# Tree Unfoldings and Their Finite Counterparts

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tree unfoldings finite counterparts finite and infinite a reduction for GNF

#### tree/forest unfoldings, based on the set of all paths,

- preserve (two-way) bisimulation type
- avoid cycles
- lend themselves to automata based analysis
- are usually infinite

#### $\omega$ -unfoldings, additionally saturated w.r.t. branching degrees,

- make bisimilar structures isomorphic
  - $\rightarrow\,$  canonical representation of  $\sim\text{-classes}$
- collapse MSO to  $L_{\mu}$  (Janin–Walukiewicz):

 $\rightarrow~$  expressive completeness for L  $_{\mu}\equiv$  MSO/ $\sim$ 

analogous unfoldings of hypergraphs/relational structures based on tree unfoldings of intersection graphs

- preserve hypergraph/guarded bisimulation type
- avoid chordless cycles and unguarded cliques:
  - $\rightarrow\,$  acyclic in the hypergraph sense (!)
  - $\rightarrow\,$  tree-decomposable with guarded bags
- reduce much of the classical model theory of guarded logics to that of modal logics (and MSO) on trees, e.g.,
  - $\rightarrow~$  automata methods for SAT
  - $\rightarrow$  expressive completeness GSO/ $\sim_{g} \equiv \mu$ GF (Grädel-Hirsch-O'02)

## (G) for graph-like structures

(O'04)

every finite width 2 relational structure A admits, for every  $N \in \mathbb{N}$ , a (guarded) bisimilar cover

$$\pi \colon \hat{\mathcal{A}} \xrightarrow{\sim_{\mathsf{g}}} \mathcal{A}$$

by some finite structure  $\hat{\mathcal{A}}$  that has no cycles of length up to N

N-acyclicity: all substructures of size up to N are acyclic

neat method: direct product with Cayley group of large girth

## (H) for hypergraphs/relational structures

(Hodkinson-O'03)

every finite relational structure  $\mathcal{A}$  admits, a (guarded) bisimilar cover by some finite conformal  $\hat{\mathcal{A}}$ 

 $\pi \colon \hat{\mathcal{A}} \xrightarrow{\sim_{\mathsf{g}}} \mathcal{A}$ 

conformal: no unguarded Gaifman cliques

easy half of hypergraph acyclicity

use: reduction from CGF to GF for FINSAT

### (H) for hypergraphs/relational structures

(O'10)

every finite relational structure  $\mathcal{A}$  admits a (guarded) bisimilar cover by some finite, conformal, N-chordal  $\hat{\mathcal{A}}$ 

 $\pi \colon \hat{\mathcal{A}} \xrightarrow{\sim_{\mathsf{g}}} \mathcal{A}$ 

**N-chordal:** no chordless cycles of lengths up to *N* chordality is the other half of hypergraph acyclicity

#### **N-acyclic:** every substructure of size up to *N* is acyclic

method: technically non-trivial (& maybe not the final word)

## (G) for graphs

can boost all degrees by factor n through product with  $K_n$  prior to cover construction

## lemma: in sufficiently highly branching and locally acyclic covers $\sim^{f(q)}$ forces $\equiv^q$

use: finite model theory analysis of  $\mathrm{FO}/{\sim}$ 

$$\begin{array}{cccc} \mathcal{A} & & & \sim^{\mathsf{f}(\mathsf{q})} & & \mathcal{B} \\ \\ \\ \\ \mathcal{A} & & & \sim \\ \hat{\mathcal{A}} & & & =^{\mathsf{q}} & & \hat{\mathcal{B}} \end{array}$$

### (H) for hypergraphs/relational structures

(O'10)

from finite (N-acyclic) to finite (N-acyclic) n-free finite covers through reduced products with N-acyclic Cayley groups, which preserve N-acyclicity of the cover

**n-freeness:** up to  $\sim_{g}$ , can boost distance between *s* and **a** in  $\mathcal{A} \setminus (s \cap \mathbf{a})$  beyond *n* while preserving  $s \cap \mathbf{a}$ 

lemma: in sufficiently free and sufficiently acyclic covers  $\sim_g^{f(q)}$  forces  $\equiv^q$ use: expressive completeness  $FO/\sim_g \equiv GF$  (fmt)

N-acyclic group: large girth w.r.t. to reduced distance measure

cheating FO about infinity // a curious finite model property

#### (H) general relational structures

for the infinite  $\omega$ -unfolding  $\mathcal{A}^{\omega*}$  and any *N*-acyclic, *n*-free finite cover  $\hat{\mathcal{A}}$ for sufficiently large *N*, *n* 

$$\mathcal{A}^{\omega *} \equiv^{\mathsf{q}} \hat{\mathcal{A}}$$

(G) analogous, but simpler

$$\text{corollary:} \quad \mathcal{A} \sim_{g} \widehat{\mathcal{A}} \ \equiv^{\mathsf{q}} \mathcal{A}^{\omega *} \simeq \hat{\mathcal{A}}^{\omega *} \sim_{g} \hat{\mathcal{A}} \sim_{g} \mathcal{A}$$

remark: need to avoid small { chordless cycles unguarded cliques bounds on reduced distances

#### guarded negation and GNF

focus on negation & quantification pattern, alternative views:

- restrict negation to formulae in guarded free variables and allow unrestricted  $\exists$
- allow ∃-pos constraints (cq) on guarded tuples and stick with guarded quantification of GF

(Barany-ten Cate-Segoufin'11)

GNF decidable, has FMP, generalised tree model property, ...

