

# On Böcherer's conjecture

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# Plan of today's talk

- 1 Böcherer's conjecture
- 2 Gross-Prasad conjecture
- 3 Refined Gross-Prasad conjecture
- 4 Final remark

# Introduction

## Definition (Siegel cusp forms of degree $n$ )

A *Siegel modular form of degree  $n$*  with respect to  $\mathrm{Sp}_n(\mathbb{Z})$  and weight  $k$  is a holomorphic function  $\Phi$  on  $\mathfrak{H}_n$  satisfying

$$\Phi\left((AZ + B)(cZ + D)^{-1}\right) = \det(CZ + D)^k \Phi(Z)$$

for any  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathrm{Sp}_n(\mathbb{Z})$  and  $Z \in \mathfrak{H}_n$ . If in addition,  $\Phi$  vanishes at the cusps, then  $\Phi$  is called a *cuspidal form*. Here

$$\mathrm{Sp}_n(\mathbb{Z}) = \{\gamma \in \mathrm{GL}_{2n}(\mathbb{Z}) : {}^t\gamma J_n \gamma = J_n\}, \quad J_n = \begin{pmatrix} 0 & 1_n \\ -1_n & 0 \end{pmatrix}$$

$$\mathfrak{H}_n = \{Z \in \mathrm{M}_2(\mathbb{C}) : {}^tZ = Z, \mathrm{Im}(Z) > 0\}.$$

We denote by  $S_k^n$  the space of Siegel cusp forms of degree  $n$  with respect to  $\mathrm{Sp}_n(\mathbb{Z})$  and weight  $k$ .

# Fourier coefficients of Hecke eigenforms

Let  $\mathcal{H}_n$  denote the **Hecke algebra** which acts on  $S_k^n$ .

Since  $\mathcal{H}_n$  is commutative and  $T \in \mathcal{H}_n$  is Hermitian with respect to the **Petersson inner product**  $\langle \cdot, \cdot \rangle$ ,  $S_k^n$  has a basis consisting of Hecke eigenforms.

$n = 1$

Let  $\phi \in S_k^1$  be a Hecke eigenform with the Fourier expansion

$$\phi(z) = \sum_{n=1}^{\infty} a(n, \phi) \exp\left(2\pi\sqrt{-1}nz\right).$$

Then  $a(1, \phi) \neq 0$  and when we normalize  $\phi$  so that  $a(1, \phi) = 1$ , we have

$$a(n, \phi) = \lambda(n) \quad \text{where } T(n)\phi = \lambda(n)\phi \text{ and}$$

$$\sum_{n \geq 1} a(n, \phi) n^{-s} = \sum_{n \geq 1} \lambda(n) n^{-s} = \prod_{p:\text{prime}} \frac{1}{(1 - \alpha_p(\phi) p^{-s})(1 - \beta_p(\phi) p^{-s})}.$$

## Degree 2 case

Let  $\Phi \in S_k^2$  be a Hecke eigenform and assume that  $k$  is even. Then  $\Phi$  has the Fourier expansion

$$\Phi(Z) = \sum_{T \in \mathcal{P}_2(\mathbb{Z})} a(T, \Phi) \exp\left(2\pi\sqrt{-1} \operatorname{tr}(TZ)\right)$$

where

$$\mathcal{P}_2(\mathbb{Z}) := \left\{ T = \begin{pmatrix} a & b/2 \\ b/2 & c \end{pmatrix} : a, b, c \in \mathbb{Z}, T \text{ is positive definite} \right\}.$$

For  $S, T \in \mathcal{P}_2(\mathbb{Z})$ , we say  $S \sim T$  when  $T = {}^t\gamma S \gamma$  for some  $\gamma \in \operatorname{SL}_2(\mathbb{Z})$ . Then

$$S \sim T \quad \Rightarrow \quad a(S, \Phi) = a(T, \Phi).$$

# Relation between Hecke eigenvalues and Fourier coefficients

Suppose that  $-\det(2T) = -D$  is a discriminant of  $E = \mathbb{Q}(\sqrt{-D})$ .

- Recall the Gauss composition law for binary quadratic forms.
- Let  $h_E$  be the class number of the imaginary quadratic fields  $E$ .
- Let  $\{T_i : 1 \leq i \leq h_E\}$  be a set of representatives of equivalence classes of  $T \in \mathcal{P}_2(\mathbb{Z})$  with  $-\det(2T) = -D$ .

