### **Bisimulation and Games**

- for Modal and Guarded Logics

- for Graphs and Hypergraphs

Martin Otto Dpt of Mathematics TU Darmstadt

Champéry, 2013

#### issues - in logic and combinatorics

- what is modal/graph bisimulation good for?
- how does it generalise from graphs to hypergraphs?
- what is guarded/hypergraph bisimulation good for?
- which features and applications generalise?
- $\longrightarrow$  logic vs combinatorial challenges

#### organisation in four parts

- (I) fairly classical introduction: bisimulation and back&forth games bisimulation as modal Ehrenfeucht–Fraïssé
- (II) fairly classical applications: bisimulation and the finite model theory of modal logics
- (III) combinatorics of finite coverings: bisimilar coverings for graphs and hypergraphs
- (IV) more recent applications: bisimulation and the finite model theory of guarded logics

#### I: bisimulation - the quintessential back&forth

#### on graph-like structures

Kripke structures (possible worlds/accessibility), transition systems (states/transitions), game graphs (positions/moves)

#### capture behavioural equivalence

in the sense of indistinguishability of worlds, states, positions, ... w.r.t. alternating sequences of accessibility, transitions, moves, ...

#### core idea: dynamic b&f probing of possibilities

 $\longrightarrow$  dynamic exploration of structures that are static images of dynamic behaviour

#### bisimulation game & bisimulation relations

#### the game:

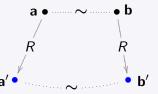
2-person game  $\begin{cases} \text{player I: challenge} \\ \text{player II: response} \end{cases}$ play over transition systems  $\begin{cases} \mathcal{A} = (\mathcal{A}, \mathbf{R}^{\mathcal{A}}, \mathbf{P}^{\mathcal{A}}) \\ \mathcal{B} = (\mathcal{B}, \mathbf{R}^{\mathcal{B}}, \mathbf{P}^{\mathcal{B}}) \end{cases}$ 

**positions:** pairs (a, b) – correspondence between pebbled vertices

#### single round: challenge/response

I moves pebble in  $\mathcal{A}$  or  $\mathcal{B}$  along R-edge

II must do likewise in opposite structure



## II loses in position (a, b) unless $a \sim^0 b$ (same colours) I/II lose when stuck

#### bisimulation game & bisimulation relations

#### winning regions define bisimulation equivalences:

$\mathcal{A},$ a $\sim^\ell \mathcal{B},$ b	II has a winning strategy for $\ell$ rounds from $(a, b)$
$\mathcal{A},$ a $\sim^\omega \mathcal{B},$ b	II has a winning strategy for any finite no. of rounds from $(a, b)$
$\mathcal{A},$ a $\sim^\infty \mathcal{B},$ b	<b>II</b> has a winning strategy for infinite game from ( <i>a</i> , <i>b</i> )

#### winning strategies in relational formalisation:

 $Z \subseteq A \times B \text{ or }$  $(Z_m \subseteq A \times B)_{m \in \mathbb{N}}$  $(Z_m \subseteq A \times B)_{m \leq \ell}$ 

bisimulation relations with characteristic b&f requirements

#### bisimulation game & bisimulation relations

a bisimulation relation  $Z \subseteq A \times B$ 

with characteristic b&f requirements

$$(back) \quad \text{for } (a,b) \in Z \text{ and } (b,b') \in R^{\mathcal{B}} \text{ there is} \\ a' \in A \text{ s.t. } (a,a') \in R^{\mathcal{A}} \text{ and } (a',b') \in Z$$

$$\begin{array}{ll} (\textit{forth}) & \text{for } (a,b) \in Z \text{ and } (a,a') \in R^{\mathcal{A}} \text{ there is} \\ & b' \in B \text{ s.t. } (b,b') \in R^{\mathcal{B}} \text{ and } (a',b') \in Z \end{array}$$

witnesses existence of winning strategy from (a, b)in infinite game for any  $(a, b) \in Z$ 

**b&f** systems  $(Z_m)_{m \leq \ell}$  or  $(Z_m)_{m \in \mathbb{N}}$ 

with stratified b&f conditions analogously encode winning advice for *m* rounds from  $(a, b) \in Z_m$ 

#### pebble games for FO and $\text{FO}_\infty$

I and II over relational structures  $\mathcal{A} = (\mathcal{A}, \mathbb{R}^{\mathcal{A}})$  and  $\mathcal{B} = (\mathcal{B}, \mathbb{R}^{\mathcal{B}})$ positions: local isomorphisms  $p: \mathbf{a} \mapsto \mathbf{b}, p: \mathcal{A} \upharpoonright \mathbf{a} \simeq \mathcal{B} \upharpoonright \mathbf{b}$ single round: challenge/response for extension by one new pebble pair  $(p: \mathbf{a} \mapsto \mathbf{b}) \rightsquigarrow (p': \mathbf{a}a' \mapsto \mathbf{b}b')$ winning regions:  $\mathbf{b}\& \mathbf{f}$  equivalences  $\begin{cases}
\mathcal{A}, \mathbf{a} \simeq^{\ell} \mathcal{B}, \mathbf{b} \quad \ell \text{ rounds} \\
\mathcal{A}, \mathbf{a} \simeq^{\omega} \mathcal{B}, \mathbf{b} \quad \text{finitely many rounds} \\
\mathcal{A}, \mathbf{a} \simeq^{\infty} \mathcal{B}, \mathbf{b} \quad \text{infinite game}
\end{cases}$ 

linked to levels of indistinguishability in first-order logic FO and its infinitary variant  $\text{FO}_\infty$ 

