

# How Unique are Ground Models?

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Lyon, 20. September 2018

# Models $\mathcal{E}$ over Ground Models $\mathcal{S}$

Often in semantics one builds a new model  $\mathcal{E}$  over a *ground model*  $\mathcal{S}$  as e.g. in forcing, classical realizability, topos theory...

and there is a so-called *constant objects* functor

$$F : \mathcal{S} \rightarrow \mathcal{E}$$

describing how the ground model  $\mathcal{S}$  sits within the new model  $\mathcal{E}$ .

Typically this  $F$  faithfully represents the construction of  $\mathcal{E}$  from  $\mathcal{S}$ .

Iteration of constructions as composition of CO functors.

*To which extent is  $F$  determined by  $\mathcal{E}$  when  $\mathcal{S} = \mathbf{Set}$ ?*

# Boolean and Heyting Valued Sets

Let  $A$  be a complete Heyting (or boolean) algebra in a base topos  $\mathcal{S}$  then the topos  $Sh_{\mathcal{S}}(A)$  of sheaves over  $A$  contains the base  $\mathcal{S}$  via  $F : \mathcal{S} \rightarrow \mathcal{E}$  sending  $I$  to the “constant sheaf” with value  $I$ . Thinking of “ $\mathcal{E}$  as  $A$ -valued sets” we have  $F(I) = (I, eq_I)$  where  $eq_I(i, j) = \bigvee \{1_A \mid i = j\}$ .

The CO functor  $F$  preserves finite limits, has a right adjoint  $U$  and every  $X \in \mathcal{E}$  appears as subquotient of some  $FI$ .

Such adjunctions  $F \dashv U : \mathcal{E} \rightarrow \mathbf{Set}$  are called “localic geometric morphisms” since the latter condition says that subobjects of  $1_{\mathcal{E}}$  generate. Under these assumptions  $\mathcal{E}$  is equivalent to  $Sh_{\mathcal{S}}(U\Omega_{\mathcal{E}})$

Since maps  $I \rightarrow U\Omega_{\mathcal{E}}$  correspond to maps  $FI \rightarrow \Omega_{\mathcal{E}}$ , i.e. subobjects of  $FI$ , the *externalization* of  $U\Omega_{\mathcal{E}}$  is given by  $F^*\text{Sub}_{\mathcal{E}}$  (where  $\text{Sub}_{\mathcal{E}}$  is the subobject fibration of  $\mathcal{E}$ ).

# The Moens-Jibladze Correspondence (1)

If  $F : \mathcal{S} \rightarrow \mathcal{E}$  is a finite limit preserving functor between toposes we may consider the (Grothendieck) fibration  $P_F$  as in

$$\begin{array}{ccc} \mathcal{E} \downarrow F & \longrightarrow & \mathcal{E} \downarrow \mathcal{E} \\ P_F \downarrow & \lrcorner & \downarrow P_{\mathcal{E}} \\ \mathcal{S} & \xrightarrow{F} & \mathcal{E} \end{array}$$

where  $P_{\mathcal{E}}$  (and thus also  $P_F$ ) is the codomain functor. All fibers of  $P_F$  are toposes and all reindexing functors are logical (i.e. preserve finite limits, exponentials and subobject classifiers) and  $P_F$  has internal sums (i.e.  $P_F$  is a cofibration where cocartesian arrows are stable under pullbacks along cartesian arrows in  $\mathcal{E}$ ).

## The Moens-Jibladze Correspondence (2)

Such fibrations  $P : \mathcal{X} \rightarrow \mathcal{S}$  are called *fibered toposes with internal sums*.