## Definition (Bessel period of type $(E, \chi)$ )

For a character  $\chi$  of the ideal class group of  $E$ ,

$$B(\Phi; E, \chi) := w_E^{-1} \sum_{i=1}^{h_E} \chi(T_i) a(T_i, \Phi) \quad \text{Bessel period of type } (E, \chi)$$

where  $w_E$  denotes the number of roots of unity in  $E$ .

Let  $\lambda(m)$  be the eigenvalue of  $T(m) \in \mathcal{H}_2$  for  $\Phi$ , i.e.  $T(m)\Phi = \lambda(m)\Phi$ .  
Then:

$$\sum_{m \geq 1} \lambda(m) m^{-s} = \zeta(2s - 2k + 4)^{-1} L(s, \Phi, \text{spin})$$

where  $L(s, \Phi, \text{spin})$  is the *spin L-function* of degree 4.

Then for any character  $\chi$  of the ideal class group of  $E$ , we have

$$L(s - k + 2, \chi) \cdot \sum_{i=1}^{h_E} \left( \sum_{n \geq 1} a(nT_i, \Phi) n^{-s} \right) = w_E \cdot B(\Phi; E, \chi) L(s, \Phi, \text{spin}).$$

In particular, when the class number  $h_E = 1$ , we have

$$\sum_{n \geq 1} a(nT, \Phi) n^{-s} = a(T, \Phi) \sum_{m \geq 1} \lambda(m) m^{-s}.$$

# Böcherer's conjecture

## Conjecture (Böcherer, circa 1986)

There exists a constant  $C_\Phi$  which depends only on  $\Phi$  such that

$$|B(\Phi; E)|^2 = C_\Phi \cdot D^{k-1} \cdot L\left(\frac{1}{2}, \pi(\Phi) \times \chi_E\right)$$

for any imaginary quadratic field  $E$  where

$$B(\Phi; E) := B(\Phi; E, 1) = w_E^{-1} \sum_{i=1}^{h_E} a(T_i, \Phi)$$

is the *Special Bessel period of type  $E$*  of  $\Phi$ ,

- $\pi(\Phi)$  is the automorphic representation of  $\mathrm{PGSp}_2(\mathbb{A})$  attached to  $\Phi$
- $\chi_E$  is the quadratic character of  $\mathbb{A}^\times$
- $L(s, \pi(\Phi) \times \chi_E)$  is the *complete* spin  $L$ -function normalized so that the functional equation is with respect to  $s \mapsto 1 - s$ .



## Remark

- Böcherer verified the conjecture for Saito-Kurokawa lifts.
- Böcherer did not speculate on the nature of the constant  $C_\Phi$ .
- In particular, the equality above implies that

$$B(\Phi; E) \neq 0 \Rightarrow L(1/2, \pi(\Phi) \times \chi_E) \neq 0.$$

Some natural questions arise:

- Can we describe the constant  $C_\Phi$  explicitly?
- Is there such a formula also for  $B(\Phi; E, \chi)$  when  $\chi$  is a non-trivial character? ... Generalized Böcherer conjecture

# Gross-Prasad conjecture and its refinement

- $F$ : a number field
- $(V, (\cdot, \cdot)_V)$ : a non-degenerate quadratic space over  $F$ .
- $W$ : a non-degenerate subspace of  $V$  such that  $\dim V \not\equiv \dim W \pmod{2}$ .
- $(\Pi, V_\Pi)$ : cuspidal representation of  $\mathrm{SO}(V, \mathbb{A})$ .
- $(\pi, V_\pi)$ : cuspidal representation of  $\mathrm{SO}(W, \mathbb{A})$ .

Then a certain period integral  $B_{V,W} : V_\Pi \times V_\pi \rightarrow \mathbb{C}$ , called **Bessel period**, is defined.