 $\simeq^{\infty}$  classically known as  $\simeq_{\mathrm{part}}$ /partial isomorphy

#### Ehrenfeucht-Fraïssé

#### Ehrenfeucht-Fraïssé/Karp thms

$$\mathcal{A}, \mathbf{a} \simeq^{\ell} \mathcal{B}, \mathbf{b} \quad \Leftrightarrow \quad \mathcal{A}, \mathbf{a} \equiv^{\ell}_{\mathsf{FO}} \mathcal{B}, \mathbf{b}^{*} \qquad \mathsf{qfr-depth} \ \ell \ \mathsf{FO-equiv}.$$

- $\mathcal{A}, \mathbf{a} \simeq^{\omega} \mathcal{B}, \mathbf{b} \quad \Leftrightarrow \quad \mathcal{A}, \mathbf{a} \equiv_{\scriptscriptstyle \mathsf{FO}} \mathcal{B}, \mathbf{b} \ ^{*} \qquad \text{full FO equiv.}$
- $\mathcal{A}, \mathbf{a} \simeq^{\infty} \mathcal{B}, \mathbf{b} \hspace{0.3cm} \Leftrightarrow \hspace{0.3cm} \mathcal{A}, \mathbf{a} \equiv^{\infty}_{\scriptscriptstyle \mathsf{FO}} \mathcal{B}, \mathbf{b} \hspace{1cm} \mathsf{FO}_{\infty} \hspace{0.3cm} \mathsf{equiv}.$

#### observations/proof ingredients:

- the sets  $Z_m := \{ (p : \mathbf{a} \mapsto \mathbf{b}) : \mathcal{A}, \mathbf{a} \equiv_{FO}^m \mathcal{B}, \mathbf{b} \}$ satisfy b&f conditions
- I can force  $\mathcal{A}, \mathbf{a} \not\equiv_{\scriptscriptstyle \mathsf{FO}}^m \mathcal{B}, \mathbf{b} \rightsquigarrow \mathcal{A}, \mathbf{a}\mathbf{a}' \not\equiv_{\scriptscriptstyle \mathsf{FO}}^{m-1} \mathcal{B}, \mathbf{b}\mathbf{b}'$
- existence of strategy for *m* rounds in game versus A, a is FO definable at qfr depth *m* (nested b&f conditions)\*

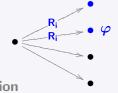
 $\ast$  for finite relational vocabulary s.t.  $\simeq^m$  has finite index

#### on graph-like structures

with binary (transition) relations  $\mathbf{R} = (R_1, \ldots) \quad \rightsquigarrow \mod i \text{ modalities } \Diamond_i / \Box_i$ and unary (state) predicates  $\mathbf{P} = (P_1, \ldots)$   $\rightsquigarrow$  basic propositions  $p_i$ 

**atomic formulae:**  $\bot, \top$  and  $p_i$ **booleans connectives:**  $\land, \lor, \neg$ modal quantification:

$$\diamondsuit_i \varphi \equiv \exists y (R_i x y \land \varphi(y))$$
  
$$\Box_i \varphi \equiv \forall y (R_i x y \rightarrow \varphi(y))$$
  
relativised FO quantification



#### observation

- local bisimulation condition ( $\sim^0$ ) matches atomic ML-equiv.
- bisimulation b&f matches modal guantification pattern

#### bisimulation — modal Ehrenfeucht-Fraïssé

#### modal Ehrenfeucht-Fraïssé/Karp thms

$\mathcal{A}, \textit{a} \sim^{\ell} \mathcal{B}, \textit{b}$	$\Leftrightarrow$	$\mathcal{A}, \textit{a} \equiv^{\ell}_{ML} \mathcal{B}, \textit{b}$ $^{*}$	ML-equiv./nesting depth $\ell$
$\mathcal{A},$ a $\sim^\omega \mathcal{B},$ b	$\Leftrightarrow$	$\mathcal{A}, \textit{a} \equiv_{\scriptscriptstyle{ML}} \mathcal{B}, \textit{b}$ *	full ML equiv.
$\mathcal{A},$ a $\sim^\infty \mathcal{B},$ b	$\Leftrightarrow$	$\mathcal{A},$ a $\equiv^\infty_{\scriptscriptstyleML} \mathcal{B},$ b	$ML_\infty$ equiv.

classically/modally: when does  $\simeq^{\omega} (= \bigcap_{\ell} \simeq^{\ell})$  coincide with  $\simeq^{\infty} / \simeq_{part}$ ? when does  $\sim^{\omega} (= \bigcap_{\ell} \sim^{\ell})$  coincide with  $\sim^{\infty} / \sim$ ?

