

December 21, 2006

## 10th Exercise Sheet Linear Algebra I for MCS Winter Term 2006/2007

### (E10.1) [Dual basis]

(Compare section 3.2.2 in the notes and Observation 3.2.6 in particular.)

Let  $V = \mathbb{F}^2$  and  $V^* = \text{Hom}(V, \mathbb{F})$  its dual space. Further, let  $V$  be equipped with the labelled basis  $B = ((1, 1), (1, 2))$ . Determine the dual basis  $B^*$  of  $V^*$  corresponding to  $B$ .

### (E10.2) [Matrix powers]

Determine all powers  $A^n$ , for  $n \in \mathbb{N}$ , for these matrices:

$$\begin{pmatrix} 0 & 3 \\ 1/3 & 0 \end{pmatrix}, \begin{pmatrix} -6 & 5 \\ -7 & 6 \end{pmatrix}, \begin{pmatrix} 1 & b \\ 0 & -1 \end{pmatrix}, \\ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & b & 0 & 0 \\ 0 & 1 & b & 0 \\ 0 & 0 & 1 & b \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

### (E10.3) [Trace]

The *trace* [Spur] of a matrix is defined as the map

$$\text{trace} : \mathbb{F}^{(n,n)} \rightarrow \mathbb{F}, \quad A = (a_{ij}) \mapsto \sum_{i=1}^n a_{ii}.$$

- (i) Show that trace is linear.
- (ii) Determine the dimension of  $\text{image}(\text{trace})$  and  $\ker(\text{trace})$  and give a basis for  $\ker(\text{trace})$  for  $n = 2$  (the space of  $2 \times 2$  matrices).<sup>1</sup>
- (iii) Show that  $\text{trace}(AB) = \text{trace}(BA)$  for all  $A, B \in \mathbb{F}^{(2,2)}$ .<sup>2</sup>

<sup>1</sup>You may want to do this and the rest of the exercise for arbitrary  $n$  instead of  $n = 2$ , which is not much harder.

<sup>2</sup>It follows that  $\text{trace}(S^{-1}AS) = \text{trace}(A)$  for all regular matrices  $S$ . As we shall see later, this implies that trace is invariant under basis transformation. So one can define the trace of an endomorphism  $\varphi$  just as  $\text{trace}(\varphi) = \text{trace}[\varphi]_B^B$  where  $B$  is any basis!

- (iv) (\*) Let  $\varphi \in \text{Hom}(\mathbb{F}^{(2,2)}, \mathbb{F})$  such that  $\varphi(AB) = \varphi(BA)$  for all  $A, B \in \mathbb{F}^{(2,2)}$ . Show that there exists an element  $c \in \mathbb{F}$  such that  $\varphi = c \text{trace}$ .<sup>3</sup>

Hint: use the fact that  $AB - BA \in \ker(\varphi)$  for all  $A, B \in \mathbb{F}^{(2,2)}$ , and first show that  $\ker(\varphi) = \ker(\text{trace})$ .

**(E10.4) [Nilpotent endomorphisms]**

Let  $V$  be an  $\mathbb{F}$ -vector space and  $\varphi : V \rightarrow V$  an endomorphism. Prove:

- (i)  $V \supseteq \text{image}(\varphi) \supseteq \text{image}(\varphi^2) \supseteq \text{image}(\varphi^3) \supseteq \dots$
- (ii)  $\{\mathbf{0}\} \subseteq \ker(\varphi) \subseteq \ker(\varphi^2) \subseteq \ker(\varphi^3) \subseteq \dots$
- (iii) An endomorphism  $\varphi : V \rightarrow V$  is called *nilpotent* if  $\varphi^m = \mathbf{0}$  for some  $m \in \mathbb{N}$ . Let  $\varphi \neq \mathbf{0}$  be a nilpotent endomorphism of  $V$  and  $m \in \mathbb{N}$  such that  $\varphi^{m-1} \neq \mathbf{0}$  and  $\varphi^m = \mathbf{0}$ . Show that  $\{\mathbf{0}\} \subsetneq \ker(\varphi) \subsetneq \ker(\varphi^2) \subsetneq \dots \subsetneq \ker(\varphi^m) = V$ .

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<sup>3</sup>So the property in (iii) determines trace uniquely up to a scalar.