**Conjecture (Gross-Prasad, co-dimension one case (1992), co-dimension general (1994), Canad. J. Math.)**

- $B_{V,W} \neq 0 \Rightarrow L(1/2, \Pi \times \pi) \neq 0$ .
- *The opposite direction, i.e. the non-vanishing of the L-value implies the non-vanishing of a related Bessel period.*
- *Local counterparts.*

### **Conjecture (Ichino-Ikeda, 2010, GAFA)**

*They formulated a refinement of the Gross-Prasad conjecture, which gives an explicit identity between  $B_{V,W}(\cdot, \cdot)$  and  $L(1/2, \Pi \times \pi)$  when  $B_{V,W}(\cdot, \cdot) \neq 0$ , and  $\dim W = \dim V - 1$ .*

### **Conjecture (Generalization of Ichino-Ikeda conjecture by Yifeng Liu, 2016, Crelle)**

*By overcoming the convergence issue, he succeeded in formulating the generalization of the Ichino-Ikeda conjecture to the general co-dimension case.*

Recall that  $\mathrm{PGSp}_2 \simeq \mathrm{SO}(3, 2)$ .

### **Theorem (Dickson, Pitale, Saha & Schmidt, to appear in JMSJ)**

*By computing the ramified local integrals explicitly, they have shown that Yifeng Liu's conjecture for  $\mathrm{SO}(3, 2) \supset \mathrm{SO}(2)$  yields a refinement of the (generalized) Böcherer conjecture in the square-free level case when  $\Phi$  is not a Saito-Kurokawa lift.*

In particular, Dickson, Pitale Saha & Schmidt have shown that *if Yifeng Liu's conjecture holds*, then the constant  $C_\Phi$  in Böcherer's conjecture is given by

$$C_\Phi = \frac{2^{4k-4} \cdot \pi^{2k+1}}{(2k-2)!} \cdot \frac{L(1/2, \pi(\Phi))}{L(1, \pi(\Phi), \text{Ad})} \cdot \langle \Phi, \Phi \rangle.$$

Hence

$$\frac{|B(\Phi; E)|^2}{\langle \Phi, \Phi \rangle} = D^{k-1} \cdot 2^{2k-5} \cdot \frac{L(1/2, \pi(\Phi)) L(1/2, \pi(\Phi) \times \chi_E)}{L(1, \pi(\Phi), \text{Ad})}.$$

F & Morimoto have shown that Yifeng Liu's conjecture holds under some conditions for the special Bessel models concerning  $\text{SO}_{n,n+1} \supset \text{SO}(2)$ . As its consequence, a refined form of Böcherer's conjecture holds by the result above of Dickson, Pitale, Saha & Schmidt.

# Notation

- $F$ : a number field.
- $E$ : a **quadratic** extension of  $F$ .
- $\chi_E$ : quadratic character of  $\mathbb{A}^\times/F^\times$  corresponding to  $E$ .
- All global  $L$ -functions are **complete**  $L$ -functions.
- $\xi_F = \prod_v$ : all  $\zeta_{F_v}(s)$ : complete Dedekind zeta of  $F$ .
- $(V, \langle, \rangle)$ : a quadratic space such that  $\dim V = 2n + 1$  ( $n \geq 2$ ),  
 $V = \mathbb{H}^{n-1} \oplus L$  (orthogonal sum) with  $\mathbb{H}$ : hyperbolic plane  
and  
 $\dim L = 3$ ,  $L \supset (E, N_{E/F})$  as quadratic spaces.
- $\mathcal{G}_n := F$ -isomorphism classes of  $\mathrm{SO}(V)$  for such  $V$ .
- We identify  $\mathrm{SO}(V)$  with its  $F$ -isomorphism class in  $\mathcal{G}_n$ .
- We specify  $\mathbb{G} = \mathbb{G}_n = \mathrm{SO}(\mathbb{V}_n) \in \mathcal{G}_n$  to denote the *split* one.

# Bessel subgroup

For  $G = \mathrm{SO}(V) \in \mathcal{G}_n$ , we have  $\mathrm{SO}(E) \subset G$ .

But  $\mathrm{SO}(E)$  is “too small.”

## Definition (Bessel subgroup)

Taking a certain unipotent subgroup  $S$ , a *Bessel subgroup*  $R_E$  is defined by

$$R_E := T_E \times S \quad \text{with } T_E := \mathrm{SO}(E),$$

which is contained in a maximal parabolic subgroup of  $G$  whose Levi component is  $\mathrm{GL}(n-1) \times \mathrm{SO}(L)$ .