#### (modal) Hennessy-Milner thm

 $\text{for suitably saturated } \mathcal{A} \text{ and } \mathcal{B} \text{: } \mathcal{A}, \textbf{\textit{a}} \sim^{\omega} \mathcal{B}, \textbf{\textit{b}} \quad \Rightarrow \quad \mathcal{A}, \textbf{\textit{a}} \sim^{\infty} \mathcal{B}, \textbf{\textit{b}}$ 

- finitely branching
- modal- or ω-saturated
- recursively saturated pairs

• two-way and global bisimulation pprox

add corresponding move options & extend challenge/response protocol

• bisimulation in game graphs for other logics

states: admissible assignments transitions: quantification patterns all Ehrenfeucht–Fraïssé games are bisimulation games

• hypergraph/guarded bisimulation  $\rightarrow$  parts III/IV

#### II: model theory of modal logics

#### in this section:

- tree model property
- finite model property
- descriptive complexity (fmt)
- expressive completeness (classical and fmt)

#### modal model theory = bisimulation invariant model theory

#### tree unfoldings

tree unfolding  $\mathcal{A}_a = (A, \mathbf{R}^{\mathcal{A}}, \mathbf{P}^{\mathcal{A}}, a) \rightsquigarrow \mathcal{A}_a^* = (A_a^*, \mathbf{R}_a^*, \mathbf{P}_a^*, a)$ 

$$\begin{array}{l} A_a^*: \text{ the set of all labelled directed paths } w \text{ from } a \text{ in } \mathcal{A} \\ & \text{with projection } \pi \colon w \longmapsto \pi(w) \in \mathcal{A}, \text{ the endpoint of } w \\ R_a^* = \left\{ (w, wRa') \colon (\pi(w), a') \in R^{\mathcal{A}} \right\} \\ P_a^* = \pi^{-1}(P^{\mathcal{A}}) \end{array}$$

#### $\pi\colon \mathcal{A}^*_{\mathsf{a}} \longrightarrow \mathcal{A}$ is an example of a bisimilar covering:

- $\pi$  is a homomorphism: the forth-property for  $graph(\pi)$
- $\pi$  has lifting property: the back-property for  $graph(\pi)$

#### a homomorphism inducing a bisimulation graph( $\pi$ ) = {( $w, \pi(w)$ ): $w \in A_a^*$ }

#### tree unfoldings and tree model property

bisimilar unfoldings into tree structures preservation under bisimulation  $\}$   $\Rightarrow$  tree model property

#### tree model property

for all  $\sim$ -invariant logics ML, ..., L<sub>µ</sub>, ... ML<sub>∞</sub>: every satisfiable formula has a tree model

for  $\approx$ -invariant logics analogously: forest model property

of great importance: can employ good model theoretic and algorithmic properties of trees, MSO on trees, tree automata, .... for robust decidability and complexity results for modal logics

#### finite (tree) model property

for basic modal logic ML (and some close relatives) even get finite tree models, hence the

#### finite model property:

every satisfiable formula of ML has a finite (tree) model

ad-hoc method: for  $\varphi \in ML$  of nesting depth  $\ell$ , truncate tree model at depth  $\ell$  (preserving  $\sim^{\ell}$ ) and prune  $\sim^{\ell}$ -equivalent siblings (finite index!)

more generic method: passage to  $\sim^{\ell}$ -quotient of any model yields a finite model (usually not a tree model) this generalises to extensions preserved under levels of  $\approx$ 

#### capturing bisimulation-invariant Ptime

 $\mathbf{Ptime}/\sim \begin{cases} \text{the class of Ptime and } \sim \text{-closed} \\ \text{properties of finite structures} \end{cases}$ 

#### a semantic class

corr. to the undecidable class of Ptime Turing machines  $\mathbb{M}$  that accept (encodings of) finite structures  $\mathcal{A}$ , a and satisfy  $\mathcal{A}$ ,  $a \sim \mathcal{B}$ ,  $b \Rightarrow (\mathbb{M}[\mathcal{A}, a] = 1 \Leftrightarrow \mathbb{M}[\mathcal{B}, b] = 1)$ 

capturing issue: a logic for Ptime/~ ? does this semantic class admit some syntactic representation?

yes, by straightforward reduction to Immerman–Vardi (O\_ 96)

- use pre-processing  $\mathcal{A}\longmapsto \mathcal{A}/\!\!\sim$  as a filter to enforce  $\sim\!\!-invariance$
- quotients  $\mathcal{A}/\sim$  carry canonical Ptime ordering of  $\sim$ -types ...
  - $\rightarrow\,$  reduction to capturing Ptime over ordered finite structures

#### expressive completeness

... relative to first-order logic, a classical theme of FO model theory

$$\mathbf{FO}/\sim \begin{cases} \text{the class of } \sim \text{-closed FO-properties of} \\ (\text{just finite, or all}) \text{ relational structures} \end{cases}$$

a semantic class

corresponding to the undecidable class of those  $\varphi(x) \in \mathsf{FO}$ that satisfy  $\mathcal{A}, a \sim \mathcal{B}, b \Rightarrow (\mathcal{A}, a \models \varphi \Leftrightarrow \mathcal{B}, b \models \varphi)$ 

classical 'preservation thms', too, respond to the quest for syntactic representation — mostly without asking the question

in this case, the answer to the unasked question is:

yes,  $FO/\sim \equiv ML$  classically, van Benthem yes,  $FO/\sim \equiv ML$  in fmt, Rosen

#### expressive completeness: $FO/\sim \equiv ML$

it suffices to show:

$$arphi(x) \in \mathsf{FO}_q/\sim \Rightarrow arphi \in \mathsf{FO}/\sim^{\ell}$$
  
for some  $\ell = \ell(q) \ (q = \operatorname{qr}(arphi))$ 

 $\sim$ -invariance implies  $\sim^{\ell}$ -invariance a compactness property!