M. Jibladze has shown that internal sums are necessarily *stable and disjoint* from which it follows by Moens's Theorem that  $P : \mathcal{X} \rightarrow \mathcal{S}$  is equivalent to  $P_F$  where  $F : \mathcal{S} \rightarrow \mathcal{E} = P(1)$  sends  $u : J \rightarrow I$  to the unique vertical arrow  $Fu$  rendering the diagram

$$\begin{array}{ccc} 1_J & \xrightarrow[\text{cocart.}]{\varphi_J} & FJ \\ 1_u \downarrow & & \downarrow Fu \\ 1_I & \xrightarrow[\varphi_I]{\text{cocart.}} & FI \end{array}$$

commutative. Up to equivalence this  $F$  is determined by  $P$ , informally speaking it sends  $I \in \mathcal{S}$  to  $\coprod_I 1_I$ .

# Properties of $P_F$ in terms of properties of $F$

Further fibrational properties of  $P_F$  can be reformulated as elementary properties of  $F$  as follows

- 1  $P_F$  is locally small iff  $F$  has a right adjoint  $U$
- 2  $P_F$  has a small generating family iff there is a *bound*  $B \in \mathcal{E}$  such that every  $X \in \mathcal{E}$  appears as subquotient of some  $B \times FI$ .

In particular,  $P_F$  is a localic topos fibered over  $\mathcal{S}$  iff  $P_F$  is locally small and  $F \dashv U$  is bounded by  $1_{\mathcal{E}}$ .

# Tripases as Generalized Localic Toposes (1)

A *tripos* over a base topos  $\mathcal{S}$  is a functor  $F$  from  $\mathcal{S}$  to a topos  $\mathcal{E}$  such that

- 1 (Tr1)  $F$  preserves finite limits
- 2 (Tr2) every  $A \in \mathcal{E}$  appears as subquotient of  $FI$  for some  $I \in \mathcal{S}$
- 3 (Tr3) there is a subobject  $\tau : T \rightarrow \Sigma$  such that every mono  $m : P \rightarrow FI$  fits into a pullback diagram

$$\begin{array}{ccc} P & \longrightarrow & T \\ m \downarrow & \lrcorner & \downarrow \tau \\ FI & \xrightarrow{Fp} & F\Sigma \end{array}$$

for some (typically not unique)  $p : I \rightarrow \Sigma$ .

A *weak tripos* over a base topos  $\mathcal{S}$  is a functor  $F$  from  $\mathcal{S}$  to a topos  $\mathcal{E}$  validating just (Tr1) and (Tr2).

## Tripases as Generalized Localic Toposes (2)

With every (strong) tripos  $F : \mathcal{S} \rightarrow \mathcal{E}$  one can associate the fibered poset  $\mathcal{P}_F = F^* \text{Sub}_{\mathcal{E}}$  validating the conditions

- 1  $\mathcal{P}_F$  is a fibration of pre-Heyting-algebras
- 2 for every  $u$  in the base the reindexing map  $u^* = \mathcal{P}_F(u)$  we have  $\exists_u \dashv u^* \dashv \forall_u$  (as adjoints of maps of preorders) validating the (Beck-)Chevalley condition<sup>1</sup>
- 3 there is a generic  $\tau \in \mathcal{P}_F(\Sigma)$  such that every  $\varphi \in \mathcal{P}_F(I)$  is isomorphic to  $f^* \tau$  for some  $f : I \rightarrow \Sigma$

<sup>1</sup>we have  $v^* \exists_u \dashv \exists_p q^*$  for every pullback

$$\begin{array}{ccc} L & \xrightarrow{q} & J \\ p \downarrow & & \downarrow u \\ K & \xrightarrow{v} & I \end{array}$$

in  $\mathcal{S}$



# Triposes as Generalized Localic Toposes (3)

If  $F$  is just a weak tripos then the third condition for triposes has to be weakened as follows:

for every  $I \in \mathcal{S}$  there is a  $P(I)$  in  $\mathcal{S}$  and  $\in_I$  in  $\mathcal{P}_F(I \times P(I))$  such that for every  $\rho$  in  $\mathcal{P}_F(I \times J)$

$$(\text{Comp}) \quad \forall j \in J. \exists p \in P(I). \forall i \in I. \rho(i, j) \leftrightarrow i \in_I p$$

holds in the logic of  $\mathcal{P}_F$

This looks like the usual *comprehension principle* for HOL.  
Its Skolemized (and thus stronger) version is equivalent to the existence of a generic subterminal  $\tau : T \rightarrow F\Sigma$  (where  $\Sigma$  is  $P(1)$ ).