For a non-trivial character  $\psi : \mathbb{A}/F \rightarrow \mathbb{C}^\times$ , we have a *character on  $S(\mathbb{A})$*  also denoted by  $\psi$ , by abuse of notation, which is *stable under the conjugate action of  $T_E(\mathbb{A})$* .

# Bessel period & Special Bessel period

## Definition (Bessel period)

Let  $\chi$  be a character of  $T_E(\mathbb{A})/T_E(F)$ . Note:  $T_E \simeq E^\times/F^\times$ .

Then for an automorphic form  $\phi$  on  $\mathrm{SO}(V, \mathbb{A})$ ,  $B_{E, \chi, \psi}(\phi)$ , a *Bessel period of type  $(E, \chi, \psi)$*  is defined by

$$B_{E, \chi, \psi}(\phi) := \int_{T_E(F) \backslash T_E(\mathbb{A})} \int_{S(F) \backslash S(\mathbb{A})} \phi(ts) \chi^{-1}(t) \psi^{-1}(s) dt ds.$$

## Definition (Special Bessel period)

When  $\chi$  is trivial, the Bessel period of type  $(E, 1, \psi)$  is called the *special Bessel period of type  $E$*  and denoted by  $B_E(\phi)$ , i.e.

$$B_E(\phi) := \int_{T_E(F) \backslash T_E(\mathbb{A})} \int_{S(F) \backslash S(\mathbb{A})} \phi(ts) \psi^{-1}(s) dt ds.$$

# Gross-Prasad conjecture for special Bessel periods

## Theorem (F & Morimoto, Math. Ann., 2017)

- $\pi = \otimes_v \pi_v$ : an irreducible cuspidal automorphic representation of  $G(\mathbb{A})$  for  $G \in \mathcal{G}_n$ . Let  $V_\pi$  be its space of automorphic forms.
- Assume that a local component  $\pi_w$  at some finite place  $w$  is *generic*.

Suppose that  $B_E \neq 0$  on  $V_\pi$ .

Then

$$L(1/2, \pi) L(1/2, \pi \times \chi_E) \neq 0.$$

Moreover:

- $\exists \pi^\circ$ : globally generic irreducible cuspidal automorphic representation of  $G(\mathbb{A})$  which is nearly equivalent to  $\pi$ , i.e.  $\pi_v^\circ \simeq \pi_v$  for almost all  $v$ .

Dihua Jiang & Lei Zhang: recently proved a more general theorem assuming *the extension of Arthur's result to the non quasi-split case*.



Theorem above follows from the following theorem.

### Theorem (F & Morimoto, Math. Ann., 2017)

- $\pi$ : an irreducible cuspidal automorphic representation of  $G(\mathbb{A})$  with  $G \in \mathcal{G}_n$ . Suppose that  $B_E \neq 0$  on  $V_\pi$ .

Suppose moreover that:

- $\sigma := \Theta_n(\pi, \psi)$ : theta lift of  $\pi$  from  $G$  to  $\widetilde{Sp}_n(\mathbb{A})$  with respect to  $\psi$ ,
- $\Pi := \Theta_{\mathbb{V}_n}(\sigma, \psi^{-\lambda})$ : theta lift of  $\sigma$  to  $\mathbb{G}_n(\mathbb{A})$  with respect to  $\psi^{-\lambda}$

are both non-zero and cuspidal. Note  $E = F(\sqrt{-\lambda})$  and  $\psi^a(x) = \psi(ax)$ .

Then we have:

$$L(1/2, \pi) L(1/2, \pi \times \chi_E) \neq 0$$

and  $\exists \pi^\circ$ : globally generic irreducible cuspidal automorphic representation of  $\mathbb{G}_n(\mathbb{A})$  nearly equivalent to  $\pi$ .

### Remark

This line of thought concerning special Bessel periods goes back to Waldspurger ( $n = 1$ ) and Piatetski-Shapiro & Soudry ( $n = 2$ ).

As a corollary, we have shown that:

**Theorem (F-Morimoto, Math. Ann., 2017)**

For a Hecke eigenform  $\Phi \in S_k^2$ , we have

$$B(\Phi; E) \neq 0 \iff L(1/2, \pi(\Phi)) L(1/2, \pi(\Phi) \times \chi_E) \neq 0.$$

Actually the equivalence is proved for full modular **vector valued** Siegel cusp forms.