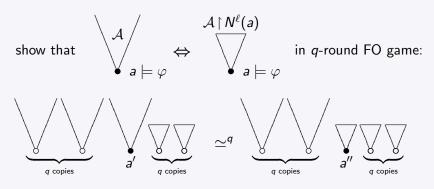
then  $\varphi \equiv \varphi' \in ML_{\ell}$ , by Ehrenfeucht–Fraïssé: finite index of  $\sim^{\ell}$ ,  $ML_{\ell}$ -definability of  $\sim^{\ell}$ -classes

#### NB: two, a priori independent, readings: classical & fmt

#### expressive completeness: $FO/\sim \equiv ML$

a simple, ad-hoc argument (with extra benefits) using the locality of FO/ $\sim$  & Ehrenfeucht–Fraïssé

$$arphi(\mathbf{x}) \in \mathsf{FO}_q/\sim \Rightarrow arphi \in \mathsf{FO}/\sim^{\ell}$$
  
for  $\ell = 2^q - 1 \ (q = \operatorname{qr}(\varphi))$ 



Champéry, Martin Otto

#### expressive completeness

what is generic about the ad-hoc argument for FO/ $\sim$ ?

- necessary & sufficient compactness property
   ~-invariance ⇔ (~<sup>ℓ</sup>-invariance for some ℓ)
- upgrading  $\sim^{\ell(q)} \rightsquigarrow \equiv_{_{\rm FO}}^q$
- FO-locality (Gaifman-locality)

what is not? (e.g., compared to  $FO/\approx$ )

• locality around single distinguished vertex

#### want more uniform construction: $\approx$ coverings

#### expressive completeness: generic classical approach

 $\sim$ -invariance  $\Rightarrow \sim^{\ell}$ -invariance for some  $\ell$ 

classical compactness argument allows upgrading along  $\equiv_{\rm FO}$ -axis through Hennessy–Milner property for  $\omega$ -saturated structures

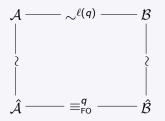


elegant and smooth, but no information regarding  $\ell(q)$ 

#### expressive completeness: a constructive approach

 $\sim$ -invariance  $\Rightarrow \sim^{\ell}$ -invariance for some  $\ell$ 

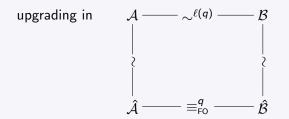
upgrading along  $\sim$ -axis — from  $\sim^{\ell(q)}$  to  $\simeq^q / \equiv^q_{FO}$  through bisimulation preserving model transformation (coverings)



more constructive, potentially suitable for fmt, yielding information regarding  $\ell(q)$ 

Champéry, Martin Otto

#### expressive completeness: a constructive approach



requires (finite) model transformations  $\mathcal{A}/\mathcal{B} \longmapsto \hat{\mathcal{A}}/\hat{\mathcal{B}}$ 

- compatible with  $\sim \approx$  (like  $\approx$  coverings)
- suitable to eliminate all obstacles to  $\simeq^q / \equiv^q_{\rm FO}$ that are *not controlled* by any level of  $\sim^\ell$

esp., short cycles & small multiplicities

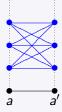
#### products and coverings

- products with reflexive cliques: boost multiplicities
- products with generic graphs of large girth: avoid short cycles

**products:** direct synchronous products of A = (A, E)

• with reflexive *n*-clique  $K_n$ :

$$\hat{\mathcal{A}} = (\hat{A}, \hat{E}) = \mathcal{A} \otimes \mathcal{K}_n$$
$$\hat{\mathcal{A}} = \mathcal{A} \times [n]$$
$$\hat{\mathcal{E}} = \{((a, i), (a', i')) \colon (a, a') \in E\}$$
projection homomorphism  $\pi \colon (a, i) \mapsto a$ 



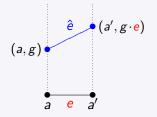
are bisimilar coverings (in the sense of  $\approx$ )

#### products and coverings

- products with reflexive cliques: boost multiplicities
- products with generic graphs of large girth: avoid short cycles

**products:** direct synchronous products of A = (A, E)

• with Cayley graph  $G = (G, (R_e)_{e \in E})$   $\hat{\mathcal{A}} = (\hat{A}, \hat{E}) = \mathcal{A} \otimes G$   $\hat{A} = A \times G$   $\hat{E} = \{((a, g), (a', g \cdot e)) : e = (a, a') \in E\}$ projection homomorphism  $\pi : (a, g) \mapsto a$ 



are bisimilar coverings (in the sense of  $\approx$ )

