conclude, since  $t'_0 < t_0 - \frac{1}{2}$ ,  $\frac{1}{2} < r'$ , that (3.6) is true, and we complete the proof. To this end we need several arguments as follows.

First we consider the very weak solution  $E \in L^4(t'_0, t_0; L^6(B_{r'}))$  of the linearized system (3.15), omitting the term  $v \cdot \nabla v$ ; see (2.21), (2.22) with s = 4, q = 6,  $\Omega = B_{r'}$ , [0, T) replaced by  $[t'_0, t_0)$ . Then formula (2.24) can be written in the form

$$v(t) - E(t) = -\int_{t'_0}^t e^{-(t-\tau)A_2} P_2 \operatorname{div}\left(v(\tau)v(\tau)\right) d\tau.$$
 (3.23)

Using Hölder's inequality in  $L^2(Q') = L^2(t'_0, t_0; L^2(B_{r'}))$ , we obtain that

$$\|vv\|_{L^2(Q')} \le C \|v\|_{L^4(Q')}^2 \le C \|v\|_{L^4(Q_1)}^2 < \infty$$

with an absolute constant C > 0, and thus that v - E in (3.23) has the properties (2.25) - (2.28) with  $[T_0, T_1) \times \Omega$  replaced by  $[t'_0, t_0) \times B_{r'}$ .

Next we use that  $uu \in L^2(t'_0, t_0; L^2(B_{r'}))$ , and we argue for u - E in the same way as for v - E in (2.25) – (2.28). Indeed, using for u, E the relations (2.4), (2.18), we conclude that (2.25) – (2.28) is true if v is replaced by u, and  $[T_0, T_1) \times \Omega$  is replaced by  $[t'_0, t_0) \times B_{r'}$ .

Further we conclude that u - v is the weak solution of the Stokes system

$$\begin{aligned} (u-v)_t - \Delta(u-v) + \nabla h &= -\text{div}\,(uu-vv), \quad \text{div}\,(u-v) = 0, \\ u-v|_{\partial B_{r'}} &= 0, \quad u-v|_{t=t'_0} = 0 \end{aligned}$$

in  $[t'_0, t_0) \times B_{r'}$ , and that the corresponding energy properties as in (2.26) – (2.28) hold for u - v.

Since  $u(u-v) \in L^2(Q')$  and  $\nabla(u-v) \in L^2(Q')$  we see by Hölder's inequality that  $\int_{t'_0}^{t_0} \langle u(u-v), \nabla(u-v) \rangle_{B_{r'}} d\tau$  is well-defined, and that

$$\langle u(u-v), \nabla(u-v) \rangle_{B_{r'}} = \frac{1}{2} \langle u, \nabla | u-v |^2 \rangle_{B_{r'}} = 0.$$

Therefore, using (2.26) for u - v as explained above, we obtain that

$$\begin{aligned} \frac{1}{2} \|u(t) - v(t)\|_2^2 + \int_{t'_0}^{t_0} \|\nabla(u - v)\|_2^2 \, d\tau &= \int_{t'_0}^t \langle uu - vv, \, \nabla(u - v) \rangle_{B_{r'}} \, d\tau \\ &= \int_{t'_0}^t \langle u(u - v) + (u - v)v, \, \nabla(u - v) \rangle_{B_{r'}} \, d\tau = \int_{t'_0}^t \langle (u - v)v, \, \nabla(u - v) \rangle_{B_{r'}} \, d\tau. \end{aligned}$$

A consequence of this relation as in (2.27) is the energy estimate

$$\begin{aligned} |||u - v|||_{t'_{0},t_{0}}^{2} &:= \|u - v\|_{L^{\infty}(t'_{0},t_{0};L^{2}(B_{r'}))}^{2} + \|\nabla(u - v)\|_{L^{2}(t'_{0},t_{0};L^{2}(B_{r'}))}^{2} \\ &= \|u - v\|_{2,\infty}^{2} + \|\nabla(u - v)\|_{2,2}^{2} \\ &\leq C_{1}\|(u - v)v\|_{2,2}^{2}. \end{aligned}$$
(3.24)