# Refined Gross-Prasad conjecture

## Set Up

- $\pi$ : an irreducible *tempered* cuspidal automorphic representation of  $G(\mathbb{A})$  with  $G \in \mathcal{G}_n$ .
- All global measures are *Tamagawa measures*.
- $\langle \phi_1, \phi_2 \rangle := \int_{G(F) \backslash G(\mathbb{A})} \phi_1(g) \overline{\phi_2(g)} dg$ , Petersson product on  $V_\pi$ .
- $\langle \cdot, \cdot \rangle_v$ :  $G_v$ -invariant Hermitian inner product on  $V_{\pi_v}$  such that

$$\langle \phi_1, \phi_2 \rangle = \prod_v \langle \phi_{1,v}, \phi_{2,v} \rangle_v \quad \text{for } \phi_i = \otimes_v \phi_{i,v} \in V_\pi.$$

## Set Up (continued)

- $dg_v$ : measure on  $G_v$  such that  $\text{Vol}(K_v, dg_v) = 1$  for almost all  $v$ .
- $dt_v$ : similarly taken measure on  $T_{E,v} := \text{SO}(E)_v$ .
- Haar measure constants:  $dg = C_G \cdot \prod_v dg_v$ ,  $dt = C_E \cdot \prod_v dt_v$ .
- Local integral  $\alpha_v(\phi_v, \phi'_v)$ :

$$\alpha_v(\phi_v, \phi'_v) := \int_{T_{E,v}} \int_{S_v}^{\text{st}} \langle \pi_v(s_v t_v) \phi_v, \phi'_v \rangle_v \psi_v^{-1}(s) dt_v ds_v.$$

Here  $\int_{S_v}^{\text{st}}$  denotes the *stable integration* on  $S_v$  defined by Liu.

- Liu showed that *when  $v$  is “good,” we have*

$$\alpha_v(\phi_v, \phi'_v) = \frac{L\left(\frac{1}{2}, \pi_v\right) L\left(\frac{1}{2}, \pi_v \times \chi_{E,v}\right) \prod_{j=1}^n \zeta_{F_v}(2j)}{L(1, \pi_v, \text{Ad}) L(1, \chi_{E,v})}.$$

## Theorem (F & Morimoto, J. Eur. Math. Soc. (JEMS), to appear)

- $F$ : *totally real* number field.
- $\pi = \otimes_v \pi_v$ : irreducible cuspidal *tempered* automorphic representation of  $G(\mathbb{A})$  for  $G \in \mathcal{G}_n$ .
- At any archimedean place  $v$ ,  $\pi_v$  is a discrete series representation.

Suppose that  $B_E \neq 0$  on  $V_\pi$ .

Then:

- For any  $v$ ,  $\exists \phi'_v \in V_{\pi_v}$ :  $K_{G,v}$ -finite vector such that  $\alpha_v(\phi'_v, \phi'_v) \neq 0$ .
- For any non-zero  $\phi \in V_\pi$  of the form  $\phi = \otimes_v \phi_v$ , we have

$$\frac{|B_E(\phi)|^2}{\langle \phi, \phi \rangle} = 2^{-\ell} C_E \times \frac{L\left(\frac{1}{2}, \pi\right) L\left(\frac{1}{2}, \pi \times \chi_E\right) \prod_{j=1}^n \xi_F(2j)}{L(1, \pi, \text{Ad}) L(1, \chi_E)} \cdot \prod_v \frac{\alpha_v^{\natural}(\phi_v, \phi_v)}{\langle \phi_v, \phi_v \rangle}.$$

(Recall that all  $L$ -functions are *complete  $L$ -functions*.)

(Theorem continued) Here

$$\alpha_v^{\natural}(\phi_v, \phi_v) := \frac{L(1, \pi_v, \text{Ad}) L(1, \chi_{E,v})}{L(1/2, \pi_v) L(1/2, \pi_v \times \chi_{E,v}) \prod_{j=1}^n \zeta_{F_v}(2j)} \cdot \alpha_v(\phi_v, \phi_v)$$

and hence  $\frac{\alpha_v^{\natural}(\phi_v, \phi_v)}{\langle \phi_v, \phi_v \rangle_v} = 1$  for almost all  $v$ .