#### III: the combinatorics of finite coverings

#### in this section:

- graph coverings (review)
- local acyclicity in finite direct products with Cayley graphs of large girth
- hypergraph coverings (new)
- degrees of acyclicity in hypergraphs
- acyclicity in finite reduced products with Cayley graphs of groupoids

#### graph coverings

w.l.o.g. consider directed loop-free graphs  $\mathcal{A} = (A, E)$ 

#### definition: $\approx$ -bisimilar coverings

$$\pi \colon \hat{\mathcal{A}} \longrightarrow \mathcal{A}$$
 a covering of  $\mathcal{A} = (\mathcal{A}, \mathcal{E})$  by  $\hat{\mathcal{A}} = (\hat{\mathcal{A}}, \hat{\mathcal{E}})$ :

(forth)  $\pi: \hat{\mathcal{A}} \longrightarrow \mathcal{A}$  homomorphism (back)  $\pi$  lifts edges/paths from  $a \in \mathcal{A}$  to any  $\hat{a} \in \pi^{-1}(a)$ 

#### examples of simple/unbranched coverings:

- two-way tree (forest) unfoldings
- direct products of A with suitable graphs that are rich enough to simulate all A-transitions
- especially: products with Cayley graphs generated by edge set *E* that serve as universal E-simulators

#### avoiding short cycles in finite coverings

NB: finite coverings of cyclic  $\mathcal{A}$  must have cycles

#### N-acyclic coverings:

no (undirected) cycles of length up to N in covering

#### Cayley groups/graphs:

- group  ${\it G}=({\it G},\,\cdot\,,1)$  with generators  ${\it e}\in{\it E}$
- associated Cayley graph has *e*-coloured edges from g to  $g \cdot e$

highly symmetric, regular & homogeneous objects

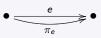
Cayley groups of large girth (girth > N): no short generator cycles:  $e_1 \cdot e_2 \cdots e_n \neq 1$  for  $n \leq N$ products  $\mathcal{A} \otimes G$  with such G are N-acyclic coverings

#### Cayley graphs of large girth

no short generator cycles:  $e_1 \cdot e_2 \cdot \cdots \cdot e_n \neq 1$  for small n

construction (after Biggs)

find G as subgroup  $G = \langle \pi_e : e \in E \rangle \subseteq \text{Sym}(V)$ generated by permutations  $\pi_e$  of deterministically E-coloured graph  $(V, (R_e))$ 



#### lemma

let  $H = (V, (R_e))$  be deterministically *E*-coloured such that every colour sequence  $w = e_1 \cdots e_n \in E^{\leq N}$  labels some path

$$v_0 \xrightarrow{e_1} v_1 \cdots v_{n-1} \xrightarrow{e_n} v_n \neq v_0$$
 in  $H$ ;

then  $\pi_{e_1} \ \cdots \ \pi_{e_n} \neq 1$  in  $G \subseteq \operatorname{Sym}(V)$  and G has girth > N

#### thm

(O\_04)

every finite graph admits, for every  $N \in \mathbb{N}$ , simple/unbranched *N*-acyclic finite coverings by products with Cayley graphs of large girth

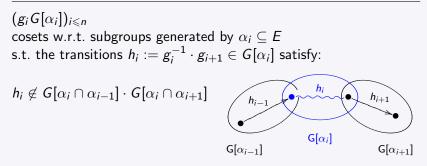
- uniform construction, which preserves all symmetries
- adaptable to many special frame classes → Dawar–O\_ 05/09

**construction idea for Cayley graphs** extends to stronger notions of acyclicity in groups and in groupoids that are useful towards hypergraph constructions

#### more than just large girth

much stronger notion of acyclicity in Cayley groups/graphs: avoid not just short generator cycles but short coset cycles

**coset cycles** (in Cayley group *G* with generator set *E*)



# G is N-acyclic if it admits no coset cycles of length up to N and such objects do exist

#### **N-acyclic Cayley groups**

#### thm

for every finite set E and  $N \in \mathbb{N}$  there are Cayley groups with generators  $e \in E$  that admit no coset cycles of length up to N

inductively interleave

- amalgamation of chains of Cayley graphs of small subgroups
- group action on deterministically coloured graphs
- to avoid coset cycles in increasing no.s of generators

#### from graphs to hypergraphs

hypergraphs: structures A = (A, S) with vertex set A, and set of hyperedges  $S \subseteq \mathcal{P}(A)$ 

idea: clusters and their link structure

example: hypergraph of guarded subsets of a relational structure  $\mathcal{A} = (\mathcal{A}, \mathbf{R}^{\mathcal{A}})$ 

 $\mathsf{H}(\mathcal{A}) = (\mathsf{A},\mathsf{S}[\mathcal{A}])$ 

with hyperedges generated by subsets  $[\mathbf{a}] \subseteq A$  for  $\mathbf{a} \in R^{\mathcal{A}}$ ,  $R \in \mathbf{R}$  closed under subsets & singleton sets

relational structure = hypergraph link structure (topology) + local relational content

 → hypergraph bisimulations/coverings take care of the combinatorial part of guarded bisimulations/coverings