# Tripases as Generalized Localic Toposes (4)

For (weak) tripases  $F : \mathcal{S} \rightarrow \mathcal{E}$  the CO functor  $\mathcal{S} \rightarrow \mathcal{S}[\mathcal{P}_F]$  is equivalent to  $F$  and a (weak) tripos  $\mathcal{P}$  is equivalent to  $\mathcal{P}_F$  where  $F$  is the CO functor  $\mathcal{S} \rightarrow \mathcal{S}[\mathcal{P}]$  as shown in Pitts's Thesis.

Here  $\mathcal{S}[\mathcal{P}]$  is obtained from  $\mathcal{P}$  by “adding quotients” defining morphisms as functional relations. The CO functor  $\mathcal{S} \rightarrow \mathcal{S}[\mathcal{P}]$  sends  $I$  to  $(I, eq_I)$  where  $eq_I = \exists_{\delta_I} \top_I$ .

# Uniqueness of Constant Objects Functors?

If  $F_1, F_2 : \mathcal{S} \rightarrow \mathcal{E}$  are (weak) triposes is then  $F_1 \simeq F_2$ ?

The answer is in general NO if  $\mathcal{S}$  is not equal to **Set** since for sober (e.g. Hausdorff spaces)  $X$  and  $Y$  there are as many localic geometric morphism  $\text{Sh}(Y) \rightarrow \text{Sh}(X)$  as there are continuous maps from  $Y$  to  $X$ .

**Conjecture** CO functors from **Set** to  $\mathcal{E}$  are in general not equivalent.

This holds for weak triposes since for natural numbers  $n > 0$

$$F_n : \mathbf{Set} \rightarrow \mathbf{Set} : I \mapsto I^n$$

is a weak tripos and  $F_n$  and  $F_m$  are equivalent iff  $n = m$ .

Alas, the question is open for strong triposes!

## Question even open for localic and realizability toposes!

Already in [HJP80] where triposes were introduced it was asked whether localic toposes  $\text{Sh}(A)$  over **Set** may be induced by triposes whose constant objects functor is not equivalent to  $\Delta : \mathbf{Set} \rightarrow \text{Sh}(A)$ .

Maybe we get such examples via classical realizability? Krivine's criterion (absence of "parallel or") for a realizability algebra only guarantees that the associated tripos is not localic but not that the induced topos is not localic...e.g. possibly **Set**.

Also realizability toposes  $\text{RT}(\mathcal{A})$  over **Set** may be induced triposes whose constant objects functor is not equivalent to  $\nabla : \mathbf{Set} \rightarrow \text{RT}(\mathcal{A})$ .

# Non-Localic Grothendieck Toposes from Tripases over **Set**

If  $\mathcal{E}$  is the topos of *reflexive graphs*  $\mathbf{Set}^{\Delta_2^{\text{op}}}$  or the topos  $\mathbf{Set}^{\Delta^{\text{op}}}$  of *simplicial sets* then  $\nabla : \mathbf{Set} \rightarrow \mathcal{E}$  (right adjoint to  $\Gamma = \mathcal{E}(1, -)$ ) is a weak (but not) a strong tripos.

Every reflexive graph may be covered by a subobject of some  $\nabla(S)$ !

# Summary

Ground models are typically not unique!

**Set** is induced by infinitely many non-equivalent weak triposes over **Set**.

Question open for triposes over **Set** even for localic and realizability toposes though there are canonical candidates  $\Delta$  and  $\nabla$ , respectively. But are these the only possibilities?

Maybe classical realizability gives rise to **Set** via a non-localic tripos?