Next we use the standard Sobolev estimate  $||u-v||_3 \leq C_2 ||\nabla(u-v)||_2^{\frac{1}{2}} ||u-v||_2^{\frac{1}{2}}$ , see e.g. [10], II, (1.3.2), and Hölder's inequality, and obtain that

$$\begin{aligned} \|(u-v)v\|_{2,2}^2 &\leq C_3 \|v\|_{6,4}^2 \|u-v\|_{3,4}^2 \\ &\leq C_4 \|v\|_{6,4}^2 \left(\|\nabla(u-v)\|_{2,2}^2 + \|u-v\|_{2,\infty}^2\right) \\ &= C_4 \|v\|_{6,4}^2 \||u-v|||_{t_0,t_0}^2. \end{aligned}$$

In these estimates  $C_1, \ldots, C_4 > 0$  are absolute constants. Thus (3.24) leads to the estimate

$$|||u - v|||_{t'_0, t_0} \le C ||v||_{L^4(t'_0, t_0; L^6(B_{r'}))} |||u - v|||_{t'_0, t_0}$$
(3.25)

with some absolute constant C > 0.

Our purpose is to apply to (3.25) the well-known absorption principle as follows. Consider a decomposition  $t'_0 = t_1 < t_2 < \ldots < t_{m-1} < t_m = t_0, m \in \mathbb{N}$ , in such a way that

$$C \|v\|_{L^4(t_{j-1}, t_j; L^6(B_{r'}))} \le \frac{1}{2}$$
(3.26)

for j = 2, ..., m. The estimate (3.25) also holds with  $t_0$  replaced by  $t_1$ , and inserting (3.26) in (3.25) we get that

$$|||u - v|||_{t'_0, t_1} \le \frac{1}{2} |||u - v|||_{t'_0, t_2}$$

which means that u = v in  $[t'_0, t_1)$ . Repeating this argument with  $[t'_0, t_1)$  replaced by  $[t_1, t_2)$  yields u = v in  $[t_1, t_2)$ , and so on. In a finite number of such steps we conclude that u = v in Q'. This completes the proof of Theorem 1.1.

**Proof of Corollary 1.2** The proof of Theorem 1.1 can be carried out in the same way with  $Q_r(t_0, x_0)$  replaced by  $Q_r^*(t_0, x_0)$ , which means that (1.5) is replaced by  $u \in L^4\left(t_0 - \frac{7}{8}\left(\frac{r}{2}\right)^2, t_0 + \frac{1}{8}\left(\frac{r}{2}\right)^2; L^6\left(B_{r/2}(x_0)\right)$ , after the corresponding modifications in this theorem. This means in particular that  $(t_0, x_0)$  is a regular point of u. If the condition (1.7) is satisfied, we find a sequence  $Q_{r_j}^*(t_0, x_0) \subseteq (0, \infty) \times \Omega$ ,  $r_j > 0, j \in \mathbb{N}$ , with  $\lim_{j\to\infty} r_j = 0$ , in such a way that

$$\lim_{j \to \infty} r_j^{q-5} \|u\|_{L^q(Q_{r_j}^*)}^q < (\varepsilon_*)^q.$$

Then there is at least one radius  $r_0 > 0$  among the values  $r_1, r_2, \ldots$ , such that

$$\|u\|_{L^q(Q^*_{r_0})} \le \varepsilon_* r_0^{\frac{2}{q} + \frac{3}{q} - 1},$$

cf. (1.3), holds in Theorem 1.1 suitably modified. Furthermore, using  $F \in L^4(0,\infty; L^2(\Omega))$ , we can choose  $r_0$  sufficiently small in such a way that (1.4) is satisfied after the corresponding modification. This shows that  $(t_0, x_0)$  is a regular point, and the proof is complete.

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