#### hypergraphs

#### hypergraph terminology

- H = (A, S),  $S \subseteq \mathcal{P}(A)$  the set of hyperedges
- G(H) = (A, E), associated Gaifman graph hyperedges → cliques
- $G(\mathcal{A}) = G(H(\mathcal{A}))$ , the Gaifman graph of  $\mathcal{A}$

#### issues:

- degrees of acyclicity and their algorithmic and model-theoretic relevance (→ guarded logics, part IV)
- hypergraph coverings: reproduce link structure locally; smooth out global link structure (e.g., regarding cycles)

#### hypergraph coverings

#### definition: bisimilar coverings

$$\pi: \hat{\mathcal{A}} \longrightarrow \mathcal{A} \text{ a covering of } \mathcal{A} = (\mathcal{A}, \mathcal{S}) \text{ by } \hat{\mathcal{A}} = (\hat{\mathcal{A}}, \hat{\mathcal{S}}):$$

$$(forth) \quad \pi: \hat{\mathcal{A}} \longrightarrow \mathcal{A} \text{ homomorphism}$$

$$i.e., \ \pi \upharpoonright \hat{s}: \ \hat{s} \rightarrow \pi(\hat{s}) = s \in S \text{ bijective for all } \hat{s} \in \hat{\mathcal{S}}$$

$$(back) \quad \pi \text{ lifts overlaps } s \cap s' \neq \emptyset \text{ from } \mathcal{A} \text{ to any } \hat{s} \in \hat{\mathcal{S}} \text{ above } s$$

examples of natural hypergraph coverings:

 $\pi$ 

- tree (forest) unfoldings
- reduced products with suitable groups/groupoids (  $\rightarrow\,$  below)

## degrees of hypergraph acyclicity

## hypergraph acyclicity; 3 equivalent definitions:

• tree-decomposable with hyperedges as bags

associate hyperedges of  ${\cal A}$  with nodes of tree T s.t. every  $a\in A$  is represented in connected subgraph of T

- decomposable through elementary deletion steps (Graham)
  - delete simply covered vertices
  - delete subset-hyperedges
- conformality and chordality (of associated Gaifman graph)
  - no bad cliques in Gaifman graph
  - no bad cycles in Gaifman graph

## hypergraph terminology

for hypergraph H = (A, S) and associated Gaifman graph  $G(H) = (A, E) = \bigcup_{s \in S} K[s]$  (a clique for each  $s \in S$ )

• conformality: every clique in G(H) is contained in some  $s \in S$ 



• chordality: every cycle of length > 3 in G(H) has a chord



#### N-acyclicity = N-conformality + N-chordality:

acyclicity of induced sub-configurations of size up to N

## example: the combinatorial challenge

## the facets of the 3-simplex/tetrahedron

uniform width 3 hypergraph on 4 vertices

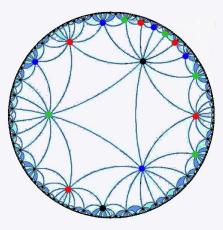


- chordal but not conformal
- finite coverings cannot be 1-locally acyclic
- admits locally finite coverings without short chordless cycles
- also admits simple finite 5-acyclic covering in which every induced sub-configuration on up to 5 vertices is acyclic

## example: the combinatorial challenge

### a locally finite covering

#### of the tetrahedron





conformal; shortest chordless cycles have length 12 here by regular triangulation of the hyperbolic plane

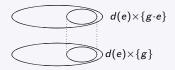
## reduced products with Cayley groups/groupoids

#### plain reduced product $\mathcal{A} \otimes G$

between hypergraph  $\mathcal{A} = (A, S)$  and group/groupoid with generators  $e \in E$  associated with subsets  $d(e) \in S \downarrow$ 

$$\mathcal{A} \otimes \mathbf{G} : \left\{ \begin{array}{l} \operatorname{quotient} (\mathcal{A} \times \mathbf{G}) / \approx \\ (a,g) \approx (a,g') \quad \text{if} \quad g^{-1} \cdot g' \in \mathbf{G}[\alpha_a] \\ & \quad \text{for } \alpha_a = \{e \in E \colon a \in d(e)\} \end{array} \right.$$

intuition: e-transitions in G glue layers of  $\mathcal{A}\times G$  through identification in d(e)



## reduced products with Cayley groups/groupoids

#### unfolded reduced product $\mathcal{A}^{\iota}\otimes G$

of incidence representation of  $\mathcal{A} = (A, S)$  and group/groupoid with generators  $e = (s, s') \in E$  associated with subsets  $d(e) = s \cap s'$  for  $s \cap s' \neq \emptyset$ 

$$\mathcal{A}^{\iota} \otimes \mathbf{G} : \begin{cases} \text{quotient } (\bigcup S \times \mathbf{G}) / \approx \\ (a, s, g) \approx (a, s', g \cdot e) \text{ if } g^{-1} \cdot g' \in \mathbf{G}[\alpha_a] \\ \alpha_a = \{e = (s, s') \in E : a \in s \cap s'\} \end{cases}$$

intuition: e-transitions in G for e = (s, s') glue copies of s and s' in appropriate layers