**method:** reduction to GF in the presence of forbidden homomorphisms (Barany–Gottlob–O'10)

back&forth equivalence based on local homomorphisms at guarded tuples

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game on \mathcal{A}; \mathcal{B}

positions: local isomorphisms between guarded tuples \rho: \mathbf{a} \mapsto \mathbf{b}

single round:

\begin{cases}
player | proposes subset <math>A_0 \subseteq A \quad (\text{or } B_0 \subseteq B) \\
player | responds with \quad h: \mathcal{A} \upharpoonright A_0 \xrightarrow{\text{hom}} \mathcal{B} \\
compatible with \rho \\
player | picks guarded tuple <math>\mathbf{a}' \in A_0 \\
new position: \rho': \mathbf{a}' \mapsto h(\mathbf{a}')
\end{cases}
```

**k-bounded version:** restrict homomorphisms to size  $|h| \leq k$  $\mathcal{A}, \mathbf{a} \sim_{gn[k]} \mathcal{B}, \mathbf{b}$  and  $\mathcal{A}, \mathbf{a} \sim_{gn[k]}^{m} \mathcal{B}, \mathbf{b}$  defined as usual

#### guarded negation bisimulation and GNF

(Barany-ten Cate-Segoufin'11)

- guarded negation bisimulation preserves GNF
- $\sim_{gn[k]}$  preserves k-GNF ( $\exists$ -pos assertions of width  $\leqslant k$ )
- expressive completeness  $FO/\sim_{gn[k]} \equiv k\text{-}GNF$  (classical)

caveat: semantics is over structures with guarded parameter tuples

#### goal here: $FO/\sim_{gn[k]} \equiv k$ -GNF (fmt)

method: reduction to GF and  $\sim_{\rm g}$ 

crux: preparation of models

need to have exactly the same images of k-bounded structures under guarded homomorphisms (ghom)

 $h \colon \mathcal{C} \xrightarrow{\text{ghom}} \mathcal{A}$ : hom. that is injective on guarded tuples

ghom-images are only weak substructures  $h(\mathcal{C}) \subseteq_{w} \mathcal{A}$ 

here want to avoid new guarded subsets,  $h(\mathcal{C})\subseteq_{\mathtt{g}}\mathcal{A}$ 

 $h(\mathcal{C}) \subseteq_{g} \mathcal{A}$ : a guarded in  $\mathcal{A} \Rightarrow a \upharpoonright h(\mathcal{C})$  guarded in  $h(\mathcal{C})$ 

#### k-richness

for every guarded homomorphism  $(h: \mathcal{C} \xrightarrow{\text{ghom}} \mathcal{A}) \leqslant k$  at **a**, there is an isomorphic embedding  $h': \mathcal{C} \xrightarrow{\simeq} \mathcal{A}$  at **a** such that

- $h'(\mathcal{C}) \subseteq_{g} \mathcal{A}$
- $h \circ h'^{-1}$  preserves  $\sim_{\mathsf{g}}$  on guarded tuples

**want:** 
$$\mathcal{A} \sim_{gn[k]}^{m} \mathcal{B} \Rightarrow \mathcal{A} \sim_{gn[k]} \hat{\mathcal{A}} \equiv^{q} \hat{\mathcal{B}} \sim_{gn[k]} \mathcal{B}'$$
  
for  $m = f(q)$ , all finite  $\mathcal{A}, \mathcal{B}$  and suitable finite  $\hat{\mathcal{A}}, \hat{\mathcal{B}}$ 

• using new k-ary relation R to guard all small  $\subseteq_{g}$ -substructures in k-rich  $\mathcal{A}$  and  $\mathcal{B}$ :

$$(*) \quad \mathcal{A} \sim^{m}_{gn[k]} \mathcal{B} \quad \Leftrightarrow \quad (\mathcal{A}, R(\mathcal{A})) \sim^{m}_{g} (\mathcal{B}, R(\mathcal{B}))$$

- k-richness can be achieved in ω-unfoldings A<sup>ω\*</sup> and B<sup>ω\*</sup> for which (\*) implies A<sup>ω\*</sup> ≡<sup>q</sup> B<sup>ω\*</sup>, if m is large enough
- strong FMP for GF-theoris of regular tree-like models from Barany–Gottlob–O'10 yields finite counterparts of A<sup>ω\*</sup>, B<sup>ω\*</sup>
- sufficiently free and acyclic finite covers of these are thus finite, FO<sub>q</sub> equivalent, and ∼<sub>gn[k]</sub>-equivalent to A and B, rsp.

#### theorem

 ${\rm FO}/{\sim_{{\rm gn}[k]}} \equiv {\rm k\text{-}GNF}$  , classically and in finite model theory

#### core: upgrading in finite covers that behave like trees