- $\pi$  has a **weak lift**  $\Pi$  to  $\text{GL}_{2n}(\mathbb{A})$ , i.e.  $\Pi = \otimes_v \Pi_v$  is an irreducible automorphic representation of  $\text{GL}_{2n}(\mathbb{A})$  such that  $\Pi_v$  is a local Langlands lift of  $\pi_v$  at all archimedean and almost all non-archimedean  $v$ . Then  $\Pi$  is of the form  $\Pi = \boxplus_{i=1}^{\ell} \pi_i$  (isobaric sum) such that
  - $\pi_i$ : irreducible cuspidal automorphic representation of  $\text{GL}_{2n_i}(\mathbb{A})$  such that  $L(s, \pi_i, \wedge^2)$  has a pole at  $s = 1$ ,  $\sum_{i=1}^k n_i = n$ ,  $\pi_i \not\cong \pi_j$  ( $i \neq j$ ). (Indeed the existence of such  $\Pi$  readily follows from the previous theorem.)

When  $n = 2$ , Theorem above has been proved by Liu for endoscopic Yoshida lifts and by Corbett for non-endoscopic Yoshida lifts.

# Skeleton of the proof of Theorem

First:

We define the *generic character*  $\psi_\lambda$  for  $\widetilde{\mathrm{Sp}}_n(\mathbb{A})$  by

$$\psi_\lambda \left[ \begin{pmatrix} u & & & \\ & t_{u^{-1}} & & \\ & & 1_n & S \\ & & & 1_n \end{pmatrix} \right] := \psi \left( u_{1,2} + \cdots + u_{n-1,n} + \frac{\lambda}{2} S_{n,n} \right).$$

Then:

## Definition ( $\psi_\lambda$ -Whittaker period)

For an automorphic form  $\tilde{\phi}$  on  $\widetilde{\mathrm{Sp}}_n(\mathbb{A})$ ,

$$W(\tilde{\phi}, \psi_\lambda) := \int_{U_n(F) \backslash U_n(\mathbb{A})} \int_{V_n(F) \backslash V_n(\mathbb{A})} \psi_\lambda \left[ \begin{pmatrix} u & & & \\ & t_{u^{-1}} & & \\ & & 1_n & S \\ & & & 1_n \end{pmatrix} \right] \\ \times \tilde{\phi} \left[ \begin{pmatrix} u & & & \\ & t_{u^{-1}} & & \\ & & 1_n & S \\ & & & 1_n \end{pmatrix} \right] du dS.$$

In the following,  $A \stackrel{\text{a.a.}}{=} B$  implies that  $A = B$  up to multiplication by a product of finitely many local factors.

- ① Global pull-back formula of Bessel periods by F (Crelle, 1995):

$$W(\tilde{\phi}; \psi_\lambda) \stackrel{\text{a.a.}}{=} C_G C_E^{-1} \cdot B_E(\phi) \quad \text{where } \tilde{\phi} := \theta_\psi^\varphi(\phi).$$

- ② Explicit formula for metaplectic Whittaker periods by Lapid-Mao:

$$\frac{|W(\tilde{\phi}; \psi_\lambda)|^2}{\langle \tilde{\phi}, \tilde{\phi} \rangle} \stackrel{\text{a.a.}}{=} 2^{-\ell} \cdot \frac{L(1/2, \pi \times \chi_E) \prod_{j=1}^n \xi_F(2j)}{L(1, \pi, \text{Ad})}.$$

- ③ Precise Rallis inner product formula by Gan-Takeda:

$$\frac{\langle \tilde{\phi}, \tilde{\phi} \rangle}{\langle \phi, \phi \rangle} \stackrel{\text{a.a.}}{=} C_G \cdot \frac{L(1/2, \pi)}{\prod_{j=1}^n \xi_F(2j)}.$$

$\implies$  We are reduced to proving a *pull-back formula for the local metaplectic Whittaker pairing*.



## (F-Morimoto, in preparation)

- 1 Generalization to  $B(\Phi; E, \chi)$  where  $\chi$  is *not necessarily trivial* of the explicit  $L$ -value formula conjectured by Dickson, Pitale, Saha & Schmidt.
- 2 Generalization of the  $L$ -value formula to the *vector valued* case.
- 3 Generalization of the  $L$ -value formula to the *weight two* case.