## new methods: Cayley graphs of groupoids

#### theorem

- plain reduced products with N-acyclic Cayley groups G preserve N-acyclicity of A
- unfolded reduced products with *N*-acyclic Cayley groupoids *G* produce *N*-acyclic coverings of *A*
- → direct construction of finite N-acyclic coverings (new)

... and N-acyclic groups/groupoids can be constructed by very similar group action & amalgamation ideas

## further (new) results

reduced product constructions with N-acyclic groupoids yield

#### generic solutions for finite closures/realisations of

- abstract specifications of local overlap patterns
- abstract specifications of complete GF-types  $\rightarrow~$  part IV
- extension properties for partial isomorphisms (in the sense of Hrushovski/Herwig/Lascar)  $\rightarrow$  part IV

these highly regular & symmetric constructions are compatible with automorphisms of the given data (preserve symmetries of the sepecification)

... and why groupoids?

## groupoids vs. groups

**groupoids:** think of 'many-sorted' groups with partial (sort-sensitive) operation

$$\mathbf{G} = (\mathbf{G}, (\mathbf{G}_{st})_{s,t\in S}, \cdot, (\mathbf{1}_s)_{s\in S}, {}^{-1})$$
  
with operation  $G_{st} \times G_{tu} \longrightarrow G_{su}$ 

examples: bijective morphisms in a category; change of co-ordinates

#### why groupoids are more suitable in hypergraph constructions

- transitions between hyperedges behave like local changes of co-ordinates

   with non-trivial compositions
- (reduced) products with groupoids can offer just the right transitions at the right place
- ... unlike the graph/group situation

## IV: finite model theory of guarded logics

#### in this section:

- guarded logics and guarded bisimulation
- generalised tree model property
- finite model properties
- descriptive complexity
- expressive completeness

Andréka-van Benthem-Németi 98

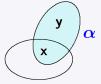
model-theoretic motivation: reflection on  $ML \subseteq FO$  from graph-like structures to general relational format

#### key idea: relativise quantification to guarded clusters

hypergraph of guarded subsets  $H(\mathcal{A}) = (\mathcal{A}, S[\mathcal{A}])$ generated by **[a]** for  $\mathbf{a} \in R^{\mathcal{A}}$ 

### guarded quantification:

$$\exists \mathbf{y} (\alpha(\mathbf{x}\mathbf{y}) \land \varphi(\mathbf{x}\mathbf{y})) \\ \forall \mathbf{y} (\alpha(\mathbf{x}\mathbf{y}) \rightarrow \varphi(\mathbf{x}\mathbf{y})) \\ \text{guard atom } \alpha: \text{ free}(\varphi) \subseteq \text{ var}(\alpha)$$



quantification relativised to guarded tuples

## GF and guarded bisimulation

# guarded bisimulation $\mathcal{A}, a \sim_g \mathcal{A}', a'$ and $\mathcal{A}, a \sim_g^{\ell} \mathcal{A}', a'$

- bisimulation of hypergraphs of guarded subsets that locally respects relations
- FO pebble game with guarded pebble configurations two equivalent views (Grädel-Hirsch-O\_ 02)

#### the guarded Ehrenfeucht-Fraïssé thm

$$\mathcal{A}, \textbf{a} \ \sim^{\ell}_{g} \ \mathcal{A}', \textbf{a}' \quad \Leftrightarrow \quad \mathcal{A}, \textbf{a} \equiv^{\ell}_{{}_{\mathsf{GF}}} \mathcal{A}', \textbf{a}' \qquad \quad (\mathsf{GF}_{\ell}\text{-equiv}./\mathsf{depth} \ \ell)$$

#### the guarded Karp thm

 $\mathcal{A}, \textbf{a} \ \sim_{g} \ \mathcal{A}', \textbf{a}' \quad \Leftrightarrow \quad \mathcal{A}, \textbf{a} \equiv^{\infty}_{\text{GF}} \mathcal{A}', \textbf{a}' \qquad \quad (\text{inf. equiv. in } \text{GF}_{\infty})$ 

## GF and guarded bisimulation/coverings

in striking analogy with modal model theory, based on invariance/preservation under guarded bisimulation:

- generalised tree model property tree/forest unfoldings (Grädel 99): acyclic hypergraph coverings
- finite model properties (and decidability) via Herwig extensions (Grädel 99)
   succinct hypergraph coverings (Bárány–Gottlob–O\_ 10)
- capturing result for ~g-invariant Ptime succinct hypergraph coverings (Bárány–Gottlob–O\_ 10)
- classical/fmt expressive completeness results compactness&saturation/upgrading in coverings (Andréka-van Benthem-Németi 98/O\_ 10)

## finite model properties

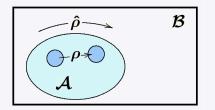
#### finite models from Herwig extensions

(Grädel 99)

from infinite  $\mathcal{A}^{\infty} \models \varphi$  obtain finite model as Herwig-extension  $\mathcal{B} \supseteq \mathcal{A}$  of sufficiently rich finite  $\mathcal{A} \subseteq \mathcal{A}^{\infty}$ 