## Christmas Exercises:

### (E10.5) [Systems of linear equations and linear maps]

For a matrix  $A = (a_{ij}) \in \mathbb{F}^{(m,n)}$  with column vectors  $\mathbf{a}_j$ ,  $1 \leq j \leq n$  and another column vector  $\mathbf{b} \in \mathbb{F}^m$ ,

$$\mathbf{a}_j = \begin{pmatrix} a_{1j} \\ \vdots \\ a_{mj} \end{pmatrix} \quad \text{and} \quad \mathbf{b} = \begin{pmatrix} b_1 \\ \vdots \\ b_m \end{pmatrix},$$

consider the following system of  $m$  linear equations over  $\mathbb{F}^n$

$$E = E[A, \mathbf{b}]: \begin{cases} a_{11}x_1 + a_{12}x_2 + \cdots + a_{1n}x_n = b_1 \\ a_{21}x_1 + a_{22}x_2 + \cdots + a_{2n}x_n = b_2 \\ a_{31}x_1 + a_{32}x_2 + \cdots + a_{3n}x_n = b_3 \\ \vdots \\ a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n = b_m, \end{cases}$$

and the associated linear map  $\varphi_A: \mathbb{F}^n \rightarrow \mathbb{F}^m$  given as  $\varphi_A(x_1, \dots, x_n) = \sum_{j=1}^n x_j \mathbf{a}_j$ .

Explore and explain as many correspondences and connections as you can between the following features/conditions/parameters (also in relation to  $n$  and  $m$ ):

- $\ker(\varphi_A)$  and its dimension.
- $\text{image}(\varphi_A)$  and its dimension.
- $\text{span}(\mathbf{a}_1, \dots, \mathbf{a}_n)$  and its dimension.
- $\text{span}(\mathbf{a}_1, \dots, \mathbf{a}_n, \mathbf{b})$  and its dimension.
- $S(E)$  and its dimension.
- $S(E^*)$  and its dimension.
- injectivity of  $\varphi_A$ .
- surjectivity of  $\varphi_A$ .
- $\mathbf{b} \in \text{image}(\varphi_A)$ .
- solvability of  $E[A, \mathbf{b}]$ .
- unique solvability of  $E[A, \mathbf{b}]$ .
- solvability of  $E[A, \mathbf{b}']$  for all  $\mathbf{b}' \in \mathbb{F}^m$ .
- unique solvability of  $E[A, \mathbf{b}']$  for all  $\mathbf{b}' \in \mathbb{F}^m$ .

It may help to test these in concrete examples. You may take some of those below.

(i)  $\mathbb{F} = \mathbb{R}$  and

$$A = \begin{pmatrix} 0 & -1 & 1 \\ -1 & 0 & 1 \\ 1 & 1 & 1 \end{pmatrix}, \quad \mathbf{b}_1 = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}, \mathbf{b}_2 = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}, \mathbf{b}_3 = \begin{pmatrix} 1 \\ 2 \\ 6 \end{pmatrix},$$

(ii)  $\mathbb{F} = \mathbb{F}^2$  and

$$A = \begin{pmatrix} 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}, \quad \mathbf{b}_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}, \mathbf{b}_2 = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 0 \\ 0 \end{pmatrix},$$

(iii)  $\mathbb{F} = \mathbb{C}$  and

$$A = \begin{pmatrix} 1 & i & -1 & -i \\ 1 & 2 & 4 & 8 \\ 1 & \sqrt{2} & 2 & 2\sqrt{2} \\ 1 & 1+i & 2i & 2i-2 \end{pmatrix}, \quad \mathbf{b}_1 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \mathbf{b}_2 = \begin{pmatrix} 25i+3 \\ \sqrt{2}-i \\ \pi \\ \sqrt{11}-1.33i \end{pmatrix}.$$

**(E10.6) [Hamming code, error correction, lie detection]**<sup>4</sup>

Consider the system of linear equations over  $\mathbb{F}_2$  associated to the following matrix  $A \in \mathbb{F}_2^{(3,7)}$  with induced linear map  $\varphi: \mathbb{F}_2^7 \rightarrow \mathbb{F}_2^3$ :

$$A = \begin{pmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{pmatrix} \quad \text{and} \quad \varphi(x_1, \dots, x_7) := A \begin{pmatrix} x_1 \\ \vdots \\ x_7 \end{pmatrix}.$$

- (i) Solve the system of homogeneous equations induced by  $A$  in order to determine  $\ker(\varphi)$ . What are the dimensions and cardinalities of  $\ker(\varphi)$  and  $\text{image}(\varphi)$ ?
- (ii) Consider  $C = \ker(\varphi) \subseteq \mathbb{F}_2^7$  as the set of admissible codes. Explain how the ability to identify and correct errors involving up to one bit in the transmission of  $\mathbf{c} \in C$  precisely corresponds to the requirement that  $\varphi$  is injective in restriction to the subset (*not* a subspace!)

$$\mathbf{0}^\sim := \{\mathbf{0}\} \cup \{\mathbf{e}_1, \dots, \mathbf{e}_7\},$$

the set of all vectors at Hamming distance up to 1 from  $\mathbf{0}$ .