#### Hrushovski–Herwig–Lascar EPPA:

for finite  $\mathcal{A}$  find finite extension  $\mathcal{B} \supseteq \mathcal{A}$  that extends every partial isomorphism of  $\mathcal{A}$  to an automorphism of  $\mathcal{B}$ 



w.l.o.g.  $\boldsymbol{\mathsf{R}}^{\mathcal{A}}$  generates  $\boldsymbol{\mathsf{R}}^{\mathcal{B}}$ 

$$\begin{array}{l} \text{if } \mathcal{A} \text{ represents } \mathcal{A}^{\infty}/{\sim_{g}^{\ell}} \\ \text{then } \mathcal{B} \sim_{g}^{\ell} \mathcal{A}^{\infty} \end{array}$$

## Herwig–Lascar EPPA

within classes  $\mathcal{C}$  defined in terms of finitely many forbidden homomorphisms:

if  $\mathcal{A}$  has an infinite EPPA-extension  $\mathcal{A} \subseteq \mathcal{B}^{\infty} \in \mathcal{C}$ , then there is a finite EPPA-extension  $\mathcal{A} \subseteq \mathcal{B}^{\text{fin}} \in \mathcal{C}$ 

#### corollary

# fmp for GF in restriction to any class ${\mathcal C}$ defined in terms of finitely many forbidden homomorphisms

first obtained (with feasible size bounds) in Bárány–Gottlob–O\_ 10 using succinct weakly *N*-acyclic covers  $\rightsquigarrow$  'Rosati-covers' & 'finite controllability' of UCQ/GF

## more on hypergraph constructions & EPPA

new application of reduced products w.r.t. N-acyclic groupoids:

• new combinatorial proof of Herwig–Lascar EPPA theorem based on finite, symmetric realisations of overlap specifications between isomorphic copies of A

related task: model (re-)construction from abstract specification of complete GF-types

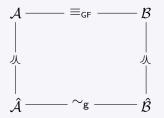
- Bárány–Gottlob–O\_ 10: good bounds, unclear symmetries
   → capturing bisimulation-invariant Ptime
- new groupoidal constructions: generic & fully symmetric, no feasible bounds (?)

## expressive completeness: $FO/\sim_g \equiv GF$

crux (as in modal case): compactness property

 $\varphi \in \mathsf{FO} \sim_{\mathsf{g}} \text{-invariant} \ \Rightarrow \ \sim_{\mathsf{g}}^{\ell} \text{-invariance for some } \ell$ 

• classical compactness argument allows upgrading along  $\equiv_{\rm FO}$ -axis, by use of  $\omega$ -saturated elementary extensions

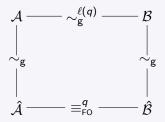


## expressive completeness: $FO/\sim_g \equiv GF$

crux (as in modal case): compactness property

 $\varphi \in \mathsf{FO} \sim_{\mathsf{g}} \text{-invariant} \ \Rightarrow \ \sim_{\mathsf{g}}^{\ell} \text{-invariance for some } \ell$ 

 constructive upgrading along ∼<sub>g</sub>-axis uses rich N-acyclic (finite) coverings



Bárány-ten Cate-Segoufin 11

idea: consider benign nature of GF (and ML) in light of *restricted negation* rather than restricted quantification

- start from existential FO (UCQ)
- allow negation just on formulae with explicitly guarded free variables

# $\mathsf{GF} \subseteq \mathsf{GNF} \subseteq \mathsf{FO}$

appropriate notion of bisimulation combines (local) homomorphisms with (guarded) b&f

### allows to lift many results from GF

largely by non-trivial reductions to **GF** over classes with forbidden homomorphisms

#### summary: bisimulation & link structure

combinatorics, discrete geometry/topology

analogies and generalisations: modal  $\rightsquigarrow$  guarded

discrete mathematics: graphs → hypergraphs databases: transition systems → relational databases logic/model theory: modal → guarded logics

e.g., tree-decompositions and tree unfoldings & finite coverings with control over cycles

#### how far do the analogies carry?

## summary: how far do bisimulation analogies carry?

- infinite tree unfoldings as fully acyclic coverings: a complete analogy, good for most classical purposes
- finite coverings meet different combinatorial challenges w.r.t. control of cycles and local-global-distinctions
- gain considerable extensions of the analogies between graphs/hypergraphs & modal/guarded logics
- especially through new hypergraph constructions via reduced products with suitable groupoids



the end

#### some pointers

H. Andréka, J. van Benthem, I. Németi: Modal languages and bounded fragments of predicate logic, Journal of Philosophical Logic, 1998.

E. Grädel: On the restraining power of guards, Journal of Symbolic Logic, 1999.

B. Herwig and D. Lascar: Extending partial isomorphisms and the profinite topology on free groups, Transactions of the AMS, 2000.

M. Otto: Modal and guarded characterisation theorems over finite transition systems, Annals of Pure and Applied Logic, 2004.

A. Dawar and M. Otto: Modal characterisation theorems over special classes of frames, Annals of Pure and Applied Logic, 2009.

M. Otto: Highly acyclic groups, hypergraph covers and the guarded fragment, Journal of the ACM, 2012.

V. Bárány, G. Gottlob, M. Otto: Querying the guarded fragment, to appear in Logical Methods in Computer Science, 2013.

M. Otto: Groupoids and hypergraphs, arXiv, 2012