Check that  $\varphi$  satisfies this condition by checking that  $\varphi(\mathbf{e}_i) = \langle i \rangle_2$ .<sup>5</sup>

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<sup>4</sup>Compare the second tutorial sheet, T2.2 and T2.3. The system of linear equations given by the matrix  $A$  below differs from the one in T2.2 just by a rearrangement of the rows which is more convenient here.

<sup>5</sup> $\langle n \rangle_2$  stands for the binary representation of the number  $n$ .

(iii) Describe a simple (algorithmic) procedure based on  $\varphi$  which on inputs from  $\tilde{C} := C + \mathbf{0}^\sim = C + \{\mathbf{0}, \mathbf{e}_1, \dots, \mathbf{e}_7\}$  (codes disturbed in up to one bit) reconstructs the nearest member from  $C$  (the original, unperturbed code).

(iv) (\*) As an extra, suggest how to extend the coding scheme explored above

(a) to allow for larger  $C$  (more admissible codes). E.g., can you determine the least  $n$  for which there is a subspace  $C' \subseteq \mathbb{F}_2^n$  with at least 32 elements and allowing for the correction of one error?

(b) to allow for more than one error. E.g., suggest a similar set-up that can deal with up to two errors. In particular, can you determine the least  $n$  for which there is such a subspace  $C' \subseteq \mathbb{F}_2^n$  with at least 16 elements?

Hint: instead of  $\mathbf{0}^\sim$  consider

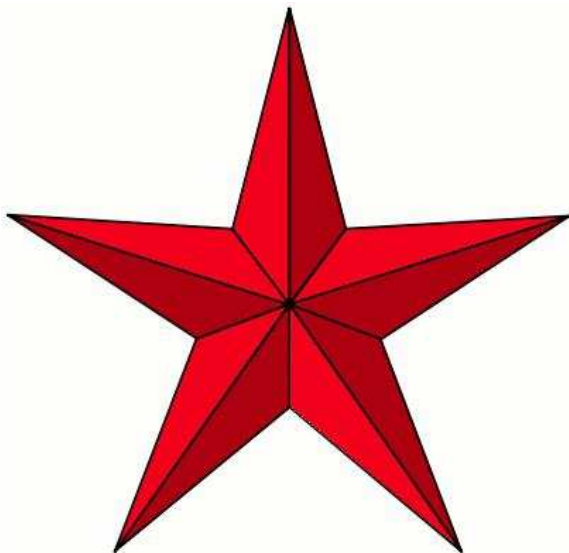
$$\mathbf{0}^\approx = \{\mathbf{0}\} \cup \{\mathbf{e}_i : 1 \leq i \leq n\} \cup \{\mathbf{e}_i + \mathbf{e}_j : 1 \leq i < j \leq n\}$$

and note that  $\varphi$  is injective on this subset iff no sum of up to four vectors  $\varphi(\mathbf{e}_i)$  for distinct  $i$  evaluates to  $\mathbf{0}$ .

*An alternative interpretation.* Consider any injection  $\psi: \{0, \dots, N-1\} \rightarrow C \subseteq \mathbb{F}_2^n$ . Let  $\psi(m) = (\psi_1(m), \dots, \psi_n(m))$  and interpret each component map  $\psi_i$  as a yes/no question about numbers  $m \in \{0, \dots, N-1\}$  according to

Does  $m$  belong to the subset  $\{q < N : \psi_i(q) = 0\}$ ?

For suitable  $C$  as above, one can extract  $m$  from the sequence of answers to these  $n$  questions about  $m$ , even if the respondent is allowed to lie once, and the lie can therefore be identified. You may want to suggest, for  $n = 7$  and  $N = 16$ , a concrete set of 7 questions based on the above  $C$ , some linear map  $\xi: \mathbb{F}_2^4 \rightarrow \mathbb{F}_2^7$ , and  $\psi(m) := \xi(\langle m \rangle_2)$ .



(\*) Consider the star on the left as a subset  $S$  of  $\mathbb{R}^2$  (with the center of the star as the origin  $\mathbf{0}$ ). For which linear maps  $\varphi: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is the star mapped onto itself ( $\varphi(S) = S$ )? If you want, you can also consider stars with an arbitrary number of spikes, and explore the structure of its symmetry group.

**The Linear Algebra I team wishes you a Merry Christmas and a Happy New Year 2007!**